SAMCO MUTUAL FUND

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 – A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W), Mumbai - 400 013

Declaration from residents of USA / Canada for investment in Mutual Fund(s).

1. Investor Details (Mandatory) to be filled in block letters

Folio No. | | | | | | | | | | | | **PAN** | | | | | | | | | | |

Name of First Applicant Mr. / Ms. / M/s.

Name of Guardian (in case of minor) Mr. / Ms. / M/s.

Name of Second Applicant Mr. / Ms. / M/s.

Name of Third Applicant Mr. / Ms. / M/s.

I/We, hereby unequivocally and irrevocably declare, accept the statements, all of which I/We am/are fully knowledgeable about, as follows:

- I/We am/are a Non Resident Indian(s) (NRI) / Person(s) of Indian Origin (PIO) as per the extant laws of the Republic of India currently residing in the United States of America.
- I/We understand and agree with the Samco Mutual Fund. Samco Asset Management Limited have neither filed any of their scheme related documents /constitution documents nor have registered the units of the schemes of Samco Mutual Fund in any jurisdiction other than the Republic of India. The same has also been clearly mentioned in scheme related documents/ constitution documents.
- I/We have not been communicated/ solicited/marketed/advertised about making any investment(s) in the scheme(s) of Samco Mutual Fund either by way of (a) any physical means (including but not limited to any advertisement, article, notice or other communication published in any newspaper, magazine or similar media, seminar, meeting, press conference, mailings, billboards or (b) any electronic means (including but not limited to a television/radio broadcast, internet, social media, electronic mails) in a jurisdiction where I am residing by any person(s) including Samco Mutual Fund, Samco Asset Management limited or their respective directors, trustees, employees, agents, assigns.
- I/We confirm that by applying for subscription to the schemes of Samco Mutual Fund, I/We are not in any non-compliance, violation or in irregularity with any/all extant laws (of any jurisdiction) applicable to me/us and that I/We have not been barred/prohibited from accessing the capital markets under any order/ruling/judgement/decree etc. in any jurisdiction.
- I/We understand and acknowledge that Samco Mutual Fund, Samco Asset Management Limited reserves the right to reject or accept any investments and may redeem any investments already made, at their sole discretion and as they may deem fit without assigning any reasons.
- I/we hereby authorize Samco Mutual Fund, Samco Asset Management Limited or their respective directors, trustees, employees, agents, assigns to disclose, share, or remit in any form/manner/mode any information pertaining to the investment(s) being made by me/us to any Indian or foreign statutory, regulatory, judicial, quasi-judicial authority/ agency without any intimation to me/us.
- I/we hereby declare that I/we are fully aware of the implications (legal, compliance, regulatory and tax related) of making such investment.
- I/we hereby declare that the said investment(s) is being made by me/us under my/our free will and volition and no person (directly or indirectly) whatsoever has made any communication/solicitation/advertisement/marketing(active/passive) to me/us for making such investment.
- In case of any inconsistency being found between the information being provided by me/us in the application form provided by me/us for making investments and the information contained herein, the contents of this declaration shall prevail.

Date D D M M Y Y Y Y

Place

Signature (s)

FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT