

Annexure 1: Revised File Structure

Nomenclature (File Name)	CM PAN_DDMMYYYY_Batch No. Explanation: “PAN” shall be the Clearing Member’s PAN (Alpha Numeric 10 characters) Date shall be the trade date for which reporting is done. Batch No. starts from 01, in case of multiple files of same day. It shall be a csv file and zip format.
Frequency of Reporting	Daily basis
Cut-off time for submission	Cut-off time will be 5 PM on T+1 day

Sr. No.	Field Name	Length (Max)	Description
1	Date	DD-MM-YYYY	Date shall be the trade date for which reporting is done. It should match with the date mentioned in file nomenclature.
2	Clearing Member PAN	Char (10)	Alpha-numeric clearing member PAN. Self-Clearing Member should insert PAN number in both CM PAN & TM PAN column.
3	Trading member PAN	Char (10)	Alpha-numeric trading member PAN Value can be Blank when CP code is there Self-Clearing Member should insert PAN number in both CM PAN & TM PAN column.
4	CP Code	Char (12)	Alpha-numeric CP code Value can be Blank only when Client PAN is there or Account type is 'P'.
5	CP PAN	Char (10)	Alpha-numeric CP PAN Value can be Blank only when Client PAN is there or Account type is 'P'.
6	Client PAN	Char (10)	Alpha-numeric Client PAN Value can be Blank only when CP Code is there or Account type is 'P'. For PAN EXEMPT clients, members are required to enter 'PAN EXEMPT' in the client PAN column (Column 6).
7	Account Type	Char (01)	'P' for Pro and 'C' for Client/CP.
8	Segment Indicator	Char (03)	Values shall be the following: Values shall be CM, FO, CD, CO, SLB, OFS, TPR, DT and EGR
9	UCC Code	Char (10)	Alpha-numeric UCC Code Value can be Blank when CP code is populated, or Account type is 'P'.
10	Financial Ledger balance-A in the books of TM for clients and in the books of CM for TM (Pro) and in the books of CM for CP	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value shall be for the segment. It shall include the Credit entry on account of EPI and MTM values. Negative Balance allowed.

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11	Financial Ledger balance (clear)-B in the books of TM for clients and in the books of CM for TM (Pro) and in the books of CM for CP	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value shall be for the segment. Financial ledger balance, after adjusting for open bills of the client, un-cleared cheques deposited or issued and the margin obligations. Open bills also contain 'value of credit entry posted in client ledger in lieu of successful EPI to CC'. Negative Balance allowed
12	Peak Financial Ledger Balance (Clear)-C in the books of TM for clients and in the books of CM for TM (Pro) and in the books of CM for CP	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digit. Value shall be for the segment. Highest net credit balance for the segment during the day. However, in case there is clear debit balance for the segment, then report lowest net debit balance. Financial ledger balance, after adjusting for open bills of the client, un-cleared cheques deposited or issued and the margin obligations. Open bills also contain 'value of credit entry posted in client ledger in lieu of successful EPI to CC'. Negative Balance allowed
13	Bank Guarantee (BG) received by TM from clients and by CM from TM (Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits.
14	Fixed Deposit Receipt (FDR) received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits.
15	Approved Securities Cash Component received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut
16	Approved Securities Non-cash component received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut
17	Non-Approved Securities received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut

Sr. No.	Field Name	Length (Max)	Description
18	Value of CC approved Commodities received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut
19	Other collaterals received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. Decimals shall be allowed up to 3 digits. Other collateral would be any other form not covered in Column 10 to Column 18.
20	Credit entry in ledger in lieu of EPI for clients / TM(Pro)	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value of credit entry posted in ledger in lieu of successful EPI to CC, which is considered for margin purpose for the day.
21	Pool Account for clients / TM(Pro)	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value of the securities, which are sold and available in the Pool account of the member and considered for margin purpose for the day.
22	Cash Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral retained by the TM from client.
23	Bank Guarantee (BG) Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from client and retained with the TM.
24	Fixed Deposit Receipt (FDR) Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from client and retained with the TM. It should not contain details of FDR passed on to the CM in any other form of collateral.
25	Approved Securities Cash Component Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut
26	Approved Securities Non-cash component Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut
27	Non-Approved Securities Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut

Sr. No.	Field Name	Length (Max)	Description
28	Value of CC approved Commodities Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. Value after appropriate haircut
29	Other Collaterals Retained by TM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits.
30	Cash placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP.
31	Bank Guarantee (BG) placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP. The details shall include BG created out of client funds. For account type 'C' the details shall include funded portion of BG and for account type 'P' the details shall include non-funded portion of BG. For TM(Pro) if BG is created from own funds and placed with Clearing Member the same shall also be included for account type 'P'.
32	Fixed deposit receipt (FDR) placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP. The details shall include FD created out of client funds.
33	Approved Securities Cash Component placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP. Value after appropriate haircut
34	Approved Securities Non-cash component placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP. Value after appropriate haircut
35	Non-Approved Securities placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP. Value after appropriate haircut

Sr. No.	Field Name	Length (Max)	Description
36	Value of CC approved Commodities placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to Collaterals placed with CM for Client/TM(Pro)/CP. Value after appropriate haircut
37	Other Collaterals placed with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits.
38	Cash Retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM.
39	Bank Guarantee (BG) retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM.
40	Fixed deposit receipt (FDR) retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM. It should not contain details of FDR passed on to the CC in any other form of collateral.
41	Approved Securities Cash Component retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM. Value after appropriate haircut
42	Approved Securities Non-cash component retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM. Value after appropriate haircut
43	Non-Approved Securities retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM. Value after appropriate haircut
44	Value of CC approved Commodities retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM. Value after appropriate haircut

Sr. No.	Field Name	Length (Max)	Description
45	Other Collaterals Retained with CM	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral received from TM/CP and retained by the CM. Value after appropriate haircut
46	Cash placed with ICCL	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral placed with CC for the Client/TM(Pro)/CP/CM.
47	Bank Guarantee (BG) placed with ICCL	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral placed with CC for the Client/TM(Pro)/CP/CM.
48	Fixed deposit receipt (FDR) placed with ICCL	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral placed with CC for the Client/TM(Pro)/CP/CM.
49	Approved Securities Cash Component placed with ICCL	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral placed with CC for the Client/TM(Pro)/CP/CM. Value after appropriate haircut
50	Approved Securities Non-cash component placed with ICCL	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral placed with CC for the Client/TM(Pro)/CP/CM. Value after appropriate haircut
51	Value of CC approved Commodities placed with ICCL	Number (20)	Value in Rs. Decimals shall be allowed upto 3 digits. The details will pertain to collateral placed with CC for the Client/TM(Pro)/CP/CM. Value after appropriate haircut
52	MTF / Non MTF Indicator	Char (07)	Values shall be either of the following: NON MTF Or MTF Or NA NON MTF means all segments other than MTF (like CM, FO, CD, CO, SLB, MFSS and DEBT), (Since MTF is available in CM segment, system shall not accept MTF type in any segments other than CM segment)

Sr. No.	Field Name	Length (Max)	Description
53	Uncleared Receipts	Number (20)	Value in Rs. (Zero ('0') or positive numeric value) Decimals shall be allowed upto 3 digits. Cannot be blank. This will include: (1) Value of cheques received from the clients, which are not cleared at EOD and/or (2) Funds received through Payment gateways however same is not credited in bank account at EOD
54	Govt Securities / T-bills received by TM from clients and by CM from TM(Pro) and from CPs	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits Value of G-Sec / T-bills which is provided through E-Kuber and not by way of margin pledge-repledge mechanism
55	Govt Securities / T-bills Retained by TM	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits Value of G-Sec / T-bills which is provided through E-Kuber and not by way of margin pledge-repledge mechanism
56	Govt Securities / T-bills placed with CM	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits Value of G-Sec / T-bills which is provided through E-Kuber and not by way of margin pledge-repledge mechanism
57	Govt Securities / T-bills retained with CM	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits Value of G-Sec / T-bills which is provided through E-Kuber and not by way of margin pledge-repledge mechanism
58	Govt Securities / T-bills placed with ICCL	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits Value of G-Sec / T-bills which is provided through E-Kuber and not by way of margin pledge-repledge mechanism
59	Bank Guarantee (BG) Funded portion retained with CM	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits. For account type 'C' and 'P' the details shall include only funded portion of BG retained with CM. (Funded portion of BG to be shown for account type 'C' would be equal to value shown in account type 'C' in the column 'Bank Guarantee (BG) retained with CM'. Aggregate value of Funded portion of BG to be shown for account type 'P' in

Sr. No.	Field Name	Length (Max)	Description
			column 'Bank Guarantee (BG) Funded portion retained with CM' and Non funded portion of BG to be shown for account type 'P' in column 'Bank Guarantee (BG) Non funded portion retained with CM' should be equal to the value shown in account type 'P' in the column 'Bank Guarantee (BG) retained with CM')
60	Bank Guarantee (BG) Non funded portion retained with CM	Number (20)	<p>Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits. For account type 'P' the details shall include non-funded portion of BG retained with CM For account type 'C' same is Zero</p> <p>Note: Aggregate value of Funded portion of BG to be shown for account type 'P' in column 'Bank Guarantee (BG) Funded portion retained with CM' and Non funded portion of BG to be shown for account type 'P' in column 'Bank Guarantee (BG) Non funded portion retained with CM' should be equal to the value shown in account type 'P' in the column 'Bank Guarantee (BG) retained with CM'.</p>
61	Bank Guarantee (BG) Funded portion placed with ICCL	Number (20)	<p>Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits. For account type 'C' and 'P' the details shall include only funded portion of BG placed with ICCL.</p> <p>Note: Funded portion of BG to be shown for account type 'C' would be equal to value shown in account type 'C' in the column 'Bank Guarantee (BG) placed with ICCL'. Aggregate value of Funded portion of BG to be shown for account type 'P' in column 'Bank Guarantee (BG) Funded portion placed with ICCL' and Non funded portion of BG to be shown for account type 'P' in column 'Bank Guarantee (BG) Non funded portion placed with ICCL' should be equal to the value shown in account type 'P' in the column 'Bank Guarantee (BG) placed with ICCL'.</p>
62	Bank Guarantee (BG) Non funded portion placed with ICCL	Number (20)	<p>Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits. For account type 'P' the details shall include non-funded portion of BG placed with ICCL. For account type 'C' same is Zero Note: Aggregate value of Funded portion of BG to be shown for account type 'P' in column 'Bank</p>

Sr. No.	Field Name	Length (Max)	Description
			Guarantee (BG) Funded portion placed with ICCL' and Non funded portion of BG to be shown for account type 'P' in column 'Bank Guarantee (BG) Non funded portion placed with ICCL' should be equal to the value shown in account type 'P' in the column 'Bank Guarantee (BG) placed with ICCL'.
63	Settlement Amount	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits. Note: The amount of funds released on account of running account settlement of client on settlement date as per BSE circular 20220727-48 dated July 27, 2022 and debited from bank account on next day of settlement date shall be provided. Aforesaid value shall be provided only in the submission made for the next working day after the settlement date as per aforesaid circular e.g. if settlement date is October 07, 2022 as per aforesaid circular, then said data needs to be provided in the submission made for next day i.e. October 10, 2022. Value should be mentioned 0 in the submissions made for other days.
64	Unclaimed/Unsettled Client Funds	Char(03)	YES Or NA Note: In case a member is unable to settle the client account due to non-availability of client's bank accounts and non-traceability of client as per BSE Circular No. 20200210-47 dated February 10, 2020 then member shall write Yes, else NA
65	Cash Collateral for MTF positions	Number (20)	Value in Rs. (Zero ('0') or positive values only) Decimals shall be allowed upto 3 digits Note: Cash collateral collected from clients for the MTF positions and available in books of accounts maintained for MTF. Value will be mentioned in MTF indicator only and Value will be Zero ('0') for Non-MTF indicator

Note :-

- The sum of client collateral retained by the TM/CM and client collateral passed on to CM/CC shall equal the amount of collateral received by the TM/CM from the client.
- CMs shall perform validations at their end in respect of reporting done by TMs.
- **Client active in MTF and NON MTF** : Maximum two rows/entries (one for MTF and second for NON MTF) shall be reported in CM segment of a particular client for a particular day.
- **Client active either in NON MTF or MTF only**: Only single rows/entries (for MTF or NON MTF) in CM segment shall be reported of a particular client for a particular day.
- Member shall not upload duplicate/multiple records of the same client for one segment.