

Annexure III

Bulk Order Entry – Web

Sr.No.	Field Name	Data Type	Size	Remarks
1	SCHEME CODE	Char	20	Valid Scheme Code
2	Purchase / Redeem	Char	1	P – Purchase
				R – Redeem
3	Buy Sell Type	Char	10	FRESH
				ADDITIONAL
4	Client Code (10 Chars)	Char	10	Mandatory. As mentioned in the UCC Registration
5	Demat / Physical	Char	1	C – CDSL
				N – NSDL
				P – PHYSICAL
6	Order Val AMOUNT	Numeric	11	Amount for Purchase / Redemption
7	Folio No (10 digits)	Char	15	Mandatory in case of Additional Purchase in Physical Form or Redemption in Physical form
8	Remarks	Char	200	Max 200 chars
9	KYC Flag	Char	1	Y or N
10	Sub Broker Code	Char	15	
11	EUIN Number	Char	10	Mandatory field if EUIN Declaration is Y & EUIN should start with E.
12	EUIN Declaration	Char	1	Y or N. Mandatory field.
13	MIN redemption flag	Char	1	Y or N. Mandatory field. In case of purchases it should be a N. in case of any redemption above 50 units it should be N, in case of units below 50 units it should be Y. Will be N in case of Physical.
14	DPC Flag	Char	1	Y or N. Mandatory Field for both physical and demat
15	All Units	Char	1	Y or N. Mandatory field in case of physical redemption transactions. If the flag is “Y”, then the amount and units fields will be blank. In case of demat, keep it as blank.
16	Redemption Units	Numeric	25,3	
17	Sub- broker ARN	Varchar	20	non - mandatory field, it should start with ARN
18	PG/Bank Ref. No.	Varchar	25	Optional Field
19	Bank Account No.	Varchar	10	Mandatory for Redemption only
20	Mobile No.	Varchar	10	Optional Field.
21	Email	Varchar	50	Optional Field.
22	Filler 1			
23	Filler 2			

Overnight/Spread Order (Bulk Upload) - Web

Sr.No.	Field Name	Data Type	Size	Remarks
1	SCHEME CODE	CHAR	20	Valid Scheme Code (L0)
2	Purchase / Redeem	CHAR	1	P – Purchase (by default)
3	Buy Sell Type	CHAR	10	FRESH ADDITIONAL
4	Client Code (10 Chars)	CHAR	10	Mandatory. As mentioned in the UCC Registration
5	Demat / Physical	CHAR	1	P – PHYSICAL
6	Order Val AMOUNT	NUMERIC	11	Amount for Purchase
7	Folio No (15 digits)	CHAR	15	Mandatory in case of Additional Purchase in Physical Form or Redemption in Physical form
8	Remarks	CHAR	200	Max 200 chars
9	KYC Flag	CHAR	1	Y or N
10	Sub Broker Code	CHAR	15	
11	EUIN Number	CHAR	10	Mandatory field if EUIN Declaration is Y & EUIN should start with E.
12	EUIN Declaration	CHAR	1	Y or N. Mandatory field.
13	MIN redemption flag	CHAR	1	Y or N. Mandatory field. In case of purchases, it should be a N. in case of any redemption above 50 units it should be N, in case of units below 50 units it should be Y. Will be N in case of Physical.
14	DPC Flag	CHAR	1	Y or N. Mandatory Field for both physical and demat
15	All Units	CHAR	1	Y or N. Mandatory field in case of physical redemption transactions. If the flag is “Y”, then the amount and units fields will be blank. In case of demat, keep it as blank.
16	Redemption Amount	NUMERIC	11	Amount for Redemption
17	Redemption Date	DATE	10	DD/ MM/YYYY
18	Sub- broker ARN	varchar	20	Non - Mandatory field, it should start with ARN
19	PG/Bank Ref. No.	Varchar	25	Optional Field
20	Bank Account No.	Varchar	20	Mandatory
21	Mobile No.	Varchar	10	Optional Field
22	Email	Varchar	50	Optional Field

ORDER STATUS / PROVISIONAL ORDER REPORT

Sr.No	FIELD NAME	TYPE	SIZE	REMARKS
1	Member Code	VARCHAR	10	
2	Date	DATE	10	DD/MM/YYYY

