

**NOTICE CUM ADDENDUM TO THE SCHEME INFORMATION DOCUMENT /
KEY INFORMATION MEMORANDUM OF SBI SMALL CAP FUND**

Further to our notice-cum-addendum dated March 26, 2020 and May 08, 2020 pertaining to subscriptions through lumpsum investment (including additional investments / Switch in) and Systematic Investment Plan (SIP) and Systematic Transfer Plan (STP) in SBI Small Cap Fund ("the Scheme"), notice is hereby given that, in terms of enabling provision of the Scheme Information Document, SBI Mutual Fund Trustee Company Private Limited, Trustees of SBI Mutual Fund has decided to make following changes in the Scheme, with effect from September 08, 2020:

- a. Fresh subscriptions through lumpsum investment (including additional investments / Switch in) in the Scheme will be discontinued till further notice.
- b. All on-going / existing SIPs, STP-in registered before September 08, 2020 will continue to be processed in the Scheme.
- c. Fresh registration through SIP / STP-in on or after September 08, 2020 in the Scheme will be capped at ₹5,000/- per month per PAN (first holder / guardian PAN) for daily, weekly, monthly and quarterly frequencies.
- d. The caps for various frequencies will be as under:
 - Daily SIP / STP-in: ₹250/-
 - Weekly SIP / STP-in: ₹1,250/-
 - Monthly SIP / STP-in: ₹5,000/-
 - Quarterly SIP / STP-in: ₹15,000/-
- e. SIPs will not be available under Semi-annual and Annual frequency in the Scheme.
- f. SIP Top-up facility, Flex STP-in, CASTP-in, DTP-in and Swing STP-in will not be available in the Scheme.

All other terms and conditions pertaining to SIPs / STPs remain unchanged under the Scheme.

The AMC reserves the right to reject the application and refund the amount without any notice to the investor, if the application made under the Scheme is not in line with the applicable provisions of the scheme related documents. Further, the Trustees of SBI Mutual Fund may at their sole and absolute discretion decide to close the investment under the Scheme again at any time.

All other terms and conditions of the Scheme remain unchanged. This addendum forms an integral part of the Scheme Information Document / Key Information Memorandum of the Scheme as amended from time to time.

Investors are requested to kindly take note of the above.

For SBI Funds Management Private Limited

Sd/-

Vinay M. Tonse

Managing Director & CEO

Place: Mumbai

Date: September 04, 2020

Asset Management Company: SBI Funds Management Private Limited (A Joint Venture between SBI & AMUNDI) (CIN: U65990MH1992PTC065289), **Trustee:** SBI Mutual Fund Trustee Company Pvt. Ltd. (CIN: U65991MH2003PTC138496), **Sponsor:** State Bank of India **Regd Office:** 9th Floor, Crescenzo, C- 38 & 39, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai - 400051
Tel: 91-22-61793000 • **Fax:** 91-22-67425687 • **E-mail:** partnerforlife@sbiimf.com • www.sbiimf.com

**Mutual Fund investments are subject to market risks,
read all scheme related documents carefully.**