

L/ENF/DU- 293/IR/Offsite/2024-2025/835

October 07, 2024

To,  
Compliance Officer and Designated Directors  
**ACML CAPITAL MARKETS LIMITED (Clg. No. 293)**  
KAMDHENU COMPLEX  
OPP.SAHAJANAND COLLEGE  
PANJARAPOLE, AMBAWADI  
AHMEDABAD-380015

Dear Sir /Madam,

**Sub: Final letter pertaining to offsite alert generated in FY 2024-25**

Details of non-compliances observed by the Exchange during verification of offsite alerts generated for the months July 2023, October 2023, January 2024, February 2024 & June 2024 pertaining to settlement of inactive clients, the action, after taking into consideration the submissions and clarifications offered by you is as follows:

Sr. No.	Violation	Notice / Regulatory Requirement	Action
1.	Delay / Non settlement of Inactive clients' accounts.	20091204-7 dated December 04, 2009, 20160927-41 dated September 27, 2016, 20210616-41 dated June 16, 2021, 20210831-40 dated August 31, 2021, 20220331-53 dated March 31, 2022, 20220727-48 dated July 27, 2022	You are advised to ensure compliance with settlement of clients' accounts.

You are also advised to take necessary steps to ensure that such non-compliances do not recur.

We thank you for your co-operation.

Yours faithfully,



**Vandana Vania**  
Asst. General Manager  
(Enforcement)



**Dnyaneshwar Ugalmugale**  
Assistant Manager  
(Enforcement)