

Annexure – I

Client Registration Bulk Upload

Sr. No.	Field Name	Data Type	Field Length	Mandatory/ Optional	Remarks
1	Client Code (UCC)	char	10	Mandatory	
2	Primary Holder First Name	char	70	Mandatory	
3	Primary Holder Middle Name				
4	Primary Holder Last Name				
5	Tax Status	char	2	Mandatory	Refer Tax Status
6	Gender	char	1	Conditional Mandatory	Mandatory for Individual and Minor clients M/F/O
7	Primary Holder DOB/Incorporation	char	10	Mandatory	DD/MM/YYYY
8	Occupation Code	char	2	Mandatory	Refer Occupation Code
9	Holding Nature	char	2	Mandatory	Refer Holding (SI/ JO/ AS)
10	Second Holder First Name	char	70	Conditional Mandatory	Mandatory if Mode of Holding JO/AS
11	Second Holder Middle Name				
12	Second Holder Last Name				
13	Third Holder First Name	char	70	Optional	
14	Third Holder Middle Name				
15	Third Holder Last Name				
16	Second Holder DOB	DATE	10	Optional	Mandatory if SECOND HOLDER NAME mentioned DD/MM/YYYY
17	Third Holder DOB	DATE	10	Optional	Mandatory if THIRD HOLDER NAME mentioned DD/MM/YYYY
18	Guardian First Name	char	120	Conditional Mandatory	Mandatory for Minor Clients
19	Guardian Middle Name				
20	Guardian Last Name				
21	Guardian DOB	DATE	10	Optional	Mandatory for Minor Clients DD/MM/YYYY
22	Primary Holder PAN Exempt	Varchar	1	Conditional Mandatory	
23	Second Holder PAN Exempt	Varchar	1	Conditional Mandatory	
24	Third Holder PAN Exempt	Varchar	1	Conditional Mandatory	
25	Guardian PAN Exempt	Varchar	1	Conditional Mandatory	

26	Primary Holder PAN	char	10	Conditional Mandatory	Mandatory if Primary Holder PAN Exempt flag N. Client Master Validation
27	Second Holder PAN	char	10	Conditional Mandatory	Mandatory if Second Holder PAN Exempt flag N. Client Master Validation
28	Third Holder PAN	Char	10	Conditional Mandatory	Mandatory if Third PAN Exempt flag N Client Master Validation
29	Guardian PAN	Char	10	Conditional Mandatory	Mandatory if Guardian PAN Exempt flag N. Client Master Validation
30	Primary Holder-Exempt Category	Varchar	2	Conditional Mandatory	Mandatory if PRIMARY Holder PAN Exempt flag Y. Refer PAN Exempt Category
31	Second Holder Exempt Category	Varchar	2	Conditional Mandatory	Mandatory if SECOND HOLDER PAN Exempt flag Y. Refer PAN Exempt Category
32	Third Holder Exempt Category	Varchar	2	Conditional Mandatory	Mandatory if THIRD HOLDER PAN Exempt flag Y. Refer PAN Exempt Category
33	Guardian Exempt Category	Varchar	2	Conditional Mandatory	Mandatory if Guardian PAN Exempt flag Y. Refer PAN Exempt Category
34	Client Type	Varchar	1	Mandatory	D/P
35	PMS	CHAR	1	Conditional Mandatory	Mandatory if client type D (Y/N)
36	Default DP	Char	4	Conditional Mandatory	Mandatory if client type D (CDSL/NSDL)
37	CDSL DPID	Char	8	Conditional Mandatory	Mandatory if Default DP is CDSL
38	CDSLCLTID	Char	16	Conditional Mandatory	Mandatory if Default DP is CDSL
39	CMBP Id	Number	16	Conditional Mandatory	Mandatory if client Default DP is CDSL
40	NSDLDPID	char	8	Conditional Mandatory	Mandatory if Default DP if NSDL
41	NSDLCLTID	char	8	Conditional Mandatory	Mandatory if Default DP if NSDL
42	Account Type 1	varchar	2	Mandatory	Refer Account Type
43	Account No 1	varchar	20	Mandatory	
44	MICR No 1	varchar	9	Optional	
45	IFSC Code 1	varchar	11	Mandatory	
46	Default Bank Flag	varchar	1	Mandatory	Y/N
47	Account Type 2	varchar	2	Optional	Refer Account Type
48	Account No 2	varchar	20	Conditional Mandatory	Mandatory if Client Acctype 2 is available
49	MICR No 2	varchar	9	Optional	Mandatory if Client Acctype 2 is available and IFSC not available
50	IFSC Code 2	varchar	11	Conditional Mandatory	Mandatory if Client Acctype 2 is available and MICR not available
51	Default Bank Flag	varchar	1	Conditional Mandatory	Mandatory if Client Acctype 2 is available (Y/N)
52	Account type 3	varchar	2	Optional	Refer Account Type

53	Account No 3	vchar	20	Conditional Mandatory	Mandatory if Client Acctype 3 is available
54	MICR No 3	vchar	9	Optional	Mandatory if Client Acctype 3 is available and IFSC not available
55	IFSC Code 3	vchar	11	Conditional Mandatory	Mandatory if Client Acctype 3 is available and MICR not available
56	Default Bank Flag	vchar	1	Conditional Mandatory	Mandatory if Client Acctype 3 is available (Y/N)
57	Account type 4	vchar	2	Optional	Refer Account Type
58	Account No 4	vchar	20	Conditional Mandatory	Mandatory if Client Acctype 4 is available
59	MICR No 4	vchar	9	Optional	Mandatory if Client Acctype 4 is available and IFSC not available
60	IFSC Code 4	vchar	11	Conditional Mandatory	Mandatory if Client Acctype 4 is available and MICR not available
61	Default Bank Flag	vchar	1	Conditional Mandatory	Mandatory if Client Acctype 4 is available (Y/N)
62	Account type 5	vchar	2	Optional	Refer Account Type
63	Account No 5	vchar	20	Conditional Mandatory	Mandatory if Client Acctype 5 is available
64	MICR No 5	vchar	9	Optional	Mandatory if Client Acctype 5 is available and IFSC not available
65	IFSC Code 5	vchar	11	Conditional Mandatory	Mandatory if Client Acctype 5 is available and MICR not available
66	Default Bank Flag	vchar	1	Conditional Mandatory	Mandatory if Client Acctype 5 is available (Y/N)
67	Cheque name	vchar	35	Optional	
68	Div pay mode	vchar	2	Mandatory	01/02/03/04/05
69	Address 1	vchar	40	Mandatory	Not mandatory for NRI
70	Address 2	vchar	40	Optional	
71	Address 3	vchar	40	Optional	
72	City	vchar	35	Mandatory	Not mandatory for NRI
73	State	vchar	2	Mandatory	Not mandatory for NRI State Code
74	Pincode	vchar	6	Mandatory	Not mandatory for NRI
75	Country	vchar	35	Mandatory	Not mandatory for NRI Country Code
76	Resi. Phone	vchar	15	Optional	Not mandatory for NRI
77	Resi. Fax	vchar	15	Optional	Not mandatory for NRI
78	Office Phone	vchar	15	Optional	Not mandatory for NRI
79	Office Fax	vchar	15	Optional	Not mandatory for NRI
80	Email	vchar	50	Mandatory	
81	Communication Mode	vchar	1	Mandatory	P-Physical/E-Email/M-Mobile
82	Foreign Address 1	vchar	40	Conditional Mandatory	Mandatory for NRI, Except for Seafarer. Refer Tax Status
83	Foreign Address 2	vchar	40	Optional	
84	Foreign Address 3	vchar	40	Optional	

85	Foreign Address City	vvarchar	35	Conditional Mandatory	Mandatory for NRI
86	Foreign Address Pincode	vvarchar	10	Conditional Mandatory	Mandatory for NRI
87	Foreign Address State	vvarchar	35	Conditional Mandatory	Mandatory for NRI
88	Foreign Address Country	vvarchar	3	Conditional Mandatory	Mandatory for NRI Country Code
89	Foreign Address Resi Phone	vvarchar	15	Optional	
90	Foreign Address Fax	vvarchar	15	Optional	
91	Foreign Address Off. Phone	vvarchar	15	Optional	
92	Foreign Address Off. Fax	vvarchar	15	Optional	
93	Indian Mobile No.	vvarchar	10	Conditional Mandatory	Mandatory for Individual Optional for Non Individual and NRI
94	Nominee 1 Name	vvarchar	40	Optional	
95	Nominee 1 Relationship	vvarchar	40	Conditional Mandatory	Mandatory if client nominee available
96	Nominee 1 Applicable(%)	Number	5,2	Conditional Mandatory	Mandatory if client nominee available
97	Nominee 1 Minor Flag	Char	1	Conditional Mandatory	Mandatory if client nominee available
98	Nominee 1 DOB	Date		Conditional Mandatory	Mandatory if Nominee minor flag Y DD/MM/YYYY
99	Nominee 1 Guardian	Char	35	Conditional Mandatory	Mandatory if Nominee minor flag Y
100	Nominee 2 Name	Char	40	Optional	
101	Nominee 2 Relationship	Char	40	Conditional Mandatory	Mandatory if Nominee 2 available
102	Nominee 2 Applicable(%)	Number	5,2	Conditional Mandatory	Mandatory if Nominee 2 available
103	Nominee 2 DOB	Date		Conditional Mandatory	Mandatory if Nominee 2 available DD/MM/YYYY
104	Nominee 2 Minor Flag	Vvarchar	1	Conditional Mandatory	Mandatory if Nominee 2 available
105	Nominee 2 Guardian	Char	35	Conditional Mandatory	Mandatory if Nominee 2 available
106	Nominee 3 Name	Char	40	Optional	
107	Nominee 3 Relationship	Char	40	Conditional Mandatory	Mandatory if Nominee 3 available
108	Nominee 3 Applicable(%)	Number	5,2	Conditional Mandatory	Mandatory if Nominee 3 available
109	Nominee 3 DOB	Date		Conditional Mandatory	Mandatory if Nominee 3 available DD/MM/YYYY
110	Nominee3 Minor Flag	Vvarchar	1	Conditional Mandatory	Mandatory if Nominee 3 available
111	Nominee3 Guardian	Char	35	Conditional Mandatory	Mandatory if Nominee 3 available
112	Primary Holder KYC Type	CHAR	1	Mandatory	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)

113	Primary Holder CKYC Number	Numeric	14	Conditional Mandatory	Mandatory if primary holder KYC type 'C'
114	Second Holder KYC Type	CHAR	1	Optional	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
115	Second Holder CKYC Number	Numeric	14	Conditional Mandatory	Mandatory if second holder KYC type 'C'
116	Third Holder KYC Type	CHAR	1	Optional	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
117	Third Holder CKYC Number	Numeric	14	Conditional Mandatory	Mandatory if third holder KYC type 'C'
118	Guardian KYC Type	CHAR	1	Optional	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
119	Guardian CKYC Number	Numeric	14	Conditional Mandatory	Mandatory if Guardian KYC type 'C'
120	Primary Holder KRA Exempt Ref. No.	Varchar	10	Conditional Mandatory	Mandatory if Primary Holder Pan Exempt
121	Second Holder KRA Exempt Ref. No.	Varchar	10	Conditional Mandatory	Mandatory if Second Holder Pan Exempt
122	Third Holder KRA Exempt Ref. No	Varchar	10	Conditional Mandatory	Mandatory if Third Holder Pan Exempt
123	Guardian Exempt Ref. No	Varchar	10	Conditional Mandatory	Mandatory if Guardian Pan Exempt
124	Aadhaar Updated	Char	1	Optional	
125	Mapin Id.	varchar	16	Optional	
126	Paperless flag	Char	1	Mandatory	Investor onboarding P- Paper/ Z- paperless
127	LEI No	Varchar	20	Optional	
128	LEI Validity	Date		Conditional Mandatory	DD/MM/YYYY
129	Mobile No Self Declaration	Varchar	02	Conditional Mandatory	Mandatory if Mobile no. provided
130	Email Self Declaration	Varchar	02	Conditional Mandatory	Mandatory if Email Id. provided
131	Filler 3				

