BSE NDS RST– Web Application.

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1. Introduction

The new version has following additional features:

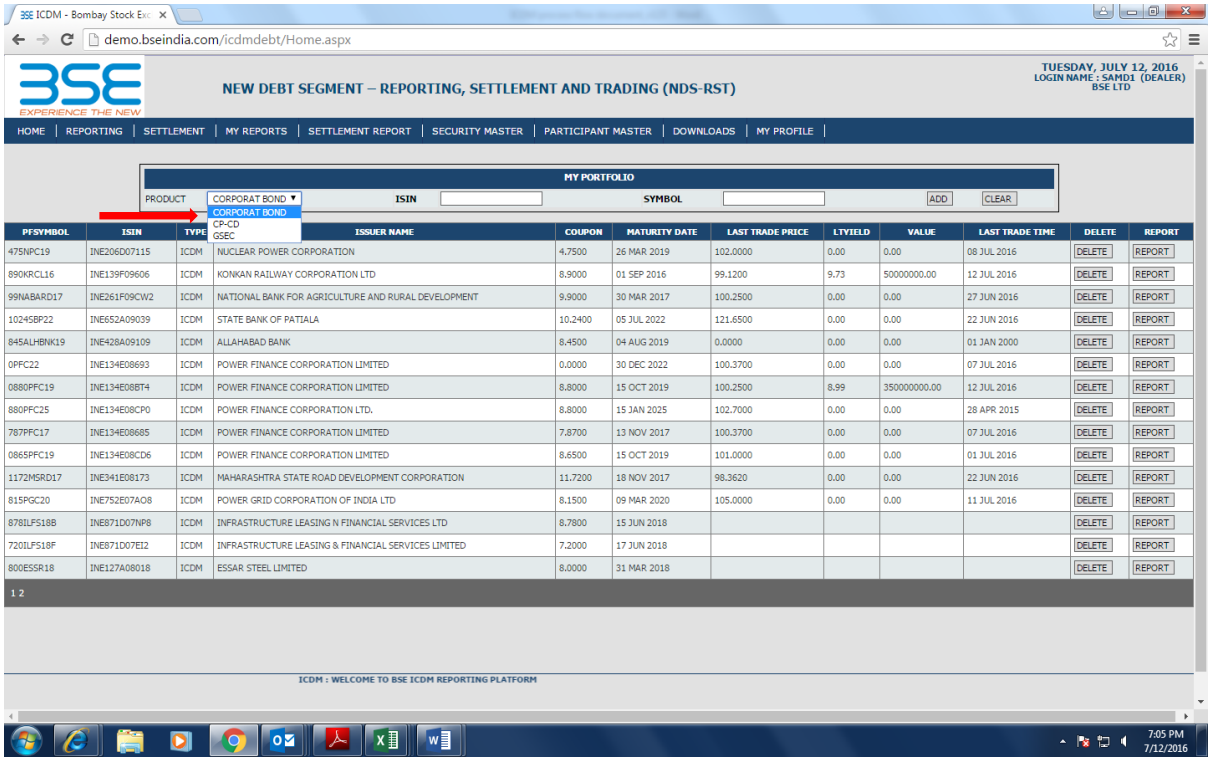
1) Single system for reporting and settlement
2) Portfolio creation facility
3) Single order entry screen for reporting Corporate Bond, Commercial Paper (CP) and Certificate of Deposit (CD), Government Securities
4) Reporting and Settlement from same order entry window
5) Rating Tracker
6) Trade History
7) Autofill functionality in ISIN and Participant Search
8) Daily Market Analytical Reports

2. Home Page

ICDM - Bombay Stock Exc
NSE - National Stock Exch
demo.bseindia.com/icdmdebt/Home.aspx
NEW DEBT SEGMENT – REPORTING, SETTLEMENT AND TRADING (NDS-RST)
WEDNESDAY, JULY 13, 2016
LOGIN NAME : RELIANCEMFD (DEALER)
RELIANCE MUTUAL FUND
HOME | REPORTING | SETTLEMENT | MY REPORTS | SETTLEMENT REPORT | SECURITY MASTER | PARTICIPANT MASTER | DOWNLOADS | MY PROFILE
MY PORTFOLIO
PRODUCT CORPORAT BOND
ISIN
SYMBOL
ADD
CLEAR
PFSYMBOL | ISIN | TYPE | ISSUER NAME | COUPON | MATURITY DATE | LAST TRADE PRICE | LTYIELD | VALUE | LAST TRADE TIME | DELETE | REPORT
910IIL22 | INE537P08016 | ICDM | INDIA INFRADEBT LIMITED | 9.1000 | 20 JUN 2022 | 101.5540 | 0.00 | 0.00 | 16 APR 2015 | DELETE | REPORT
1075IDBIDEC2999 | INE008A08U84 | ICDM | IDBI BANK LIMITED PERPETUAL | 10.7500 | 31 DEC 2099 | 106.4832 | 0.00 | 0.00 | 28 APR 2015 | DELETE | REPORT
15LGC2019 | INE123R07013 | ICDM | LOTUS GREENS CONSTRUCTIONS PVT LTD | 15.0000 | 03 AUG 2019 | | | | | DELETE | REPORT
ICDM : WELCOME TO BSE ICDM REPORTING PLATFORM
3:18 PM
7/13/2016

3. My Portfolio (Market Watch)

The User can create portfolio by adding securities to 'My Portfolio' for reporting purpose by entering 'ISIN' or 'Symbol'. Security once added will remain in 'My Portfolio' until manually deleted. User can report trade directly from 'My Portfolio' by clicking on 'Report' field. For Separate products, separate portfolio has to be created. Member can select product (Corporate Bond/CP-CD/Gsec) from 'PRODUCT' dropdown field.

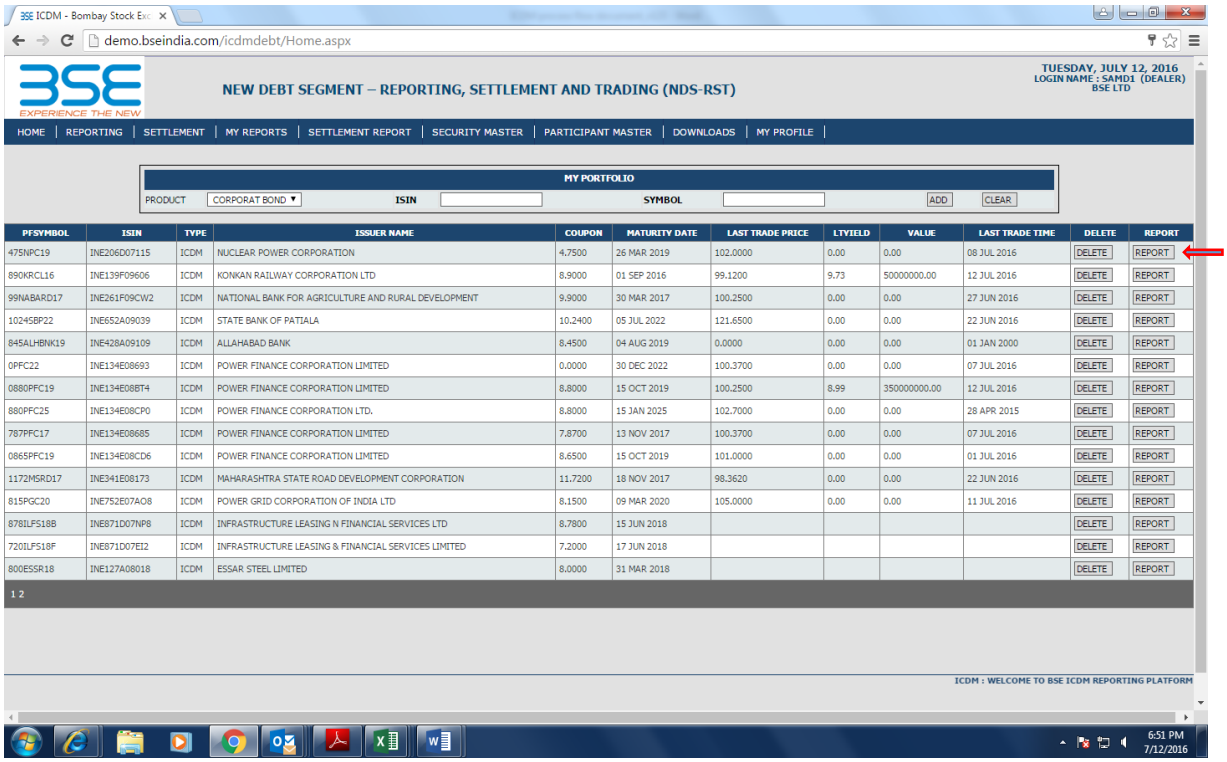


My portfolio (Market watch) for Corporate Bonds

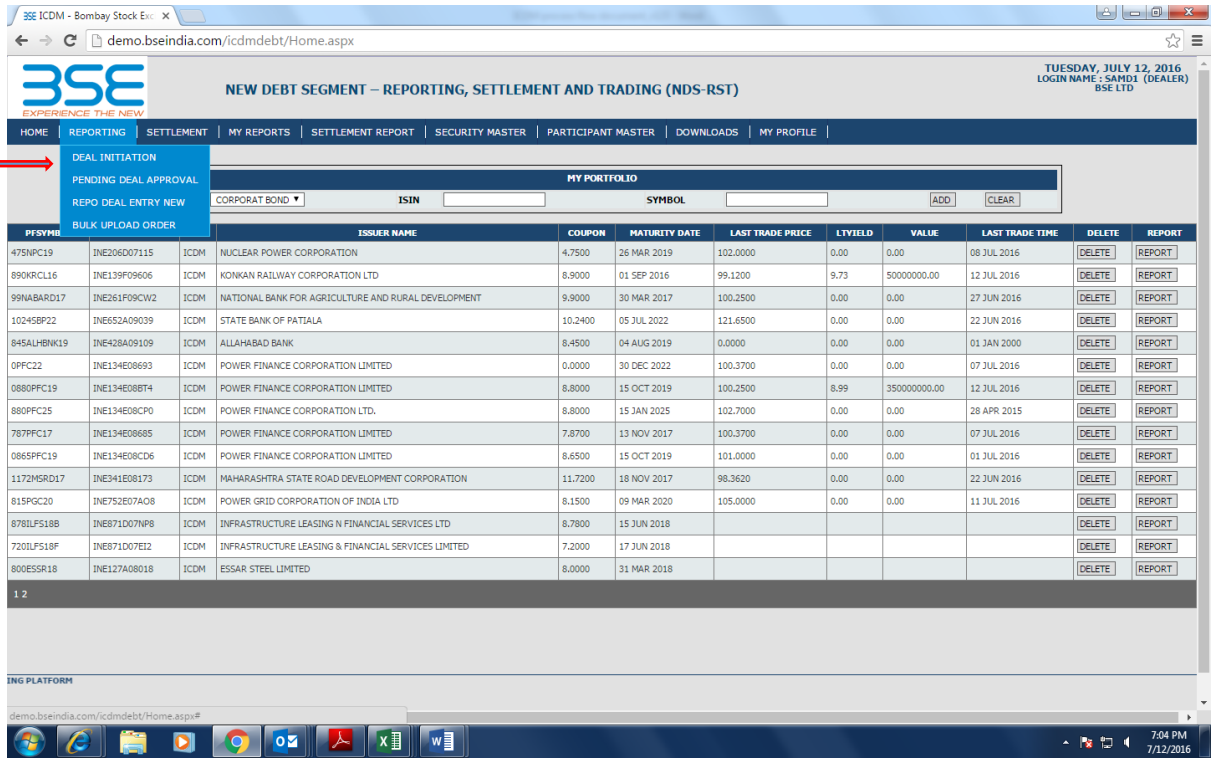
My portfolio (Market watch) for Government Securities

4. Deal Initiation (Deal Reporting):

There are two options for reporting deal. Deal reporting can be initiated from 'My Portfolio' by clicking 'REPORT' field

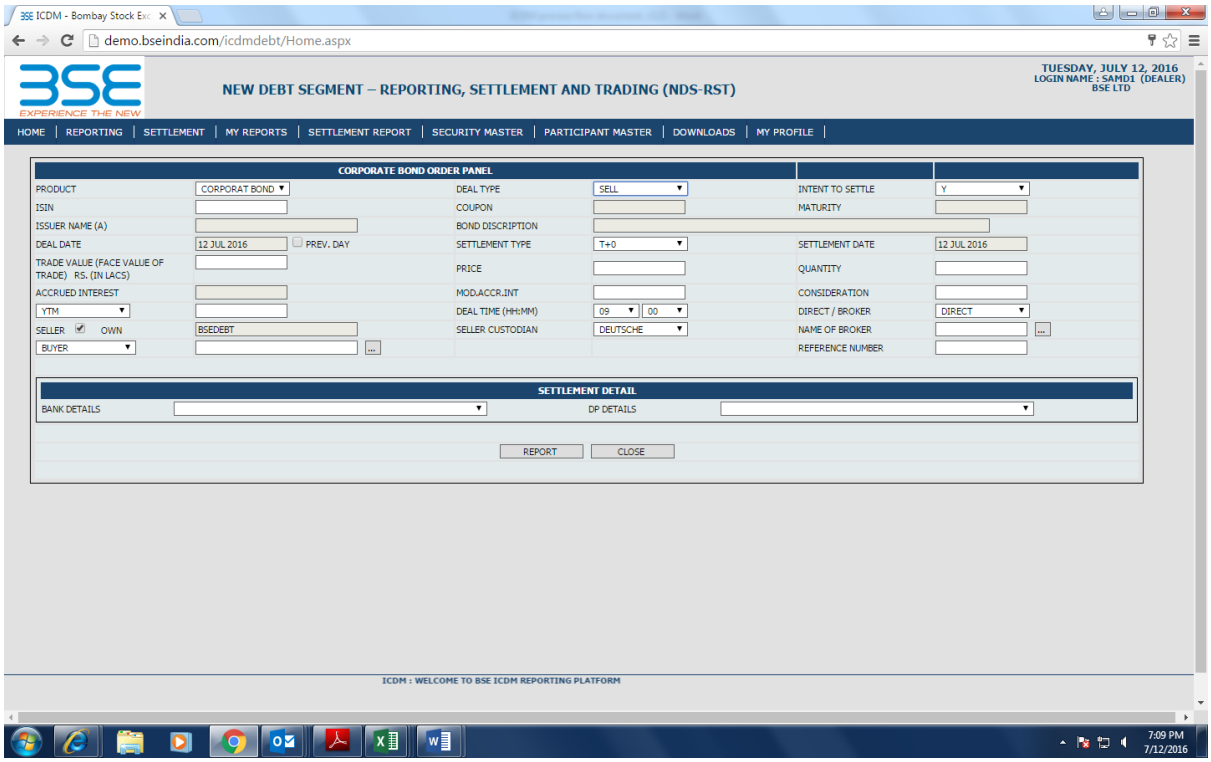


The deal can be reported by clicking 'DEAL INITIATION' tab under 'REPORTING' menu

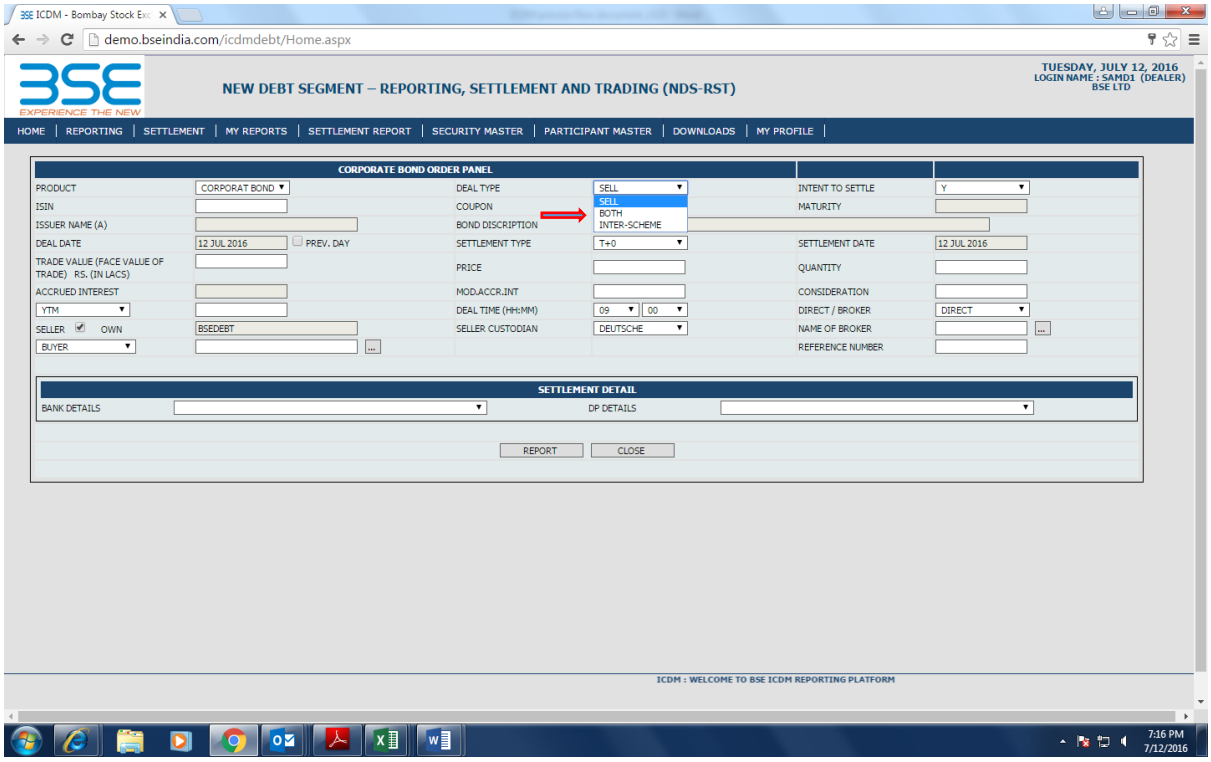


4.1 Deal Initiation (Reporting) for Participants:

By clicking on deal initiation or report option from My Portfolio, the order entry panel shall open



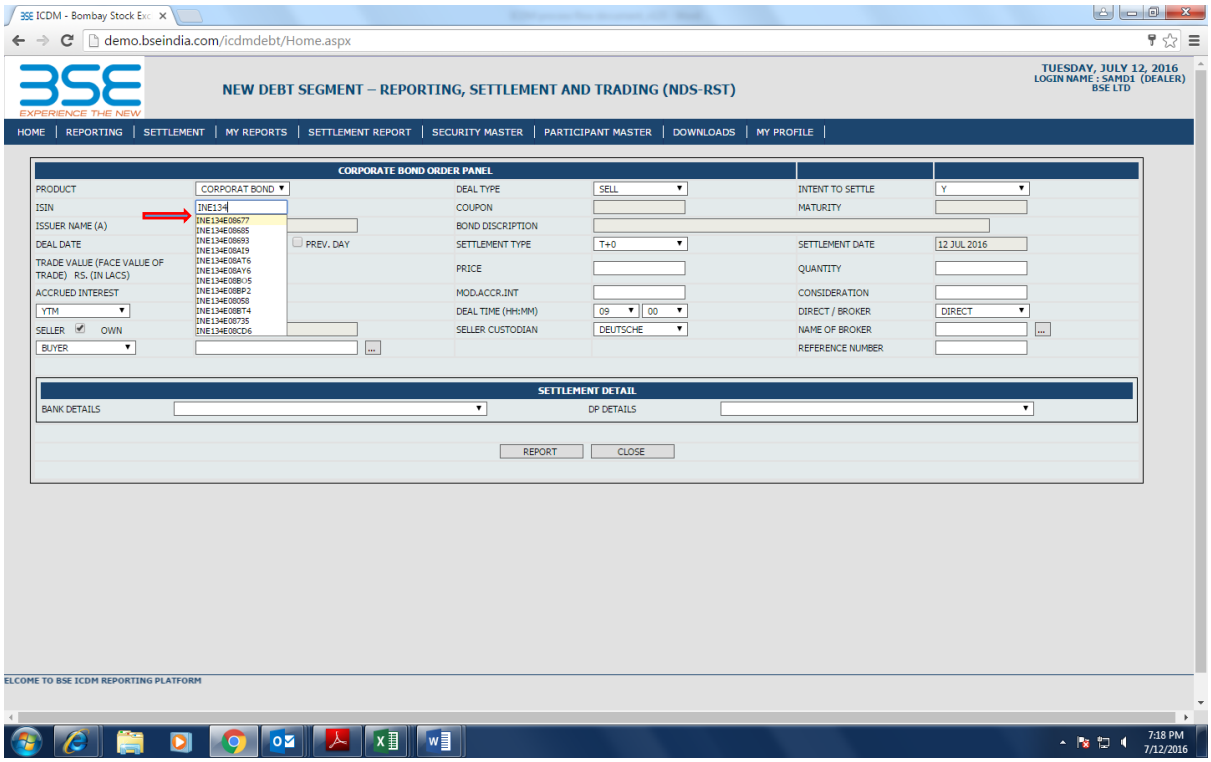
The participant has 3 options for reporting "*SELL*", "*BOTH*" and "*INTERSHCEME*". The participant can select any one option of the tree for reporting the trade as visible in the screen shot below:



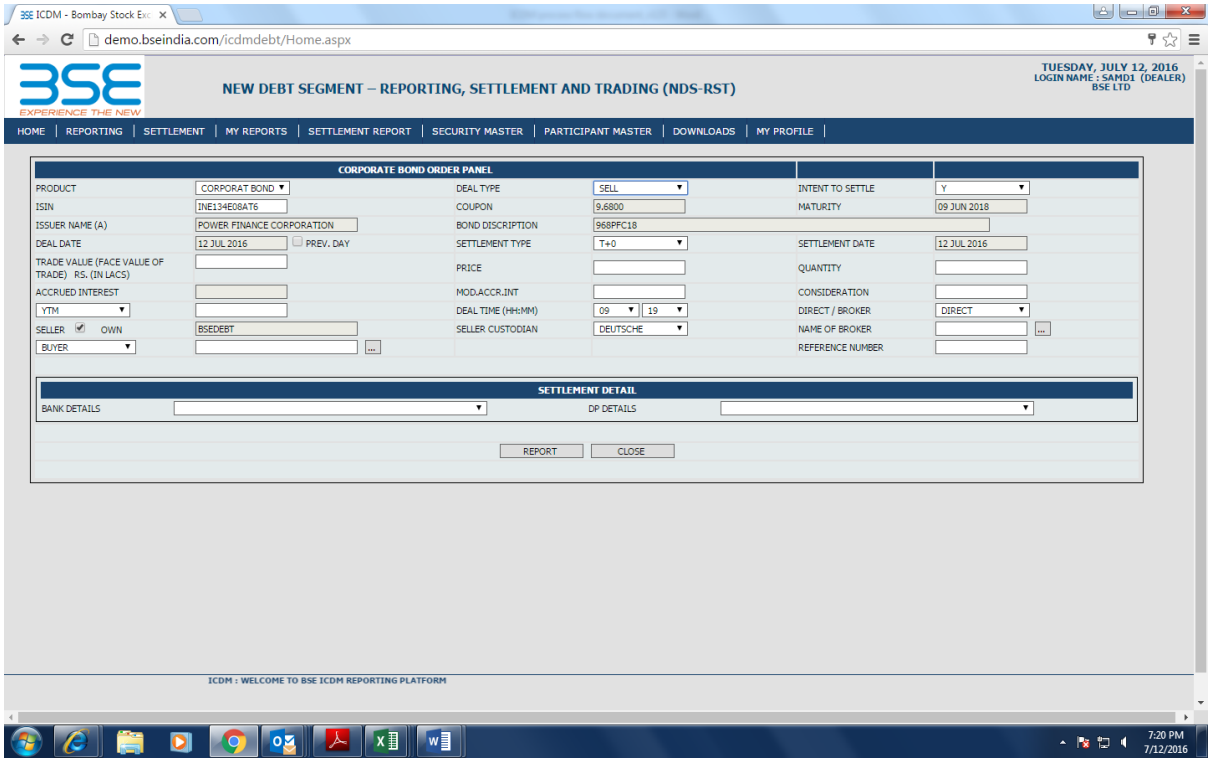
4.2 Reporting of trade using "*SELL*" option:

Selection of ISIN and entering trade details:

When a participant reports a trade using "SELL" option, the participants needs to enter ISIN no. as in the below print screen:



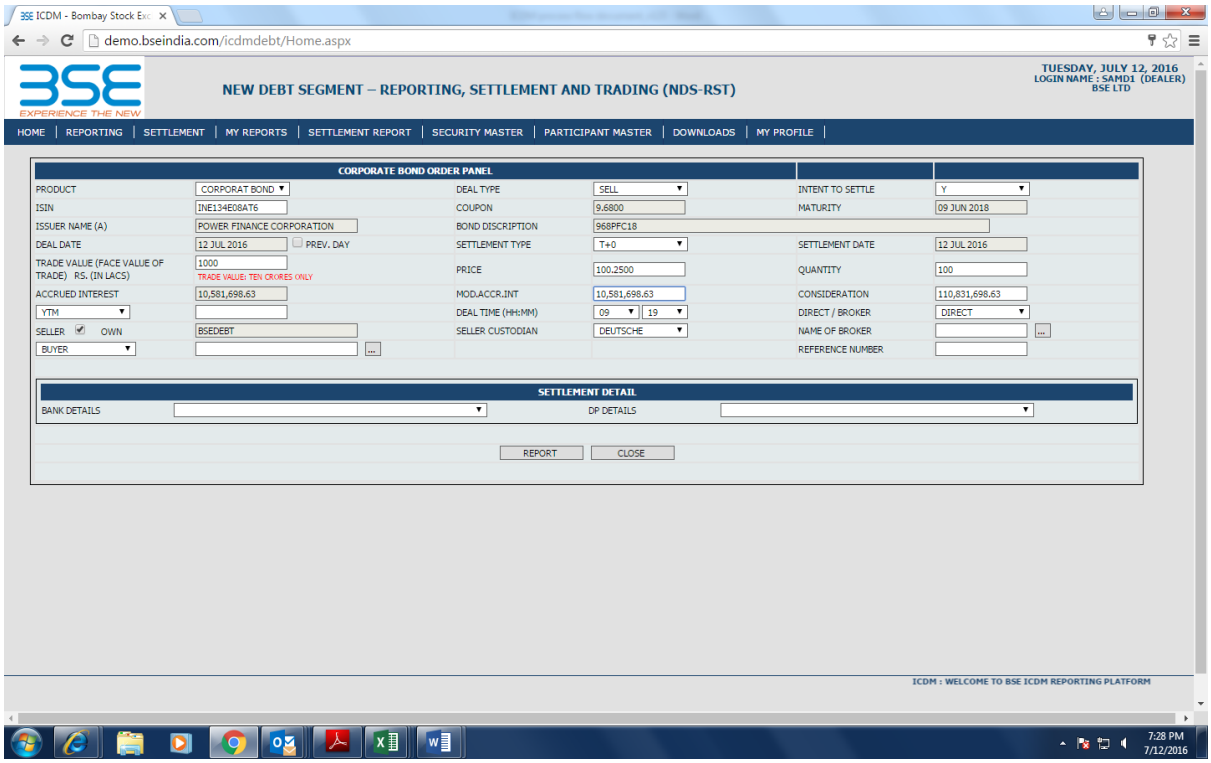
Once the ISIN is selected, the details of the bonds, namely, coupon ,maturity date, Issuer Name, Bond description are captured by the system as in the below print screen:



Once the security has been selected, the participant needs to add the following details:

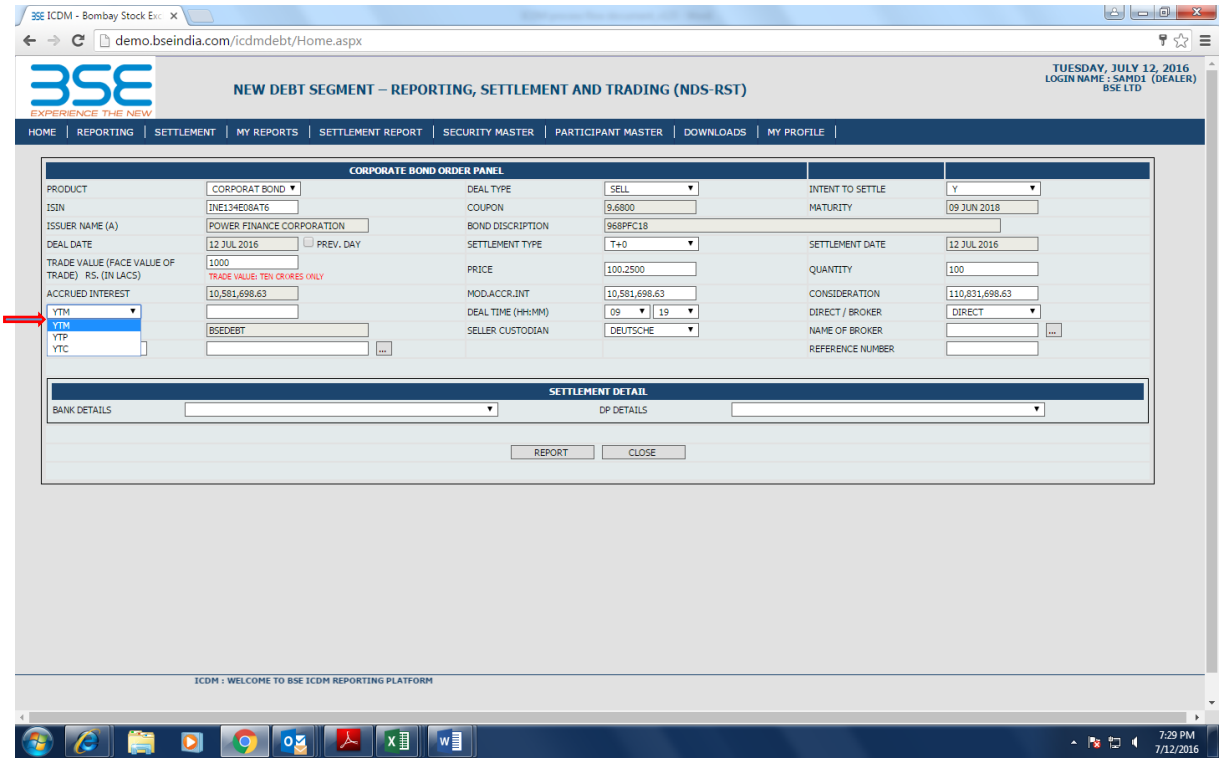
- Trade Value (To be inputted in lacs and the value would be displayed below the field in words)
- Settlement Type (T+0, T+1, T+2)
- Price (in 100 basis point)

Based on the values entered in the fields mentioned above, system would compute "***QUANTITY***", "***ACCRUED INTEREST***", and "***CONSIDERATION***". In case of "***ACCRUED INTEREST***" mismatch, the participant can manually enter accrued interest calculated in the field "***MOD.ACCR.INT***"



Yield:

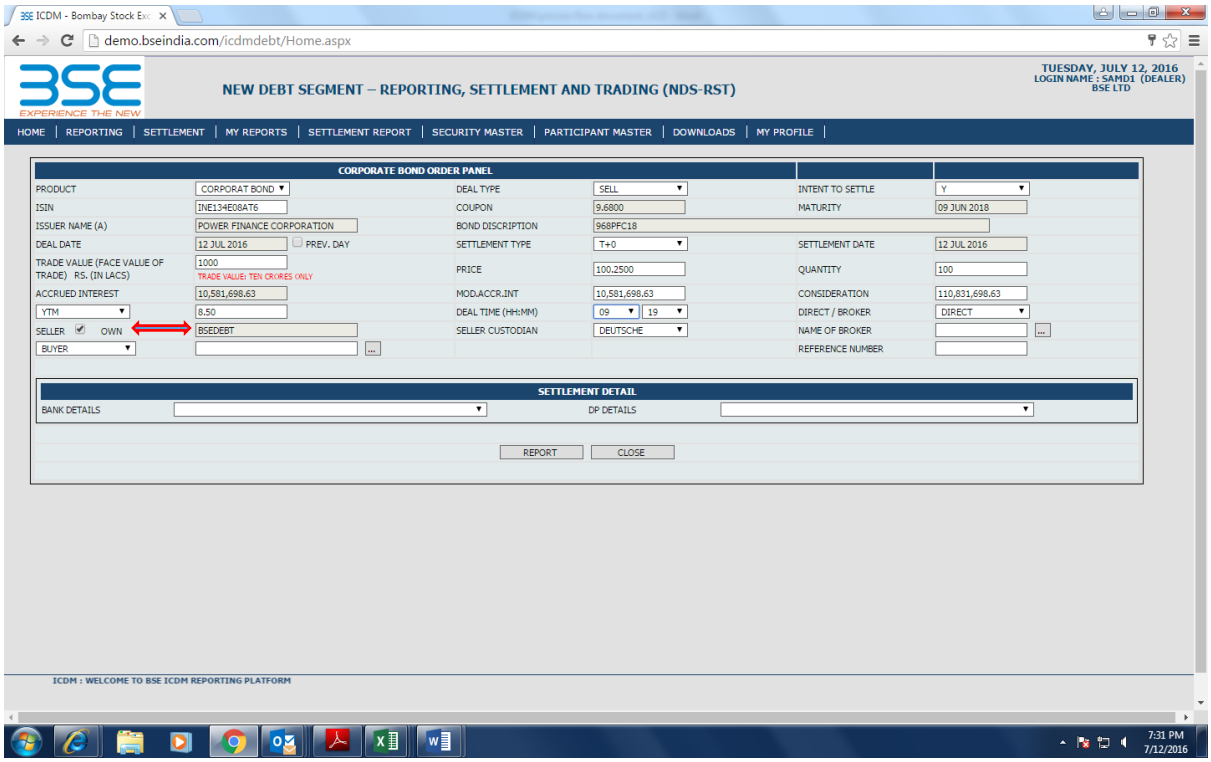
Once the trade details are computed, the participant has to enter YIELD (YTM OR YTP or YTC):



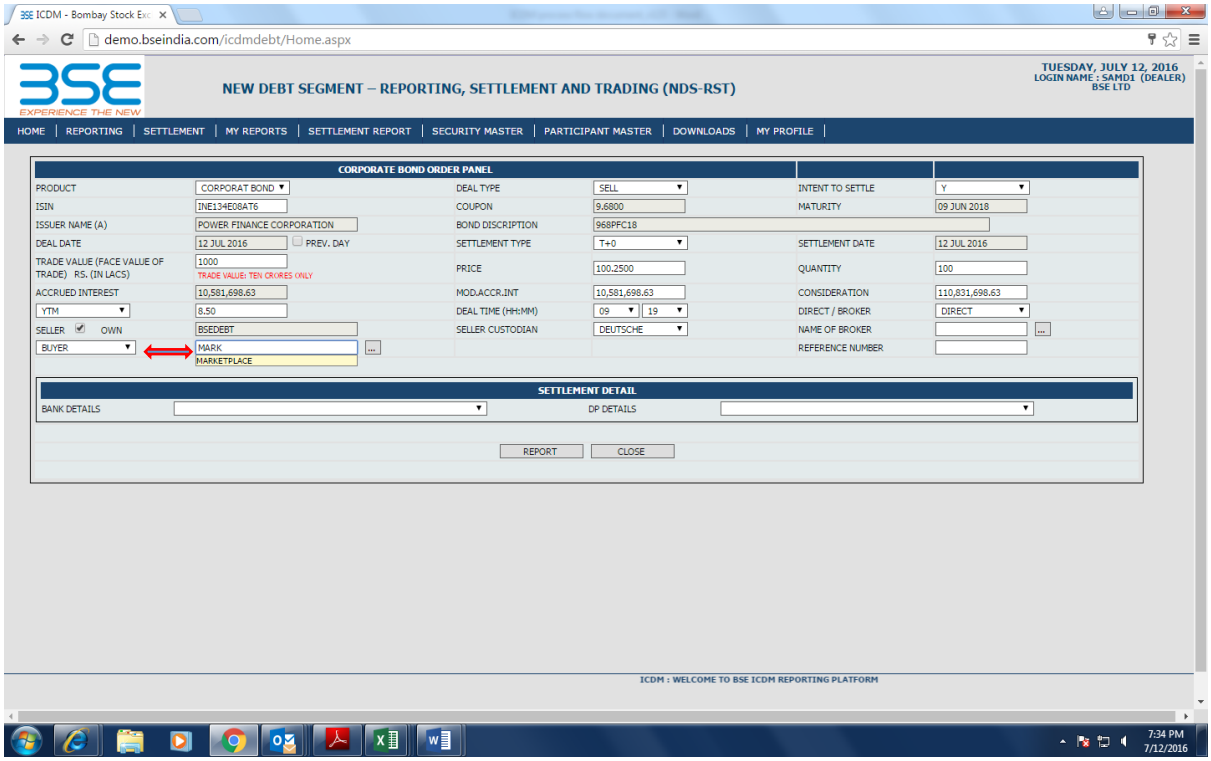
YTM would be displayed as default.

Selection of the "***BUYER***"

The seller participant reporting the trade would be automatically displayed in the "***SELLER***" window.



For selecting the "***BUYER***", the participants has to select the name from the drop down field:



<u>Deal time</u>

The deal time would be displayed in "***DEAL TIME***" in the order entry panel which would be system time captured at the time of reporting, which the participant can modify.

<u>Direct or Broker Trade</u>

The participant has to select whether the trade is a broker trade or direct trade from the drop down menu in the field "***DIRECT / BROKER".*** In case of broker trade, the participant may or may not mention the name of the broker in the field ***"NAME OF BROKER".***

<u>Custody Selection:</u>

In case of participants having custody, the name of the custody would be displayed in "***SELLER CUSTODIAN***". In case of participants having more than one custody, the participant can select the custody, mapped to the participant, for that trade from drop down available in "***SELLER CUSTODIAN***". However, the default custody would be displayed in the "***SELLER CUSTODIAN".***

<u>Reference Number:</u>

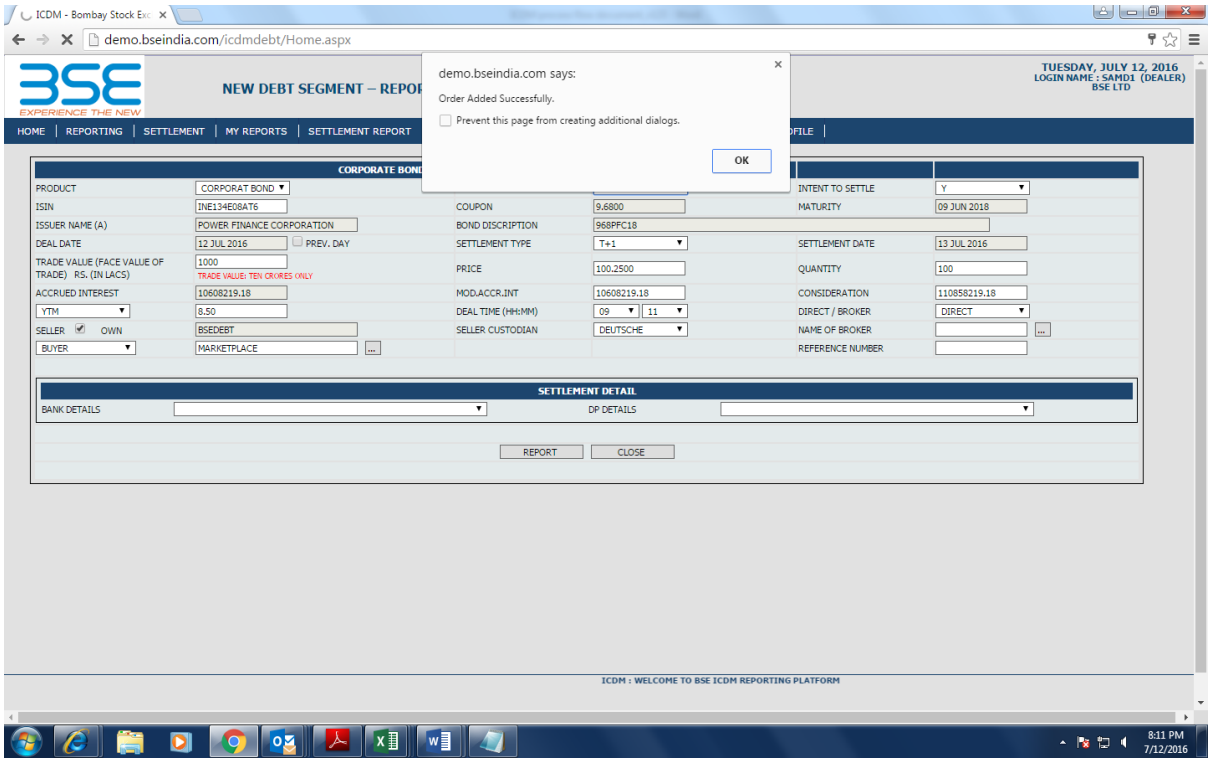
This field is optional. It has to be filled in case of maintenance of internal identification number for particular trade.

Settlement Details:

In the order entry screen, in "SETTLEMENT DETAIL" at the bottom would display the bank and details of the participant. In case of more than one bank or demat details, the participant would have the option to select the bank and demat details from the drop down available in "***BANK DETAILS***" and "***DP DETAILS***". The default bank and demat details would be displayed in the screen. The participant needs to check the bank and demat details and then click on "***REPORT***" option.

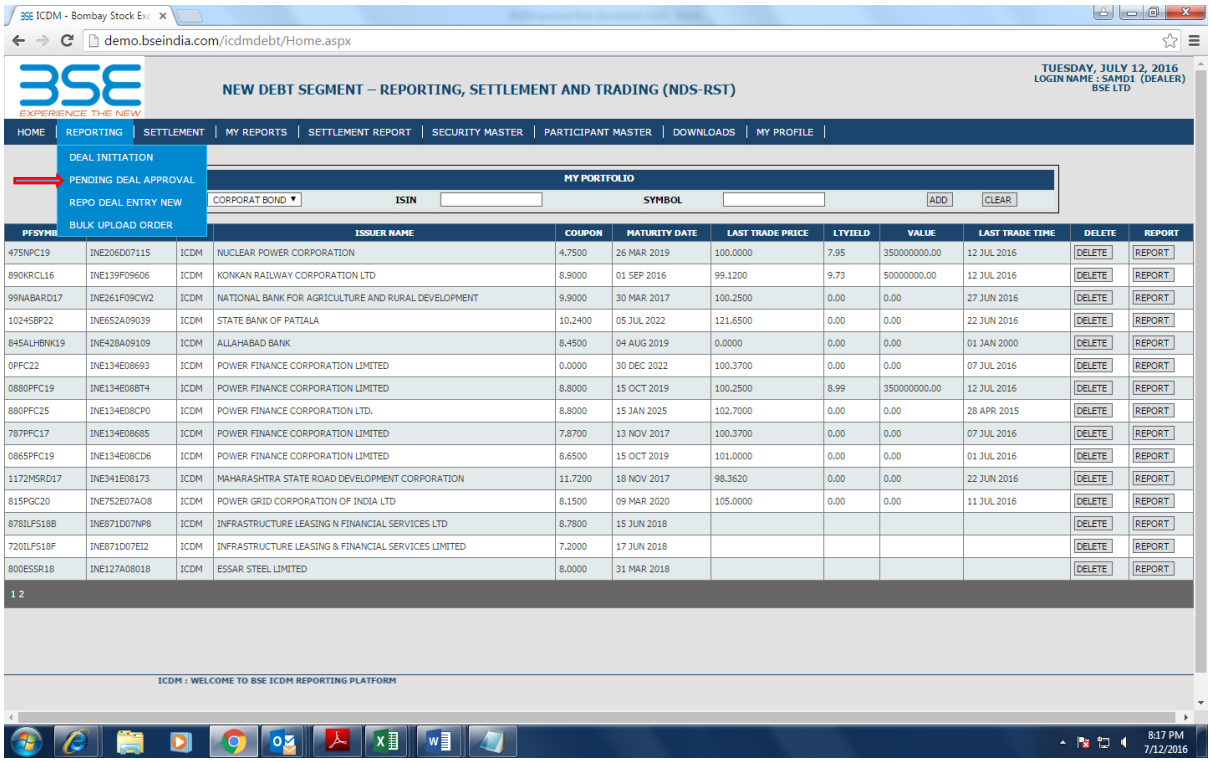
Report:

Once all the details are entered, the participant has to click on "***REPORT***" option. If the all the fields are entered correctly, on clicking "REPORT" icon, pop up window will appear stating "***Order Added Successfully"***:

