

Demat and Physical Mode Bid entry guidelines for SGB in iBBS system

iBBS system web links:

- Connecting through Internet: <https://ibbs.bseindia.com/>
- Connecting through leased line: <https://10.1.101.254/index.aspx>

Guidelines for Bid entry in Demat Mode:-

- In the iBBS system, a new bidding module has been enabled for SGB scheme – SGB module. Members can place bids & view the bid status through this module.
- Members can login and place bids using their existing login credentials of iBBS system which is used for IPO, Offer for Sale (OFS), Offer to buy (OTB) issues.
- Members can place bids using the bid entry screen in SGB module in iBBS system, through a batch upload file using the batch upload screen or online through member's trading application using the web service based APIs. The format of these APIs is same as that used for placing IPO bids. Members can upload up to 1,000 bids in a single batch upload file.
- Members can view status of the bids in the order book of the SGB module in iBBS system as per the bid confirmation process timeline. Members can also download bid reports for getting status of the bids. 5 kinds of bid reports will be available – All bids, Bids where Depository verification matched, Bids where Depository verification mismatched, Bids accepted where RBI verification is successful & Bids rejected where RBI verification has failed at their system-end.
- In cases where the status of bids is either “Depository verification mismatched” or “RBI verification failed”, reason for the same will be provided in the bid book. Accordingly, members will be able to modify or cancel such bids, as appropriate. Modification/cancellation of bids can be done through order book user interface or through batch file upload.
- However, members will not be able to modify/cancel bids when status of the bid is reflected as either pending for verification by depositories or pending for RBI confirmation.
- Members will be able to provide their clients a transaction receipt for their bid once it is confirmed by RBI. This facility will be available in the order book of the SGB module in iBBS system for all RBI confirmed bids.
- Members will be provided a facility to submit their bid withdrawal requests for RBI confirmed bids. The withdrawal request shall be confirmed subject to withdrawal confirmation by RBI. Members can submit their request for withdrawing a particular bid through the order book of the SGB module. Once submitted, status of the bid in the order book shall be reflected as “Withdrawal Request submitted”. This facility shall be available till the end of additional time window provided for modification/cancellation of bids.
- A bid confirmation file will be provided to all trading members after the end of bidding period, once Exchange has received confirmation from RBI for all bids. This file will provide the final

confirmation status of each bid whether accepted or rejected by RBI. This trade file will be available to members on Extranet in the SGB folder under Equity segment.

- On T+1 day, members shall receive an obligation file, Balance sheet and Money statement file from ICCL for RBI confirmed bids. These files will be available on Extranet in the SGB folder under Equity segment.
- Members can refer 'Annexure 1' for Bid entry /Modification-Cancellation/ Bid withdrawal in Demat mode.

Guidelines for Bid entry in Physical Mode:

- Client who wish to subscribe Sovereign Gold Bond (SGB) units in physical certificate form (i.e in non-demat mode) need to approach his member along with funds.
- Member can take either PAN / TAN / Passport / Aadhaar card or Voter ID any one of the document and BSE application form (Annexure -4) from client who is interested in placing Bid in physical mode.
- Member has to ensure KYC of client and also record/document the prior consent of its clients. The client has to submit necessary supporting document along with Exchange application form (Refer Annexure – 4 for application form) to Member.
- After completing document verification, member has to enter Bid details in Exchange iBBS system.
- Once the bid is submitted, unique Bid number shall be generated by Exchange iBBS system.
- Member to provide necessary confirmation to his clients.
- Members can view status of the bids in the order book of the SGB module in iBBS system as per the bid confirmation process timeline. Members can also download bid reports for getting status of the bids. 5 kinds of bid reports will be available
- Member has to preserve the application form submitted by his client for all bid entered in Exchange system till the bonds are matured and are repaid.
- Bid status subsequent to RBI confirmation shall be updated on T+1 before 02.00 PM.
- Bids which are rejected by RBI shall be made available on Exchange front end for modification/cancellation along with reason.
- On T+1 day ICCL shall provide consolidated obligation file, balance sheet & Money statement for bids which are successfully reported to RBI. This file shall include Demat and Physical bids consolidated obligation details.
- Member can refer 'Annexure – 3' for Bid entry /Modification-Cancellation/ Bid withdrawal process in physical mode.

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