CLIENT MASTER REPORT - New

Sr. No.	Field Name	Data Type	Field Length	Remarks
1	Member Code	Char	10	
2	Client Code (UCC)	Char	10	
3	Primary Holder First Name	Char	70	
4	Primary Holder Middle Name	Char		
5	Primary Holder Last Name	Char		
6	Tax Status	char	2	Refer Tax Status
7	Gender	char	1	Mandatory for Individual and Minor clients M/F/O
8	Primary Holder DOB/Incorporation	char	10	DD/MM/YYYY
9	Occupation Code	char	2	Refer Occupation Code
10	Holding Nature	char	2	Refer Holding (SI/ JO/ AS)
11	Second Holder First Name	char	70	Mandatory if Mode of Holding JO/AS
12	Second Holder Middle Name	char		Mandatory if Mode of Holding JO/AS
13	Second Holder Last Name	char		Mandatory if Mode of Holding JO/AS
14	Third Holder First Name	char	70	Mandatory if Mode of Holding JO/AS
15	Third Holder Middle Name	char		Mandatory if Mode of Holding JO/AS
16	Third Holder Last Name	char		Mandatory if Mode of Holding JO/AS
17	Second Holder DOB	DATE	10	Mandatory if SECOND HOLDER NAME mentioned DD/MM/YYYY
18	Third Holder DOB	DATE	10	Mandatory if THIRD HOLDER NAME mentioned DD/MM/YYYY
19	Guardian First Name	char	120	Mandatory for Minor Clients
20	Guardian Middle Name	char		
21	Guardian Last Name	char		
22	Guardian DOB	DATE	10	Mandatory for Minor Clients DD/MM/YYYY
23	Primary Holder PAN Exempt	Varchar	1	
24	Second Holder PAN Exempt	Varchar	1	
25	Third Holder PAN Exempt	Varchar	1	
26	Guardian PAN Exempt	Varchar	1	
27	Primary Holder PAN	char	10	Mandatory if Primary Holder PAN Exempt flag N.
28	Second Holder PAN	char	10	Mandatory if Second HOLDER PAN Exempt flag N.
29	Third Holder PAN	char	10	Mandatory if Third PAN Exempt flag N

30	Guardian PAN	char	10	Mandatory if Guardian PAN Exempt flag N.
31	Primary Holder-Exempt Category	Varchar	2	Mandatory if PRIMARY Holder PAN Exempt flag Y. Refer PAN Exempt Category
32	Second Holder Exempt Category	Varchar	2	Mandatory if SECOND HOLDER PAN Exempt flag Y. Refer PAN Exempt Category
33	Third Holder Exempt Category	Varchar	2	Mandatory if THIRD HOLDER PAN Exempt flag Y. Refer PAN Exempt Category
34	Guardian Exempt Category	Varchar	2	Mandatory if Guardian PAN Exempt flag Y. Refer PAN Exempt Category
35	Client Type	Varchar	1	D/P
36	PMS	CHAR	1	Mandatory if client type D (Y/N)
37	Default DP	char	4	Mandatory if client type D (CDSL/NSDL)
38	CDSL DPID	char	8	Mandatory if Default DP is CDSL
39	CDSLCLTID	char	16	Mandatory if Default DP is CDSL
40	CMBP Id	Number	16	Mandatory if client Default DP is CDSL
41	NSDLDPID	char	8	Mandatory if Default DP if NSDL
42	NSDLCLTID	char	8	Mandatory if Default DP if NSDL
43	Account Type 1	varchar	2	Refer Account Type
44	Account No 1	varchar	20	
45	MICR No 1	varchar	9	
46	IFSC Code 1	varchar	11	
47	Bank Name 1	char	20	Bank Name
48	Bank Branch 1	char	40	Bank Branch
49	Default Bank Flag	varchar	1	Y/N
50	Bank1 Created At			DD/MM/YYYY HH:MM:SS AM/PM
51	Bank1 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
52	Bank1 Status			VALID/INVALID
53	Account Type 2	varchar	2	Refer Account Type
54	Account No 2	varchar	20	
55	MICR No 2	varchar	9	
56	IFSC Code 2	varchar	11	
57	Bank Name 2	char	20	Bank Name
58	Bank Branch 2	char	40	Bank Branch
59	Default Bank Flag	varchar	1	Y/N
60	Bank2 Created At			DD/MM/YYYY HH:MM:SS AM/PM
61	Bank2 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
62	Bank2 Status			VALID/INVALID
63	Account type 3	varchar	2	Refer Account Type
64	Account No 3	varchar	20	
65	MICR No 3	varchar	9	

66	IFSC Code 3	varchar	11	
67	Bank Name 3	char	20	Bank Name
68	Bank Branch 3	char	40	Bank Branch
69	Default Bank Flag	varchar	1	Y/N
70	Bank3 Created At			DD/MM/YYYY HH:MM:SS AM/PM
71	Bank3 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
72	Bank3 Status			VALID/INVALID
73	Account type 4	varchar	2	Refer Account Type
74	Account No 4	varchar	20	
75	MICR No 4	varchar	9	
76	IFSC Code 4	varchar	11	
77	Bank Name 4	char	20	Bank Name
78	Bank Branch 4	char	40	Bank Branch
79	Default Bank Flag	varchar	1	Y/N
80	Bank4 Created At			DD/MM/YYYY HH:MM:SS AM/PM
81	Bank4 Last Modified At			DD/MM/YYYY HH:MM:SS AM/PM
82	Bank4 Status			VALID/INVALID
83	Account type 5	varchar	2	Refer Account Type
84	Account No 5	varchar	20	
85	MICR No 5	varchar	9	
86	IFSC Code 5	varchar	11	
87	Bank Name 5	char	20	Bank Name
88	Bank Branch 5	char	40	Bank Branch
89	Default Bank Flag	varchar	1	Y/N
90	Bank5 Created At		24	DD/MM/YYYY HH:MM:SS AM/PM
91	Bank5 Last Modified At		24	DD/MM/YYYY HH:MM:SS AM/PM
92	Bank5 Status			VALID/INVALID
93	Cheque name	varchar	35	
94	Div pay mode	varchar	2	01/02/03/04/05
95	Address 1	varchar	40	Not mandatory for NRI
96	Address 2	varchar	40	
97	Address 3	varchar	40	
98	City	varchar	35	Not mandatory for NRI
99	State	varchar	2	Not mandatory for NRI
100	Pincode	varchar	6	Not mandatory for NRI
101	Country	varchar	35	Not mandatory for NRI
102	Resi. Phone	varchar	15	Not mandatory for NRI
103	Resi. Fax	varchar	15	Not mandatory for NRI
104	Office Phone	varchar	15	Not mandatory for NRI
105	Office Fax	varchar	15	Not mandatory for NRI
106	Email	varchar	50	
107	Communication Mode	varchar	1	P-Physical/E-Email/M-Mobile

