

**Certificate from Statutory Auditor on Bank Guarantees (BGs) created out of clients' funds.**

We have examined the relevant books of accounts, records and documents maintained by M/s. \_\_\_\_\_, bearing SEBI registration number \_\_\_\_\_, a member of the \_\_\_\_\_ and obtained all the information and explanations to fulfill the requirements as prescribed by SEBI vide Circular no. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/061 dated April 25, 2023.

Based on the scrutiny of relevant books of accounts, records and documents, we hereby certify as under:

- a) No new BGs have been created by the M/s. \_\_\_\_\_ out of clients' funds from May 01, 2023 onwards.
- b) M/s. \_\_\_\_\_ has no existing / open BGs created out of client funds as on September 30, 2023 and on the date of execution of this certificate.

Further, we declare that we do not have any direct / indirect interest in or relationship with the member or its shareholders / directors / partners / proprietors / management, and also confirm that we do not perceive any conflict of interest in such relationship / interest with the said member.

(Seal & Signature)

(Name of the Proprietor / Partner / Person Signing)

(Auditor Designation)

Membership no. / CP. No.:

For (Name of Firm)

Firm Registration Number:

Unique Document Identification Number (UDIN):

Place:

Date: