

## THE YAMUNA SYNDICATE LIMITED

(Regd. Office : Radaur Road, Yamunanagar-135 001)(Haryana)

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CIN : U24101HR1954PLC001837, Website : www.yamunasynndicate.com

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

### General information about company

1 Scrip code	417299
2 Name of the company	THE YAMUNA SYNDICATE LIMITED
3 Whether company is SME	No
4 Class of Security	Equity Shares
5 Type of report	Prelisting
6 Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	17.02.2018
7 Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	

Sr. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	NO
2	Whether the Listed Entity has issued any Convertible Securities?	NO
3	Whether the Listed Entity has issued any Warrants?	NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?	NO
5	Whether the Listed Entity has any shares in locked-in?	NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?	NO
7	Whether company has equity shares with differential voting rights?	NO

For The Yamuna Syndicate Ltd  
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**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (*)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	5	230111	0	0	230111	230111.00	230111	0	230111	74.87	0	74.87	230111	74.87	0	0	230111
(B)	Public	195	77254	0	0	77254	25.13	77254	0	77254	25.13	0	25.13	0	0	0	0	61170
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	200	307365	0	0	307365	230136	307365	0	307365	100	0	100	230111	74.87	0	0	291281

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A. Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
(I)	Category & Name of the Shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XII)= (VII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Indian																	
(a)	Individuals/Hindu undivided Family :	5	230111	0	0	230111	230111	230111	0	230111	74.87	0	74.87	230111	74.87	0	0	230111
	-Ranjit Puri	1	77386	0	0	77386	77386	77386	0	77386	25.18	0	25.18	77386	25.18	0	0	77386
	-Ranjit Puri (HUF)	1	70642	0	0	70642	70642	70642	0	70642	22.98	0	22.98	70642	22.98	0	0	70642
	-Aditya Puri	1	60859	0	0	60859	60859	60859	0	60859	19.80	0	19.80	60859	19.80	0	0	60859
	-Nina Puri	1	13939	0	0	13939	13939	13939	0	13939	4.53	0	4.53	13939	4.53	0	0	13939
	-Tanu Priya Puri	1	7285	0	0	7285	7285	7285	0	7285	2.37	0	2.37	7285	2.37	0	0	7285
(b)	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(1)	5	230111	0	0	230111	230111	230111	0	230111	74.87	0	74.87	230111	74.87	0	0	230111
(2)	Foreign																	
(a)	Individuals (NonResident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	5	230111	0	0	230111	230111.00	230111	0	230111	74.87	0	74.87	230111	74.87	0	0	230111
	Details of Shares which remain unclaimed for Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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B. Table III - Statement showing shareholding pattern of the Public shareholder																		
(I)	Category & Name of the Shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XII)= (VII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)		
(1)	Institutions																	
(a)	Mutual Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e)	Foreign Portfolio Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(f)	Financial Institutions/ Banks	2	94	0	0	94	0.03	94	0	94	0.03	0	0.03	0	0	0	0	25
(g)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Sub-Total (B)(1)</b>	<b>2</b>	<b>94</b>	<b>0</b>	<b>0</b>	<b>94</b>	<b>0.03</b>	<b>94</b>	<b>0</b>	<b>94</b>	<b>0.03</b>	<b>0</b>	<b>0.03</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>25</b>
(2)	Central Government/ State Government(s)/ President of India (Investor Education & Protection Fund)	1	2688	0	0	2688	0.87	2688	0	2688	0.87	0	0.87	0	0	0	0	2688
	<b>Sub-Total (B)(2)</b>	<b>1</b>	<b>2688</b>	<b>0</b>	<b>0</b>	<b>2688</b>	<b>0.87</b>	<b>2688</b>	<b>0</b>	<b>2688</b>	<b>0.87</b>	<b>0</b>	<b>0.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2688</b>
(3)	Non-institutions																	
(a)(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	177	10474	0	0	10474	3.41	10474	0	10474	3.41	0	3.41	0	0	0	0	2231

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(I)	Category & Name of the Shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg : X	Class eg :Y	Total								
(II)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)
(a(ii))	ii. Individual shareholders holding nominal share capital in excess of Rs. 2	4	57032	0	0	57032	18.56	57032	0	57032	18.56	0	18.56	0	0	0	0	49644
	-Romesh Malhan	1	42846	0	0	42846	13.94	42846	0	42846	13.94	0	13.94	0	0	0	0	42846
	-Dharam Bir Malik	1	7028	0	0	7028	2.29	7028	0	7028	2.29	0	2.29	0	0	0	0	0
	-Arvind Malhan	1	4080	0	0	4080	1.33	4080	0	4080	1.33	0	1.33	0	0	0	0	3880
	-Sujata Varadharajan	1	3078	0	0	3078	1.00	3078	0	3078	1.00	0	1.00	0	0	0	0	2918
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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Category & Name of the Shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XII)= (VII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
							No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
							Class eg : X	Class eg : Y									Total
(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(e) Any Other (specify)	11	6966	0	0	6966	2.27	6966	0	6966	2.27	0	2.27	0	0	0	0	6582
NRI – Repat	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRI – Non- Repat	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Enemy Property -Pakistani Resident	1	176	0	0	176	0.06	176	0	176	0.06	0	0.06	0	0	0	0	88
Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Director or Director's Relatives	5	348	0	0	348	0.11	348	0	348	0.11	0	0.11	0	0	0	0	112
Bodies Corporate	4	1686	0	0	1686	0.55	1686	0	1686	0.55	0	0.55	0	0	0	0	1626
PARASRAM COMMODITIES PVT. LTD.	1	4756	0	0	4756	1.55	4756	0	4756	1.55	0	1.55	0	0	0	0	4756
<b>Sub-Total (B)(3)</b>	<b>192</b>	<b>74472</b>	<b>0</b>	<b>0</b>	<b>74472</b>	<b>24.23</b>	<b>74472</b>	<b>0</b>	<b>74472</b>	<b>24.23</b>	<b>0</b>	<b>24.23</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>58457</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>195</b>	<b>77254</b>	<b>0</b>	<b>0</b>	<b>77254</b>	<b>25.13</b>	<b>77254</b>	<b>0</b>	<b>77254</b>	<b>25.13</b>	<b>0</b>	<b>25.13</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>61170</b>
Details of the shareholders acting as persons in Concert for Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Details of Shares which remain unclaimed for Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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**C. Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

(I)	Category & Name of the Shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of partly paid-up equity shares held (V)	No. Of shares underlying Depository * Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XII)= (VII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(1)	Custodian/DR Holder - Name of DR Holders (If Available)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Total ( A+B+C )</b>	<b>200</b>	<b>307365</b>	<b>0</b>	<b>0</b>	<b>307365</b>	<b>230136</b>	<b>307365</b>	<b>0</b>	<b>307365</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>230111</b>	<b>74.87</b>	<b>0</b>	<b>0</b>	<b>291281</b>

Note : The above formats needs to disclose name & PAN of all holders holding more than 1% of total number of

OF THE YAMUNA SYNDICATE LTD.  
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