

L/ENF/AG-3071/IR/2024-2025/461

June 27, 2024

To,
Compliance Officer and Designated Director
ALANKIT IMAGINATIONS LTD. Clg No-3071
205-208, ANARKALI COMPLEX, JHANDEWALAN
EXTENSION, NEW DELHI-110055

Dear Sir /Madam,

Sub: Final letter pertaining to non-compliances observed during the offsite verification conducted by the Exchange for November 2023 and February 2024.

Please refer to **Annexure A** to this letter with the details of non-compliances observed by the Exchange after taking into consideration the clarifications offered by you.

You are advised to take necessary steps to ensure that such non-compliances do not recur.

We thank you for your co-operation



Vandana Vania
Asst. Gen.Manager
(Enforcement)



Sandhya Sapaliga
Associate Manager
(Enforcement)

Annexure A

Name of the Member	ALANKIT IMAGINATIONS LTD
Clearing Number	3071
Offsite verification year	F.Y. 2024 –2025
Date of First Letter	04-06-2024
Date of Response to First Letter	15-06-2024

a) Details of Non-Compliance observed after considering the responses provided, where no monetary penalty is applicable:

Sr. No	Violation	Notice / Regulatory Requirement	Action
1	Delay in settlement of clients' funds	20091204-7 dated December 04, 2009, 20160927-41 dated September 27, 2016, 20210616-41 dated June 16, 2021, 20210831-40 dated August 31, 2021, 20220331-53 dated March 31, 2022, 20220727-48 dated July 27, 2022	You are advised to ensure compliance with requirements of settlement of client funds.

VS J