

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

POST ISSUE  
09.12.2021

1. Name of Listed Entity: Anand Rathi Wealth Limited
2. Scrip Code/Name of Scrip/Class of Security: Equity Shares
3. Share Holding Pattern Filed under: Reg. 31(1)(a)
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares?		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?	YES	
e Whether any shares held by promoters are pledge or otherwise encumbered?		NO
f Whether company has equity shares with differential voting rights?		NO
g Whether the listed entity has any significant beneficial owner?		NO

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:



Introductory sub-table (I)(a) -																		
Name of the Company: Anand Rathi Wealth Limited												POST ISSUE						
Scrip Code, Name of the scrip, class of security : EQUITY																		
As on : 09.12.2021																		
Table I - Summary Statement holding of specified securities																		
Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class: Equity Shares	Class: NIL	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	14	20317025	0	0	20317025	48.82	20317025	0	20317025	48.82	0	48.82	20317025	100.00	0	0.00	20317025
(B)	Public	155825	21299202	0	0	21299202	51.18	21299202	0	21299202	51.18	0	51.18	11815842	55.48	NA		21299202
(C)	Non Promoter- Non Public	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA		0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA		0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	<b>Total</b>	<b>155839</b>	<b>41616227</b>	<b>0</b>	<b>0</b>	<b>41616227</b>	<b>100.00</b>	<b>41616227</b>	<b>0</b>	<b>41616227</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>32132867</b>	<b>77.21</b>	<b>0</b>	<b>0.00</b>	<b>41616227</b>



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the Shareholders	Entity type i.e. promoter OR promoter group entity (except promoter)	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class: Equity Shares	Class: NIL									Total
(I)			(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
<b>(1) Indian</b>																			
<b>(a) Individuals/Hindu undivided Family</b>			<b>12</b>	<b>11025902</b>	<b>0</b>	<b>0</b>	<b>11025902</b>	<b>26.49</b>	<b>11025902</b>	<b>0</b>	<b>11025902</b>	<b>26.49</b>	<b>0</b>	<b>26.49</b>	<b>11025902</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>11025902</b>
Anand Rathi	Promoter			4971390	0	0	4971390	11.95	4971390	0	4971390	11.95	0	11.95	4971390	100.00	0	0.00	4971390
Pradeep Kumar Gupta	Promoter			1902012	0	0	1902012	4.57	1902012	0	1902012	4.57	0	4.57	1902012	100.00	0	0.00	1902012
Amit Rathi	Promoter Group			1425000	0	0	1425000	3.42	1425000	0	1425000	3.42	0	3.42	1425000	100.00	0	0.00	1425000
Priti Pradeep Gupta	Promoter Group			1162500	0	0	1162500	2.79	1162500	0	1162500	2.79	0	2.79	1162500	100.00	0	0.00	1162500
Pradeep Kumar Gupta Huf	Promoter Group			750000	0	0	750000	1.80	750000	0	750000	1.80	0	1.80	750000	100.00	0	0.00	750000
Navratan Mal Gupta Huf	Promoter Group			720000	0	0	720000	1.73	720000	0	720000	1.73	0	1.73	720000	100.00	0	0.00	720000
Pooja Maru	Promoter Group			60000	0	0	60000	0.14	60000	0	60000	0.14	0	0.14	60000	100.00	0	0.00	60000
Tara Mantri	Promoter Group			12000	0	0	12000	0.03	12000	0	12000	0.03	0	0.03	12000	100.00	0	0.00	12000
Phool Kaur Mundra	Promoter Group			10500	0	0	10500	0.03	10500	0	10500	0.03	0	0.03	10500	100.00	0	0.00	10500
Krishnav Pradeep Gupta	Promoter Group			7500	0	0	7500	0.02	7500	0	7500	0.02	0	0.02	7500	100.00	0	0.00	7500
Suresh Rathi	Promoter Group			3000	0	0	3000	0.01	3000	0	3000	0.01	0	0.01	3000	100.00	0	0.00	3000
Asha Kailash Blyani	Promoter Group			2000	0	0	2000	0.00	2000	0	2000	0.00	0	0.00	2000	100.00	0	0.00	2000
<b>(b) Central Government/ State Government(s)</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>(c) Financial Institutions/ Banks</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>(d) Any Other (specify)</b>			<b>2</b>	<b>9291123</b>	<b>0</b>	<b>0</b>	<b>9291123</b>	<b>22.33</b>	<b>9291123</b>	<b>0</b>	<b>9291123</b>	<b>22.33</b>	<b>0</b>	<b>22.33</b>	<b>9291123</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>9291123</b>
<b>1 Bodies Corporate</b>			<b>2</b>	<b>9291123</b>	<b>0</b>	<b>0</b>	<b>9291123</b>	<b>22.33</b>	<b>9291123</b>	<b>0</b>	<b>9291123</b>	<b>22.33</b>	<b>0</b>	<b>22.33</b>	<b>9291123</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>9291123</b>
Anand Rathi Financial Services Limited	Promoter			8661123	0	0	8661123	20.81	8661123	0	8661123	20.81	0	20.81	8661123	100.00	0	0.00	8661123
M/S Jaipur Securities Pvt. Ltd.	Promoter Group			630000	0	0	630000	1.51	630000	0	630000	1.51	0	1.51	630000	100.00	0	0.00	630000
<b>Sub-Total (A)(1)</b>			<b>14</b>	<b>20317025</b>	<b>0</b>	<b>0</b>	<b>20317025</b>	<b>48.82</b>	<b>20317025</b>	<b>0</b>	<b>20317025</b>	<b>48.82</b>	<b>0</b>	<b>48.82</b>	<b>20317025</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>20317025</b>



(2) Foreign																			
Individuals (Non-Resident (a) Individuals/ Foreign Individuals)																			
	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
(f) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	14	20317025	0	0	20317025	48.82	20317025	0	20317025	48.82	0	48.82	20317025	100.00	0	0.00	20317025		



Table III - Statement showing shareholding pattern of the Public shareholder																	
Category & Name of the Shareholders	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
							Class: Equity Shares	Class: NIL	Total								
(i)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)		(xiii)	(xiv)		
<b>(1) Institutions</b>																	
<b>(a) Mutual Funds/</b>	12	4193344	0	0	4193344	10.08	4193344	0	4193344	10.08	0	10.08	3158022	75.31	NA	4193344	
Icici Prudential Banking And Financial Services Fund		738544	0	0	738544	1.77	738544	0	738544	1.77	0	1.77	545481	73.86	NA	738544	
Sbi Small Cap Fund		698621	0	0	698621	1.68	698621	0	698621	1.68	0	1.68	545481	78.08	NA	698621	
Franklin India Smaller Companies Fund		545481	0	0	545481	1.31	545481	0	545481	1.31	0	1.31	545481	100.00	NA	545481	
Dsp Small Cap Fund		463644	0	0	463644	1.11	463644	0	463644	1.11	0	1.11	463644	100.00	NA	463644	
<b>(b) Venture Capital Funds</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
<b>(c) Alternate Investment Funds</b>	1	181845	0	0	181845	0.44	181845	0	181845	0.44	0	0.44	181845	100.00	NA	181845	
<b>(d) Foreign Venture Capital Investors</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
<b>(e) Foreign Portfolio Investors</b>	4	1034473	0	0	1034473	2.49	1034473	0	1034473	2.49	0	2.49	94197	9.11	NA	1034473	
Integrated Core Strategies Asia Pte Ltd		699864	0	0	699864	1.68	699864	0	699864	1.68	0	1.68	0	0.00	NA	699864	
<b>(f) Financial Institutions/ Banks</b>	2	42768	0	0	42768	0.10	42768	0	42768	0.10	0	0.10	0	0.00	NA	42768	
<b>(g) Insurance Companies</b>	1	142564	0	0	142564	0.34	142564	0	142564	0.34	0	0.34	0	0.00	NA	142564	
<b>(h) Provident Funds/ Pension Funds</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
<b>(i) Any Other (specify)</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
Sub-Total (B)(1)	20	5594994	0	0	5594994	13.44	5594994	0	5594994	13.44	0	13.44	3434064	61.38	NA	5594994	
<b>(2) Central Government/ State Government(s)/ President of India</b>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	



	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
<b>(3)</b>	<b>Non-institutions</b>																
	Individuals																
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	155277	7210301	0	0	7210301	17.33	7210301	0	7210301	17.33	0	17.33	1905688	26.43	NA	7210301
<b>(a)</b>	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	58	5331822	0	0	5331822	12.81	5331822	0	5331822	12.81	0	12.81	3826264	71.76	NA	5331822
	Supriya Rathi		1125000	0	0	1125000	2.70	1125000	0	1125000	2.70	0	2.70	1125000	100.00	NA	1125000
	Feroze Azeez		729210	0	0	729210	1.75	729210	0	729210	1.75	0	1.75	183150	25.12	NA	729210
<b>(b)</b>	NBFCs registered with RBI	1	56	0	0	56	0.00	56	0	56	0.00	0	0.00	0	0.00	NA	56
<b>(c)</b>	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
<b>(d)</b>	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
<b>(e)</b>	Any Other (specify)	469	3162029	0	0	3162029	7.60	3162029	0	3162029	7.60	0	7.60	2649826	83.80	NA	3162029
<b>1</b>	Bodies Corporates	133	1063790	0	0	1063790	2.56	1063790	0	1063790	2.56	0	2.56	575151	54.07	NA	1063790
<b>2</b>	NRI - Non Repat	136	211398	0	0	211398	0.51	211398	0	211398	0.51	0	0.51	204100	96.55	NA	211398
<b>3</b>	NRI - Repat	195	15889	0	0	15889	0.04	15889	0	15889	0.04	0	0.04	0	0.00	NA	15889
<b>4</b>	Trust	5	1870952	0	0	1870952	4.50	1870952	0	1870952	4.50	0	4.50	1870575	99.98	NA	1870952
	Rawal Family Trust acting through Rakesh Rawal		512100	0	0	512100	1.23	512100	0	512100	1.23	0	1.23	512100	100.00	NA	512100
	Azeez Family Trust acting through Feroze Azeez		461225	0	0	461225	1.11	461225	0	461225	1.11	0	1.11	461225	100.00	NA	461225
	Suhas Gupta Family Trust acting through Preeti Rawal		455750	0	0	455750	1.10	455750	0	455750	1.10	0	1.10	455750	100.00	NA	455750
	Sub-Total (B)(3)	155805	15704208	0	0	15704208	37.74	15704208	0	15704208	37.74	0	37.74	8381778	53.37	NA	15704208
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	155825	21299202	0	0	21299202	51.18	21299202	0	21299202	51.18	0	51.18	11815842	55.48	NA	21299202



**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
							No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
							Class: Equity Shares	Class: NIL									Total
(I)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
(1) Custodian/DR Holder	0				0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(a) Name of DR Holder (if available)																	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(a)																	
<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

**Table V - Statement showing details of Significant Beneficial Owners (SBOs)**

Sr. No	Details of the SBO (I)		Details of the registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest# (IV)
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares	Voting Rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

