**Annexure B1**

**Auditor’s Certificate**

**(To be on the letterhead of the system auditor)**

I/We, M/s. (Name of the system auditor / system audit firm) have examined the reports of the tests conducted by the BSE members M/s. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(TM Code :\_\_\_\_\_\_\_) in User Acceptance Testing (UAT), Exchange Mock/Simulation Environment and Exchange Test Market environments. This is to certify that the member has successfully completed testing of all the areas (impacted components as well as the entire facility) including the areas as laid down by SEBI/Exchange for the below mentioned software / facilities in the said environments separately. The below mentioned software / facilities are in compliance with SEBI/Exchange circulars, Advisory, Guidelines and other communications issued from time to time with respect to Order Management, Systems and Network, Access and Security controls, Data Protection, Cyber Security & Cyber Resilience framework, Risk checks, etc. and can be deployed in live environment.

|  |  |
| --- | --- |
| Category: | (New / Modification) |
| Name of the facility: | (ETI/IBT/DMA/STWT/SOR/AT) |
| Segment/s: |  |
| Application Name: |  |
| Application Version No: |  |
| Name of the Strategy/ies (in case of AT): |  |
| Strategy/ies Version No: |  |
| Exe/Browser/Lite (in case of IBT) and Android/ iOS (in case of STWT): |  |
| Name of Software Vendor: |  |
| Date/s of UAT performed |  |
| Date/s of testing in Test Market Environment: |  |
| Date/s of participation in Mock or Simulation environment (as applicable): |  |

**Declaration:**

There is no conflict of interest with respect to the member being audited and our directors / promoters are not directly or indirectly related to the current directors or promoters of the member being audited.

Signature

(Name of the Auditor & Auditing firm)

CISA / DISA / CISM / CISSP Reg. No :

Date:

Place:

Stamp/Seal: