

Annexure I

Transactional alerts downloaded by the Exchange to facilitate effective surveillance at the Member end:

Sr. No.	Transactional Alerts	Segment
1.	Significantly increase in client activity	Cash
2.	Sudden trading activity in dormant account	Cash
3.	Clients / Group of Client(s) dealing in common scrips	Cash
4.	Clients / Group of Client(s) is concentrated in a few illiquid scrips	Cash
5.	Clients / Group of Client(s) dealing in scrip in minimum lot size	Cash
6.	Clients / Group of Client(s) Concentration in a scrip	Cash
7.	Circular Trading	Cash
8.	Pump and Dump	Cash
9.	Reversal of Trades	Cash
		Derivatives
10.	Front Running	Cash
11.	Concentrated position in the Open Interest / High Turnover concentration	Derivatives
12.	Order book spoofing i.e. large orders away from market	Cash

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