3	Time	VARCHAR	8	HH:MI:SS
4	Order No.	VARCHAR	16	
5	Sett. No.	VARCHAR	7	0901 - Financial Year000N - Sr No
6	Client Code	VARCHAR	10	
7	Client Name	VARCHAR	70	
8	Scheme Code	VARCHAR	20	
9	Scheme Name	VARCHAR	250	
10	ISIN	VARCHAR	12	
11	Buy/Sell	VARCHAR	1	P - PURCHASE R - REDEMPTION
12	Amount	NUMERIC	11,4	4 DECIMALS
13	Units	NUMERIC		3 DECIMALS
14	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
15	DP/Folio No.	VARCHAR	16	
16	Folio No.	VARCHAR	15	
17	Entry By	VARCHAR	20	
18	Order Status	VARCHAR	10	VALID / INVALID
19	Order Remark	VARCHAR	200	
20	INTERNAL REF NO	VARCHAR	10	
21	SETTLEMENT TYPE	VARCHAR	2	
22	Order Type	VARCHAR	3	NRM/SIP/XSIP/ISIP/STP/AMC- STP/SWP/SI/SO
23	SIP REGN NO	BIGINT	10	
24	SIP REGN DATE	DATE	10	DD/MM/YYYY
25	SUBBRCODE	VARCHAR	15	
26	EUIN	VARCHAR	10	EUIN should start with E
27	EUIN DECL	VARCHAR	1	Y/N
28	ALL Units Flag	VARCHAR	1	Y/N
29	DPC Flag	VARCHAR	1	Y/N
30	Sub Order Type	VARCHAR	6	NRM/SWITCH/SPREAD
31	First Order Today	VARCHAR	1	Y/N
32	Purchase / Redeem(Fresh /Additional)	Char	10	Fresh/Additional
33	Member Remarks	Char	200	Max 200 chars
34	KYC Flag	Char	1	Y or N. Mandatory in case of any purchases above Rs.50000/-
35	MIN redemption flag	Char	1	Y or N. Mandatory field. In case of purchases it should be a N. in case of any redemption above 50 units it should be N, in case of units below 50 units it should be Y. Will be N in case of Physical.

36	Sub- broker ARN	varchar	20	non - mandatory field, it should start with ARN
37	PG/Bank Ref. No.	Varchar	25	Optional Field
38	Bank Account No.	Varchar	10	Mandatory for Redemption only
39	Mobile No.	Varchar	10	Optional Field.
40	Email	Varchar	50	Optional Field.

ALLOTMENT STATEMENT (NEW)

Sr.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORT DATE	VARCHAR	10	DD/MM/YYYY
2	ORDER NO	NUMBER	16	
3	SETTLEMENT TYPE	VARCHAR	2	
4	SETTLEMENT NO	VARCHAR	7	0910 - FINANCIAL YEAR 00N - Sr No
5	ORDER DATE	VARCHAR	10	DD/MM/YYYY
6	SCHEME CODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBER ID	VARCHAR	10	
11	BRANCH CODE	VARCHAR	10	
12	USER ID	NUMBER	20	
13	FOLIO NO	VARCHAR	15	
14	RTA SCHEME CODE	VARCHAR	6	
15	RTA TRANS NO	VARCHAR	20	
16	CLIENT CODE	VARCHAR	10	
17	CLIENT NAME	VARCHAR	70	
18	BENEFICIARY ID	VARCHAR	16	
19	ALLOTTED NAV	NUMBER	4 DECIMALS	
20	ALLOTTED UNIT	NUMBER	3 DECIMALS	
21	ALLOTMENT AMT	NUMBER	2 DECIMALS	
22	VALID FLAG	VARCHAR	1	Y – VALID N – INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	
25	INTERNAL REF NO	VARCHAR	10	
26	Order Type	VARCHAR	3	NRM/SIP/XSP
27	SIP REGN NO	BIGINT	10	
28	SIP REGN DATE	DATE	10	DD/MM/YYYY
29	SUB BR CODE	VARCHAR	15	
30	EUIN	VARCHAR	10	
31	EUIN DECLARATION	VARCHAR	1	
32	DPC Flag	VARCHAR	1	Y/N
33	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
34	Order Sub Type	VARCHAR	6	NRM/SWITCH/SPREAD
35	Scheme Name	VARCHAR	250	

36	Stamp Duty	NUMBER	25,8	
37	PG/Bank Ref. No.	Varchar	25	

REDEMPTION STATEMENT (NEW)

S.No.	FIELD NAME	TYPE	SIZE	REMARKS
1	REPORT DATE	VARCHAR	10	DD/MM/YYYY
2	ORDER NO	NUMBER	16	
3	SETTLEMENT TYPE	VARCHAR	2	MF
4	SETTLEMENT NO	VARCHAR	7	0910 - FINANCIAL YEAR 00N - Sr No
5	ORDER DATE	VARCHAR	10	DD/MM/YYYY
6	SCHEME CODE	VARCHAR	20	
7	ISIN	VARCHAR	12	
8	AMOUNT	NUMBER	2 DECIMALS	
9	QTY	NUMBER	3 DECIMALS	
10	MEMBER ID	VARCHAR	10	
11	BRANCH CODE	VARCHAR	10	
12	USER ID	NUMBER	20	
13	FOLIO NO	VARCHAR	15	
14	RTA SCHEME CODE	VARCHAR	6	
15	RTA TRANS NO	VARCHAR	20	
16	CLIENT CODE	VARCHAR	10	
17	CLIENT NAME	VARCHAR	70	
18	BENEFICIARY ID	VARCHAR	16	
19	NAV	NUMBER	4 DECIMALS	
20	UNIT	NUMBER	3 DECIMALS	
21	AMT	NUMBER	2 DECIMALS	
22	VALID FLAG	VARCHAR	1	Y – VALID N – INVALID
23	REMARKS	VARCHAR	200	
24	STT	NUMBER	4 DECIMALS	
25	DPC Flag	VARCHAR	1	Y/N
26	DP Trans.	VARCHAR	10	PHYSICAL / DEMAT
27	Order Type	VARCHAR	6	NRM/SWITCH/SPREAD
28	Order Sub Type	VARCHAR	6	NRM/SWITCH/SPREAD
29	Scheme Name	VARCHAR	250	
30	Exit Load	Number	25,8	
31	TAX	Number	25,8	
32	Bank Account No.	Varchar	20	

CLIENT MASTER REPORT - New

Sr. No.	Field Name	Data Type	Field Length	Remarks
1	Member Code	Char	10	
2	Client Code (UCC)	Char	10	
3	Primary Holder First Name	Char	70	
4	Primary Holder Middle Name	Char		
5	Primary Holder Last Name	Char		
6	Tax Status	char	2	Refer Tax Status
7	Gender	char	1	Mandatory for Individual and Minor clients M/F/O
8	Primary Holder DOB/Incorporation	char	10	DD/MM/YYYY
9	Occupation Code	char	2	Refer Occupation Code
10	Holding Nature	char	2	Refer Holding (SI/ JO/ AS)
11	Second Holder First Name	char	70	Mandatory if Mode of Holding JO/AS
12	Second Holder Middle Name	char		Mandatory if Mode of Holding JO/AS
13	Second Holder Last Name	char		Mandatory if Mode of Holding JO/AS
14	Third Holder First Name	char	70	Mandatory if Mode of Holding JO/AS
15	Third Holder Middle Name	char		Mandatory if Mode of Holding JO/AS
16	Third Holder Last Name	char		Mandatory if Mode of Holding JO/AS
17	Second Holder DOB	DATE	10	Mandatory if SECOND HOLDER NAME mentioned DD/MM/YYYY
18	Third Holder DOB	DATE	10	Mandatory if THIRD HOLDER NAME mentioned DD/MM/YYYY
19	Guardian First Name	char	120	Mandatory for Minor Clients
20	Guardian Middle Name	char		
21	Guardian Last Name	char		
22	Guardian DOB	DATE	10	Mandatory for Minor Clients DD/MM/YYYY
23	Primary Holder PAN Exempt	Varchar	1	
24	Second Holder PAN Exempt	Varchar	1	
25	Third Holder PAN Exempt	Varchar	1	
26	Guardian PAN Exempt	Varchar	1	
27	Primary Holder PAN	char	10	Mandatory if Primary Holder PAN Exempt flag N.

28	Second Holder PAN	char	10	Mandatory if Second Holder PAN Exempt flag N.
29	Third Holder PAN	char	10	Mandatory if Third PAN Exempt flag N
30	Guardian PAN	char	10	Mandatory if Guardian PAN Exempt flag N.
31	Primary Holder-Exempt Category	Varchar	2	Mandatory if PRIMARY Holder PAN Exempt flag Y. Refer PAN Exempt Category
32	Second Holder Exempt Category	Varchar	2	Mandatory if SECOND HOLDER PAN Exempt flag Y. Refer PAN Exempt Category
33	Third Holder Exempt Category	Varchar	2	Mandatory if THIRD HOLDER PAN Exempt flag Y. Refer PAN Exempt Category
34	Guardian Exempt Category	Varchar	2	Mandatory if Guardian PAN Exempt flag Y. Refer PAN Exempt Category
35	Client Type	Varchar	1	D/P
36	PMS	CHAR	1	Mandatory if client type D (Y/N)
37	Default DP	char	4	Mandatory if client type D (CDSL/NSDL)
38	CDSL DPID	char	8	Mandatory if Default DP is CDSL
39	CDSLCLTID	char	16	Mandatory if Default DP is CDSL
40	CMBP Id	Number	16	Mandatory if client Default DP is CDSL
41	NSDLDPID	char	8	Mandatory if Default DP if NSDL
42	NSDLCLTID	char	8	Mandatory if Default DP if NSDL
43	Account Type 1	varchar	2	Refer Account Type
44	Account No 1	varchar	20	
45	MICR No 1	varchar	9	
46	IFSC Code 1	varchar	11	
47	Bank Name 1	char	20	Bank Name
48	Bank Branch 1	char	40	Bank Branch
49	Default Bank Flag	varchar	1	Y/N
50	Bank1 Created At			DD/MM/YYYY HH:MM:SS AM/PM
51	Bank1 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
52	Bank1 Status			VALID/INVALID
53	Account Type 2	varchar	2	Refer Account Type
54	Account No 2	varchar	20	
55	MICR No 2	varchar	9	
56	IFSC Code 2	varchar	11	
57	Bank Name 2	char	20	Bank Name
58	Bank Branch 2	char	40	Bank Branch
59	Default Bank Flag	varchar	1	Y/N
60	Bank2 Created At			DD/MM/YYYY HH:MM:SS AM/PM
61	Bank2 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM

62	Bank2 Status			VALID/INVALID
63	Account type 3	varchar	2	Refer Account Type
64	Account No 3	varchar	20	
65	MICR No 3	varchar	9	
66	IFSC Code 3	varchar	11	
67	Bank Name 3	char	20	Bank Name
68	Bank Branch 3	char	40	Bank Branch
69	Default Bank Flag	varchar	1	Y/N
70	Bank3 Created At			DD/MM/YYYY HH:MM:SS AM/PM
71	Bank3 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
72	Bank3 Status			VALID/INVALID
73	Account type 4	varchar	2	Refer Account Type
74	Account No 4	varchar	20	
75	MICR No 4	varchar	9	
76	IFSC Code 4	varchar	11	
77	Bank Name 4	char	20	Bank Name
78	Bank Branch 4	char	40	Bank Branch
79	Default Bank Flag	varchar	1	Y/N
80	Bank4 Created At			DD/MM/YYYY HH:MM:SS AM/PM
81	Bank4 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
82	Bank4 Status			VALID/INVALID
83	Account type 5	varchar	2	Refer Account Type
84	Account No 5	varchar	20	
85	MICR No 5	varchar	9	
86	IFSC Code 5	varchar	11	
87	Bank Name 5	char	20	Bank Name
88	Bank Branch 5	char	40	Bank Branch
89	Default Bank Flag	varchar	1	Y/N
90	Bank5 Created At		24	DD/MM/YYYY HH:MM:SS AM/PM
91	Bank5 Last Modified At		24	DD/MM/YYYY HH:MM:SS AM/PM
92	Bank5 Status			VALID/INVALID
93	Cheque name	varchar	35	
94	Div pay mode	varchar	2	01/02/03/04/05
95	Address 1	varchar	40	Not mandatory for NRI
96	Address 2	varchar	40	
97	Address 3	varchar	40	
98	City	varchar	35	Not mandatory for NRI
99	State	varchar	2	Not mandatory for NRI
100	Pincode	varchar	6	Not mandatory for NRI
101	Country	varchar	35	Not mandatory for NRI