108	Foreign Address 1	varchar	40	Mandatory for NRI, Except for Seafarer. Refer Tax Status
109	Foreign Address 2	varchar	40	
110	Foreign Address 3	varchar	40	
111	Foreign Address City	varchar	35	Mandatory for NRI
112	Foreign Address Pincode	varchar	10	Mandatory for NRI
113	Foreign Address State	varchar	35	Mandatory for NRI
114	Foreign Address Country	varchar	3	Mandatory for NRI
115	Foreign Address Resi Phone	varchar	15	
116	Foreign Address Fax	varchar	15	
117	Foreign Address Off. Phone	varchar	15	
118	Foreign Address Off. Fax	varchar	15	
119	Indian Mobile No.	varchar	10	
120	Nominee 1 Name	varchar	40	
121	Nominee 1 Relationship	varchar	40	Mandatory if client nominee available
122	Nominee 1 Applicable(%)	Number	5,2	Mandatory if client nominee available
123	Nominee 1 Minor Flag	Char	1	Mandatory if client nominee available
124	Nominee 1 DOB	Date		Mandatory if Nominee minor flag Y
125	Nominee 1 Guardian	Char	35	Mandatory if Nominee minor flag Y
126	Nominee 2 Name	Char	40	
127	Nominee 2 Relationship	Char	40	Mandatory if Nominee 2 available
128	Nominee 2 Applicable(%)	Number	5,2	Mandatory if Nominee 2 available
129	Nominee 2 DOB	Date		Mandatory if Nominee 2 available DD/MM/YYYY
130	Nominee 2 Minor Flag	Varchar	1	Mandatory if Nominee 2 available
131	Nominee 2 Guardian	Char	35	Mandatory if Nominee 2 available
132	Nominee 3 Name	Char	40	
133	Nominee 3 Relationship	Char	40	Mandatory if Nominee 3 available
134	Nominee 3 Applicable(%)	Number	5,2	Mandatory if Nominee 3 available
135	Nominee 3 DOB	Date		Mandatory if Nominee 3 available DD/MM/YYYY
136	Nominee3 Minor Flag	Varchar	1	Mandatory if Nominee 3 available
137	Nominee3 Guardian	Char	35	Mandatory if Nominee 3 available
138	Primary Holder KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
139	Primary Holder CKYC Number	Numeric	14	Mandatory if primary holder KYC type 'C'
140	Second Holder KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
141	Second Holder CKYC Number	Numeric	14	Mandatory if second holder KYC type 'C'

142	Third Holder KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
143	Third Holder CKYC Number	Numeric	14	Mandatory if third holder KYC type 'C'
144	Guardian KYC Type	CHAR	1	(K/C/B/E) (K - KRA Compliant C- CKYC Compliant B-BIOMETRIC KYC E- Aadhaar Ekyc PAN)
145	Guardian CKYC Number	Numeric	14	Mandatory if Guardian KYC type 'C'
146	Primary Holder KRA Exempt Ref. No.	Varchar	10	Mandatory if Primary Holder Pan Exempt
147	Second Holder KRA Exempt Ref. No.	Varchar	10	Mandatory if Second Holder Pan Exempt
148	Third Holder KRA Exempt Ref. No	Varchar	10	Mandatory if Third Holder Pan Exempt
149	Guardian Exempt Ref. No	Varchar	10	Mandatory if Guardian Pan Exempt
150	Aadhaar Updated	Char	1	
151	Mapin Id.	varchar	16	
152	Paperless flag	Char	1	Investor onboarding P- Paper/ Z- paperless
153	LEI No	varchar	20	
154	LEI Validity	Date		DD/MM/YYYY
155	Mobile No Self Declaration	Varchar	2	
156	Email Self Declaration	Varchar	2	
157	Branch	Char	10	Branch
158	Dealer	Char	10	Dealer
159	Created By	Char	20	
160	Created At	Char	24	DD/MM/YYYY HH:MM:SS AM/PM
161	Last Modified By	Char	20	
162	Last Modified At	Char	24	DD/MM/YYYY HH:MM:SS AM/PM