

## **Undertaking by Trading Members to Participate in Non-Competitive Bidding Facility for Government Securities**

To BSE Limited,

I/We, is/are admitted to the trading membership of BSE Limited (**BSE**) and eligible to participate on behalf of the registered Client(s) in the Government Securities (**G-Secs**) and/or Treasury Bills (**T-Bills**) Bid Collection Platform (hereinafter referred to as the "**Platform**") being operated and managed by BSE. In consideration of the foregoing, the undersigned agrees and undertakes as under:

1. I/We shall strictly abide by the Terms and Conditions, the Operating Guidelines and any Circulars/Notices as prescribed/issued by BSE including the Notice no \_\_\_\_\_ dated \_\_\_\_\_ and such other Circulars/Notices issued by BSE from time to time governing the use of the Platform by registered trading members.
2. I/We shall ensure strict compliance at all times with the requirements specified by the Reserve Bank of India (**RBI**), and such other requirements as may be specified by any other regulatory/statutory authority or the Government of India, by way of directions, notifications, guidelines, circulars, regulations etc.
3. I/We shall execute, sign and subscribe to such documents, papers, agreements, covenants, bonds and/or undertakings as may be required by BSE or any other regulatory/statutory authority from time to time in connection with my/our participation on the Platform.
4. I/We ensure to comply with Know Your Clients (**KYC**) norms and hereby agree to submit all the required documents for KYC purpose as and when called upon by BSE / any other regulatory/statutory authority.
5. I/We hereby ensure to comply with all the duties, obligations and honour the commitment(s) in the manner as prescribed by RBI and/or BSE.
6. I/We hereby agree and undertake to duly transfer the said G-Secs and/or T-Bills (hereinafter referred to as "**Securities**") received, in the demat account of the respective eligible Client(s). In case, the securities could not be credited in the demat account of the relevant Client(s), then I/We shall be solely responsible to co-ordinate with such Client(s) and take requisite steps to transfer the Securities to the credit of the demat account of such entitled Client(s) and shall not hold BSE/Indian Clearing Corporation Ltd ("**ICCL**") liable for such Securities or any loss or damage caused to the Client(s) arising out of non-receipt of the Securities.
7. I/We undertake to indemnify BSE and ICCL in case of any loss or damage arising out of bids placed by me/us on the Platform.