102	Resi. Phone	varchar	15	Not mandatory for NRI
103	Resi. Fax	varchar	15	Not mandatory for NRI
104	Office Phone	varchar	15	Not mandatory for NRI
105	Office Fax	varchar	15	Not mandatory for NRI
106	Email	varchar	50	
107	Communication Mode	varchar	1	P-Physical/E-Email/M-Mobile
108	Foreign Address 1	varchar	40	Mandatory for NRI, Except for Seafarer. Refer Tax Status
109	Foreign Address 2	varchar	40	
110	Foreign Address 3	varchar	40	
111	Foreign Address City	varchar	35	Mandatory for NRI
112	Foreign Address Pincode	varchar	10	Mandatory for NRI
113	Foreign Address State	varchar	35	Mandatory for NRI
114	Foreign Address Country	varchar	3	Mandatory for NRI
115	Foreign Address Resi Phone	varchar	15	
116	Foreign Address Fax	varchar	15	
117	Foreign Address Off. Phone	varchar	15	
118	Foreign Address Off. Fax	varchar	15	
119	Indian Mobile No.	varchar	10	
120	Nominee 1 Name	varchar	40	
121	Nominee 1 Relationship	varchar	40	Mandatory if client nominee available
122	Nominee 1 Applicable(%)	Number	5,2	Mandatory if client nominee available
123	Nominee 1 Minor Flag	Char	1	Mandatory if client nominee available
124	Nominee 1 DOB	Date		Mandatory if Nominee minor flag Y
125	Nominee 1 Guardian	Char	35	Mandatory if Nominee minor flag Y
126	Nominee 2 Name	Char	40	
127	Nominee 2 Relationship	Char	40	Mandatory if Nominee 2 available
128	Nominee 2 Applicable(%)	Number	5,2	Mandatory if Nominee 2 available
129	Nominee 2 DOB	Date		Mandatory if Nominee 2 available DD/MM/YYYY
130	Nominee 2 Minor Flag	Varchar	1	Mandatory if Nominee 2 available
131	Nominee 2 Guardian	Char	35	Mandatory if Nominee 2 available
132	Nominee 3 Name	Char	40	
133	Nominee 3 Relationship	Char	40	Mandatory if Nominee 3 available
134	Nominee 3 Applicable(%)	Number	5,2	Mandatory if Nominee 3 available
135	Nominee 3 DOB	Date		Mandatory if Nominee 3 available DD/MM/YYYY
136	Nominee3 Minor Flag	Varchar	1	Mandatory if Nominee 3 available
137	Nominee3 Guardian	Char	35	Mandatory if Nominee 3 available

138	Primary Holder KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
139	Primary Holder CKYC Number	Numeric	14	Mandatory if primary holder KYC type 'C'
140	Second Holder KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
141	Second Holder CKYC Number	Numeric	14	Mandatory if second holder KYC type 'C'
142	Third Holder KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
143	Third Holder CKYC Number	Numeric	14	Mandatory if third holder KYC type 'C'
144	Guardian KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
145	Guardian CKYC Number	Numeric	14	Mandatory if Guardian KYC type 'C'
146	Primary Holder KRA Exempt Ref. No.	Varchar	10	Mandatory if Primary Holder Pan Exempt
147	Second Holder KRA Exempt Ref. No.	Varchar	10	Mandatory if Second Holder Pan Exempt
148	Third Holder KRA Exempt Ref. No	Varchar	10	Mandatory if Third Holder Pan Exempt
149	Guardian Exempt Ref. No	Varchar	10	Mandatory if Guardian Pan Exempt
150	Aadhaar Updated	Char	1	
151	Mapin Id.	varchar	16	
152	Paperless flag	Char	1	Investor onboarding P- Paper/ Z- paperless
153	LEI No	varchar	20	
154	LEI Validity	Date		DD/MM/YYYY
155	Branch	Char	10	Branch
156	Dealer	Char	10	Dealer
157	Created By	Char	20	
158	Created At	Char	24	DD/MM/YYYY HH:MM:SS AM/PM
159	Last Modified By	Char	20	
160	Last Modified At	Char	24	DD/MM/YYYY HH:MM:SS AM/PM

Upload Email/Mobile:

Sr. No.	Field Name	Data Type	Field Length	Remarks
1	Client Code	Varchar	10	
2	Order No	Varchar	10	Refer Table mentioned below
3	Mobile No.	Number	10	
4	Email Id	Varchar	50	

Order Type	Value to be Passed
Redemption	Redemption order No.(only for IML/API Members)
Switch	SO order No.
SWP	SWP Registration No.
STP	STP Registration No.
ASTP	ASTP Registration No.