



Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: SAI SWAMI METALS AND ALLOYS LIMITED			
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. 31(1)(a)			
a. If under 31(1)(b) then indicate the report for Quarter ending		07-05-2024	
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		
2	Whether the Listed Entity has issued any Convertible Securities ?		
3	Whether the Listed Entity has issued any Warrants ?		
4	Whether the Listed Entity has any shares against which depository receipts are issued?		
5	Whether the Listed Entity has any shares in locked-in?	Yes*	
6	Whether any shares held by promoters are pledge or otherwise encumbered?		
7	Whether company has equity shares with differential voting rights?		
8	Whether the listed entity has any significant beneficial owner?		
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>			

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No. s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstating convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
(A)	Promoter & Promoter Group	2	4112820	0	0	4112820	62.1487	4112820	0	4112820	62.1487	0	62.1487	4112820	100.0000	0	0.0000	4112820
(B)	Public	1142	2504890	0	0	2504890	37.8513	2504890	0	2504890	37.8513	0	37.8513	4890	0.1952	0	0	2504890
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	1144	6617710	0	0	6617710		6617710	0	6617710	100.0000	0	100.0000	4117710	62.2226			6617710

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)		
							No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
							Class Equity	Class Others											
1 Institutions (Domestic)																			
(a) Mutual Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(c) Alternate Investment Funds	2	8000	0	0	8000	0.1209	8000	0	8000	0.1209	0	0.0000	0	0.0000	NA	NA	8000		
(d) Banks	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(e) Insurance Companies	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(f) Provident / Pension Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(g) Asset Reconstruction Companies	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(h) Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(i) NBFCs registered with RBI	2	8000	0	0	8000	0.1209	8000	0	8000	0.1209	0	0.0000	0	0.0000	NA	NA	8000		
(j) Other Financial Institutions	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
SUB TOTAL (B)(1)	4	16000	0	0	16000	0.2418	16000	0	16000	0.2418	0	0.0000	0	0.0000	NA	NA	16000		
2 Institutions (Foreign)																			
(a) Foreign Direct Investment	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(c) Foreign Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(d) Foreign Portfolio Investors Category I	11	24000	0	0	24000	0.3627	24000	0	24000	0.3627	0	0.0000	0	0.0000	NA	NA	24000		
(e) Foreign Portfolio Investors Category II	3	6000	0	0	6000	0.0907	6000	0	6000	0.0907	0	0.0000	0	0.0000	NA	NA	6000		
(f) OVERSEAS DEPOSITORIES (Holding DRs) (Balancing Figure)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
SUB TOTAL (B)(2)	14	30000	0	0	30000	0.4533	30000	0	30000	0.4533	0	0.0000	0	0.0000	NA	NA	30000		
3 Central Government / State Government																			
(a) Central Government / President of India	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b) State Government / Governor	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(c) Central/State Govt. shareholding by Cos or Bodies Corp	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
SUB TOTAL (B)(3)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
4 Non-institutions																			
(a) Associate Companies / Subsidiaries	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b) Directors And their relatives (Non-Promoter)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(c) Key Managerial Personnel	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(d) Relatives of Promoters (Non-Promoter)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(e) Trusts (Non-Promoter)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(f) Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(g) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	988	2024890	0	0	2024890	30.5980	2024890	0	2024890	30.5980	0	0.0000	0	0.0000	NA	NA	2024890		
(i) NON RESIDENT INDIANS (NRIs)	10	20000	0	0	20000	0.3022	20000	0	20000	0.3022	0	0.0000	0	0.0000	NA	NA	20000		
(j) FOREIGN NATIONALS	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(k) Foreign Companies	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(l) BODIES CORPORATE	24	66000	0	0	66000	0.9973	66000	0	66000	0.9973	0	0.0000	0	0.0000	NA	NA	66000		
(m) Any Other (CLEARING MEMBER)	3	134000	0	0	134000	2.0249	134000	0	134000	2.0249	0	0.0000	0	0.0000	NA	NA	134000		
SUNFLOWER BROKING PRIVATE LIMITED - PROP	1	128000	0	0	128000	1.9342	128000	0	128000	1.9342	0	0.0000	0	0.0000	NA	NA	128000		
(m) Any Other(HINDU UNDIVIDED FAMILY)	99	214000	0	0	214000	3.2337	214000	0	214000	3.2337	0	0.0000	0	0.0000	NA	NA	214000		
SUB TOTAL (B)(4)	1124	2458890	0	0	2458890	37.1562	2458890	0	2458890	37.1562	0	0.0000	0	0.0000	NA	NA	2458890		
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	1142	2504890	0	0	2504890	37.8513	2504890	0	2504890	37.8513	0	0.0000	0	0.0000	NA	NA	2504890		
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0																			
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1) PAN would not be displayed on website of Stock Exchange(s).																			
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.																			
(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.																			
(5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:																			
(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.																			
(ii) Shareholders who have entered into shareholder agreement with the listed entity.																			
(iii) Shareholders acting as persons in concert with promoters.																			

Annexure - B

Table VI - Statement Showing Foreign Ownership Limit

	Board approved limits	Limits utilized
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0