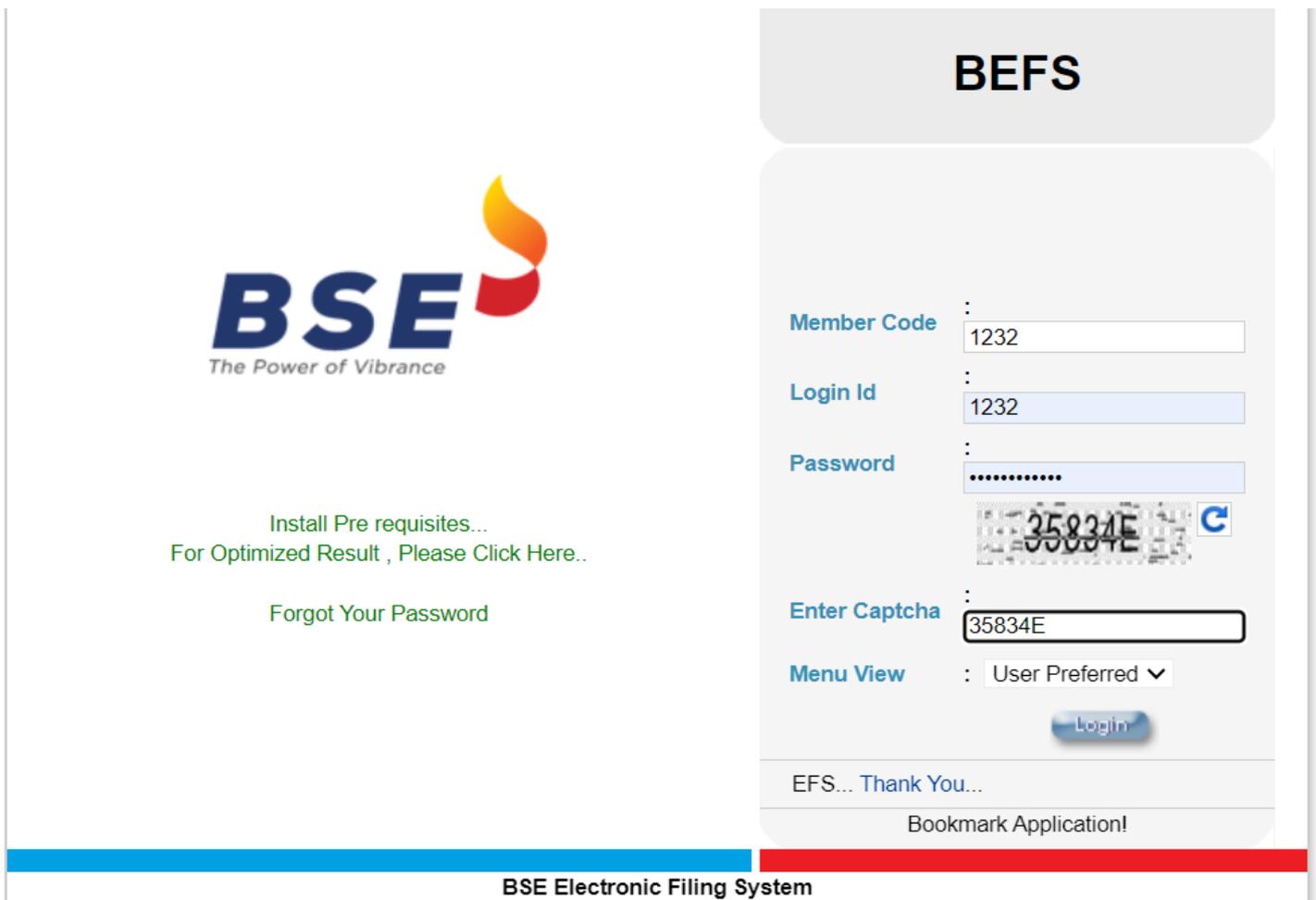


Guidelines for submission of VAPT report on BEFS System

Kindly refer the below mentioned steps to upload VAPT Report on BEFS Portal.

STEP 1: Member to log into BEFS System.

Enter your credentials to access the BEFS Portal.

