

L/ENF/SA-3024/IR/Offsite/2024-2025/871

October 15, 2024

To,
Compliance Officer and Designated Directors
R.L.P. SECURITIES PVT. LTD. (Clg. No. 3024)
402, Nirmal Towers,
Dwarakapuri Colony,
Punjagutta
Hydrabad-500082

Dear Sir /Madam,


Sub: Final letter pertaining to offsite alert generated in FY 2024-25


Details of non-compliances observed by the Exchange during verification of offsite alerts generated for the month November 2023 pertaining to settlement of inactive clients, and actions for the same based on your submissions are as follows:

Sr. No.	Violation	Notice / Regulatory Requirement	Action
1.	Delay in settlement of those clients' accounts who did not trade in the past 30 calendar days. The Member is required to settle accounts of such clients in the next 3 working days in case the clients do not trade in the last 30 calendar days.	20091204-7 dated December 04, 2009, 20160927-41 dated September 27, 2016, 20210616-41 dated June 16, 2021, 20210831-40 dated August 31, 2021, 20220331-53 dated March 31, 2022, 20220727-48 dated July 27, 2022	You are advised to ensure compliance with settlement of clients' accounts.

We thank you for your co-operation.

Yours faithfully,


Vandana Vania
Asst. General Manager
(Enforcement)


Dnyaneshwar Ugalmugale
Assistant Manager
(Enforcement)