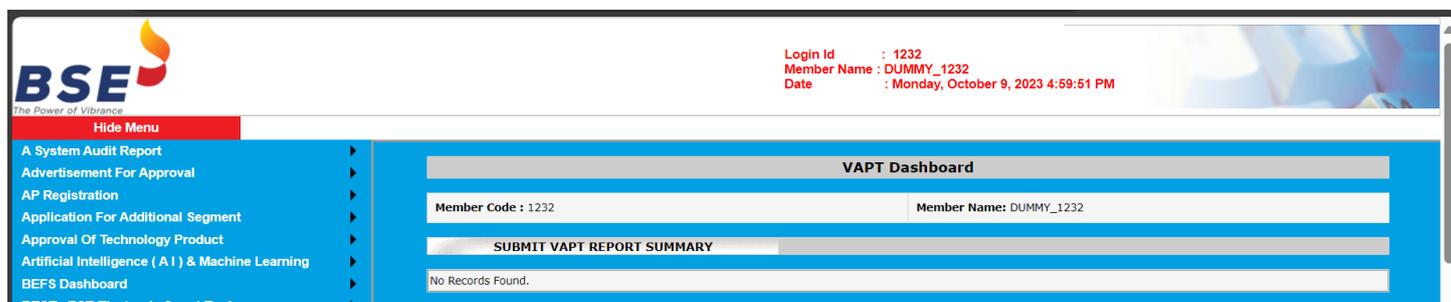


The screenshot displays the BEFS login interface. On the left, the BSE logo is shown above the text "Install Pre requisites... For Optimized Result , Please Click Here.." and "Forgot Your Password". On the right, the "BEFS" header is followed by a login form with fields for "Member Code" (1232), "Login Id" (1232), and "Password" (masked with dots). A captcha image with the code "35834E" is shown, with a corresponding "Enter Captcha" field containing "35834E". A "Menu View" dropdown is set to "User Preferred". A "Login" button is located below the form. At the bottom of the form, it says "EFS... Thank You..." and "Bookmark Application!". A blue and red bar at the bottom of the page contains the text "BSE Electronic Filing System".

STEP 2: Select the **SEBI VAPT** section, click on **“Member VAPT Report Submission”**



STEP 3: After clicking **“Member VAPT Report Submission”**, you will be directed to below mentioned screen.



STEP 4: Click on **“SUBMIT VAPT REPORT SUMMARY”**, the screen below will be displayed.

“Member ID” and **“Name of Entity / Member Name”** will be auto populated as you log in.
“Year of Audit” will be the current financial year i.e., 2023-2024.



Step 5: Once you select the Financial Year below screen would be displayed and member shall be required to enter the VAPT Report details.



Login Id : 1232
Member Name : DUMMY_1232
Date : Monday, October 9, 2023 5:06:42 PM

Hide Menu

- A System Audit Report
- Advertisement For Approval
- AP Registration
- Application For Additional Segment
- Approval Of Technology Product
- Artificial Intelligence (AI) & Machine Learning
- BEFS Dashboard
- BEST - BSE Electronic Smart Trader
- BOW - BOLTPlus On Web
- Bulk / Block Reporting
- Capturing Member Details
- Central Kyc Records Registry
- Change In Directors
- Change Password
- Client Code Modification
- Client Funding Reporting
- Collection Of Documents
- Complaint Management
- Compliance On Bank Guarantees
- Cyber Incident Report
- Cyber Security & Cyber Resilience Audit
- Debt Segment Registration
- Depository
- Display Of Brokerage, Statutory & Regulatory Levies
- Dissemination Board
- E - B O S S
- Early Warning Messages
- Enhance Supervision
- Execution Of Order New
- FIU - I N D - S T R Reporting
- FATF Declaration
- Financial Result
- G S T I N Registration

VAPT Report Summary

Member Id:	1232			
Name Of Entity / Member Name:	DUMMY_1232			
Year Of Audit: *	2023-2024			
VAPT Date: *	From	To		
Date Of Generation Of VAPT Report: *				
Contact Details:	Name	Phone No	Email Address	
Compliance Head: *				
CISO: *				
IT Head: *				
CERT In Assesor: *				
As Per The VAPT Report Submitted To EXCHANGE:	Critical	High	Medium	Low
No Of Identified Vulnerabilities In VAPT Report Submitted To Exchange: *				
No Of Current Open Vulnerabilities: *				
Reason For Non-Closure: *				
<small>(Mention For Critical, High, Medium And Low Separately)</small>				
Final Member Comments:				
CERT IN Assesor VAPT Report: *	<input type="button" value="Choose File"/> <input type="text" value="NO FILE CHOSEN"/>			

(*) Marked will be mandatory.

Wherever "NOT APPLICABLE" Mention "NA / NOT APPLICABLE"

STEP 5: “Attachments Submission” –

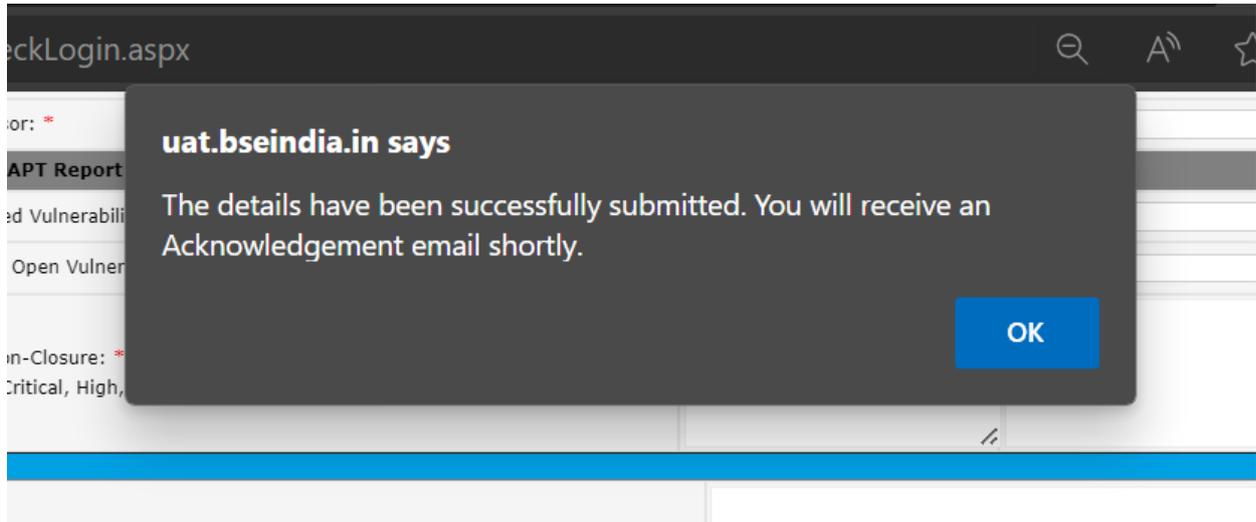
1. Detailed VAPT Report should be prepared along with VAPT Summary and signed by CERT-IN empanelled entity along with digital signature of the Compliance Officer.
2. Executive Summary / Annexure Report is to be submitted in PDF Format. (Annexure 2)
3. TAC / MD approval should be digitally signed by TAC Committee member and to be submitted in PDF Format. (Annexure 3)

IT Head: *	<input type="text"/>	<input type="text"/>	<input type="text"/>
CERT In Assesor: *	<input type="text"/>	<input type="text"/>	<input type="text"/>
As Per The VAPT Report Submitted To EXCHANGE:	Critical	High	Meduim
No Of Identified Vulnerabilities In VAPT Report Submitted To Exchange: *	<input type="text"/>	<input type="text"/>	<input type="text"/>
No Of Current Open Vulnerabilittle: *	<input type="text"/>	<input type="text"/>	<input type="text"/>
Reason For Non-Closure: * (Mention For Critical, High, Medium And Low Separately)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Final Member Comments:	<input type="text"/>		
CERT IN Assesor VAPT Report: *	<input type="button" value="Choose File"/>	NO FILE CHOSEN	
Executive Summary/Annexure Report: *	<input type="button" value="Choose File"/>	NO FILE CHOSEN	
TAC / MD Approval Report: *	<input type="button" value="Choose File"/>	NO FILE CHOSEN	
Action Taken Report: *	<input type="button" value="Choose File"/>	NO FILE CHOSEN	
<p>Note: 1) Please Upload CERT-IN VAPT Report & TAC / MD Approval Report File In .Pdf Format Only. 2) Please Upload Executive Summary/Annexure Report File In Excel Format Only. 3) Executive Summary Report Should Be Digital Signed By CIO, CISO, CTO, Director Or Chairman Of The Member.</p>			
<input type="button" value="BACK"/> <input type="button" value="SUBMIT"/> <input type="button" value="CANCEL"/>			

STEP 6: After successfully uploading three reports with appropriate details.

Click on “Submit” button to upload and complete the submission.

Following Screen will be displayed after you click “Submit”.



NOTE: In case of any issue in submission of the VAPT Report on the portal, reach out to the mentioned email address: member.vapt@bseindia.com, along with the screenshot of error.

Guidelines for submission of Action Taken Report on BEFS System

Kindly refer the below mentioned steps to upload Action Taken Report on BEFS Portal.

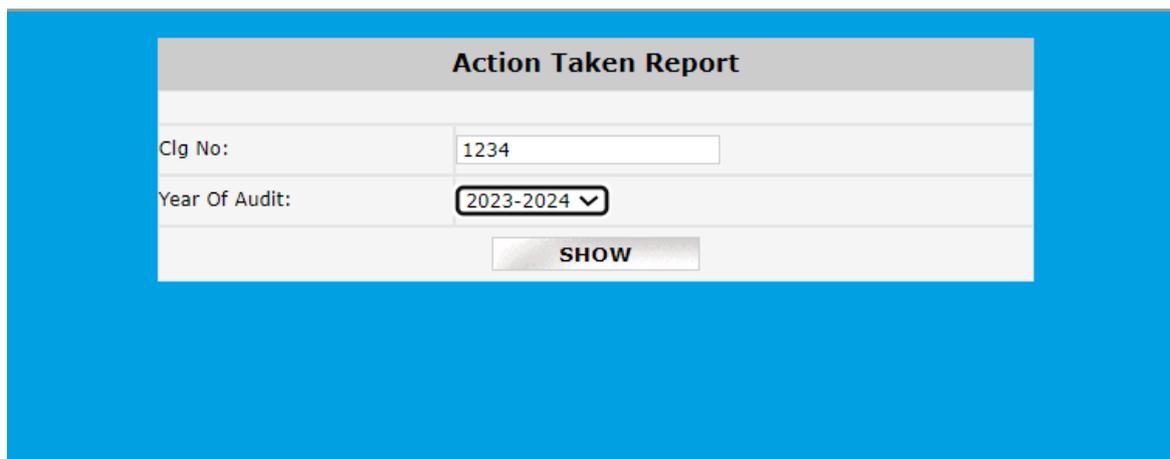
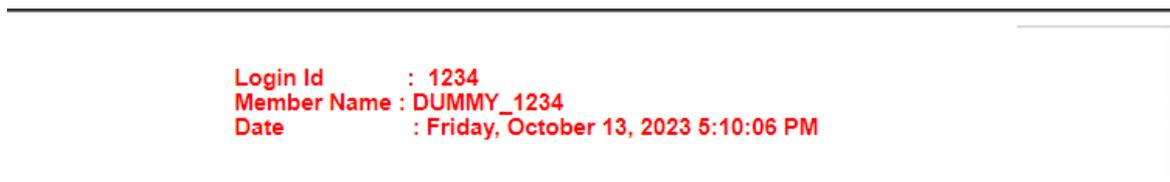
STEP 1: Member to log into BEFS System.

Enter your credentials to access the BEFS Portal.

Select the **SEBI VAPT** section, click on "Action Taken Report".



STEP 3: After clicking "Action Taken Report", you will be directed to below mentioned screen.



STEP 4: Member has to select “Year of Audit” and click on “Show”. Member will be directed to below screen.

Action Taken Report

Clg No:

Year Of Audit: ▼

Action	Sr.No	Member Code	Member Name	Audit Year	VAPT From Date	VAPT To Date	VAPT Report Date	VAPT Report Status	Action Taken Report Date	Action Taken Report Status	CERT-IN VAPT Report	Executive Summary/Annexure Report	TAC / MD Approval Report	ActionTaken Report
Edit	1	1234	DUMMY_1234	2023-2024	04/09/2023	07/09/2023	13/10/2023	SUBMITTED		NON SUBMITTED	Sample File _1013202351851 PM.Pdf	Sample File _1013202351851 PM.Pdf	Sample File _1013202351851 PM.Pdf	

Step 5: Member has to click on “Edit”. Member will be directed to below screen.

Action Taken Report

Clg No:

Year Of Audit: ▼

Login Id : 1234
Member Name : DUMMY_1234
Date : Friday, October 13, 2023 5:10:06 PM

Action	Sr.No	Member Code	Member Name	Audit Year	VAPT From Date	VAPT To Date	VAPT Report Date	VAPT Report Status	Action Taken Report Date
Update Cancel	1	1234	DUMMY_1234	2023-2024	04/09/2023	07/09/2023	13/10/2023	SUBMITTED	

Step 6: Member is to scroll horizontally which will lead to below mentioned screen. Member is required to choose the file and upload Action Taken Report.

VAPT To Date	VAPT Report Date	VAPT Report Status	Action Taken Report Date	Action Taken Report Status	CERT-IN VAPT Report	Executive Summary/Annexure Report	TAC / MD Approval Report	ActionTaken Report
3	13/10/2023	SUBMITTED		NON SUBMITTED	Sample File _1013202351851 PM.Pdf	Sample File _1013202351851 PM.Pdf	Sample File _1013202351851 PM.Pdf	Choose File NO FILE CHOSEN

Step 7: Member has to scroll back horizontally and click on **“Update”**.

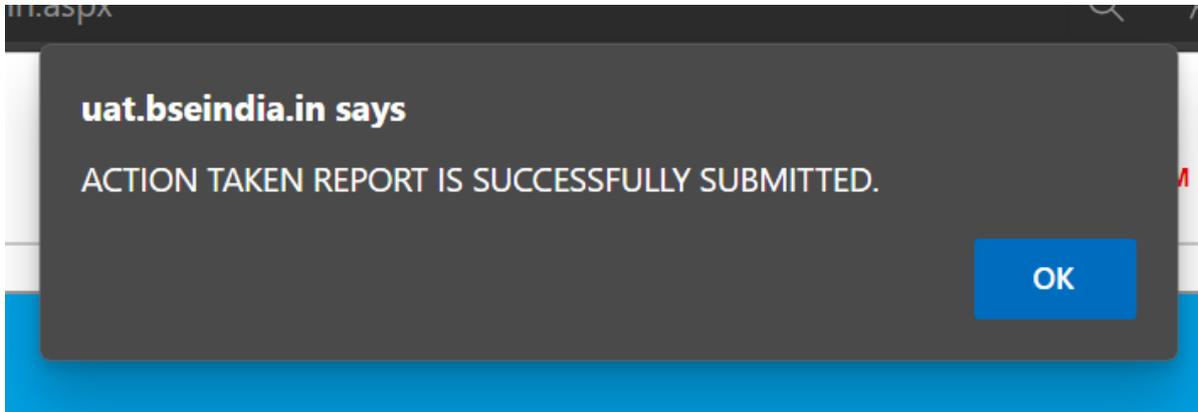
Action Taken Report

Cig No:

Year Of Audit:

Action	Sr.No	Member Code	Member Name	Audit Year	VAPT From Date	VAPT To Date	VAPT Report Date	VAPT Report Status	Action Taken Date
Update Cancel	1	1234	DUMMY_1234	2023-2024	04/09/2023	07/09/2023	13/10/2023	SUBMITTED	

Step 8: After clicking on “Update” following screen will be displayed



Step 9: Post all submissions. You can see all the submissions done.

Action Taken Report														
Clg No:		1234												
Year Of Audit:		2023-2024												
SHOW														
Action Sr.No	Member Code	Member Name	Audit Year	VAPT From Date	VAPT To Date	VAPT Report Date	VAPT Report Status	Action Taken Report Date	Action Taken Report Status	CERT-IN VAPT Report	Executive Summary/Annexure Report	TAC / MD Approval Report	ActionTaken Report	
Edit	1	1234	DUMMY_1234	2023-2024	04/09/2023	07/09/2023	13/10/2023	SUBMITTED	13/10/2023	SUBMITTED	Sample File _1013202351851 PM.Pdf	Sample File _1013202351851 PM.Pdf	Sample File _1013202351851 PM.Pdf	Sample File _1013202352326 PM.Pdf