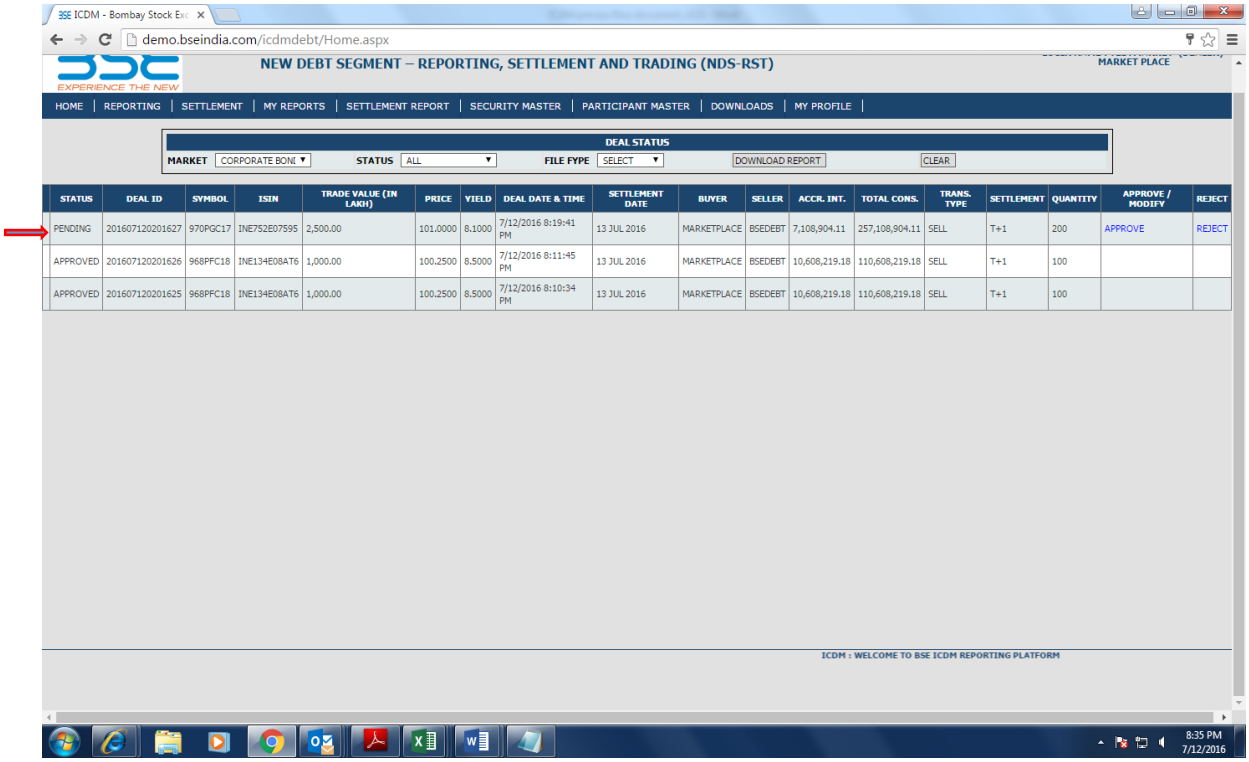


Pending Deal approval:

The "***PENDING DEAL APPROVAL***" can be selected under reporting drop down menu:

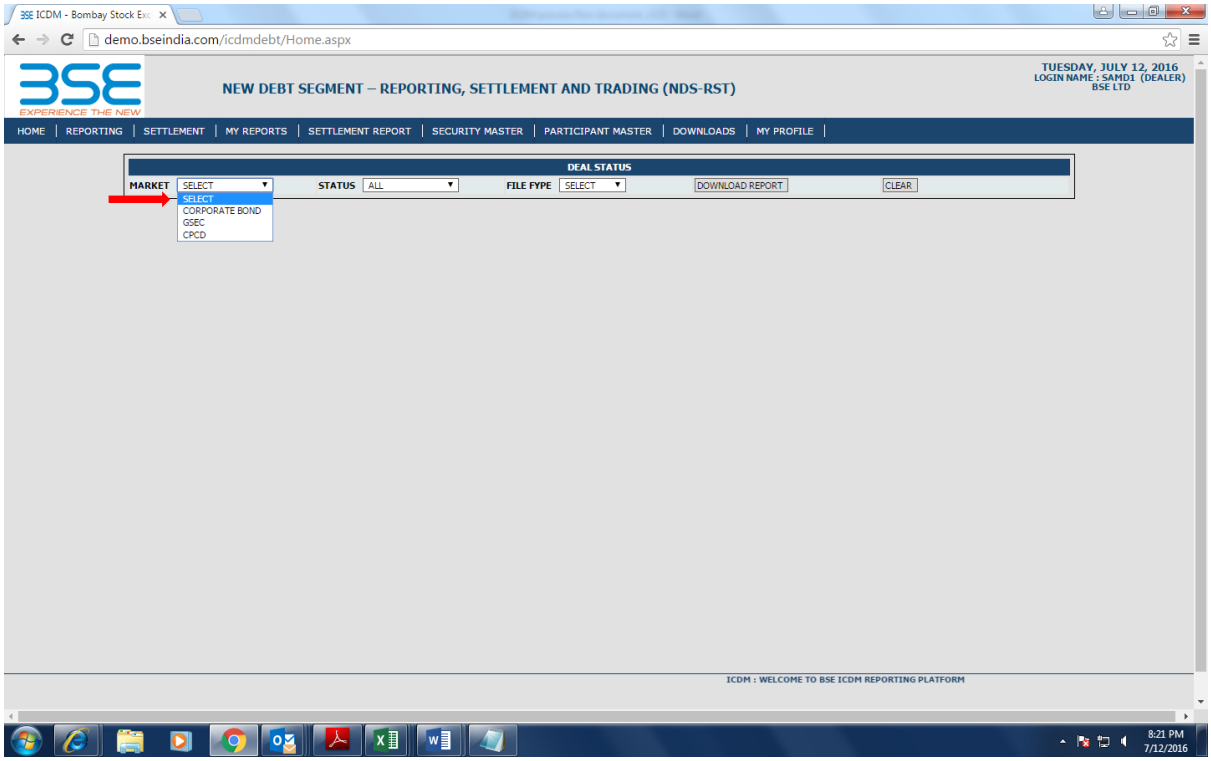


Once the deal has been reported , it will flow to "***PENDING DEAL APPROVAL***" screen. The status of the trade would be pending:



The trade number for the trade would be available on this screen.

The participant has to select the product from the drop down in option "***MARKET***" :



On selection of the market, the deals would be displayed.

<u>Deal modification:</u>

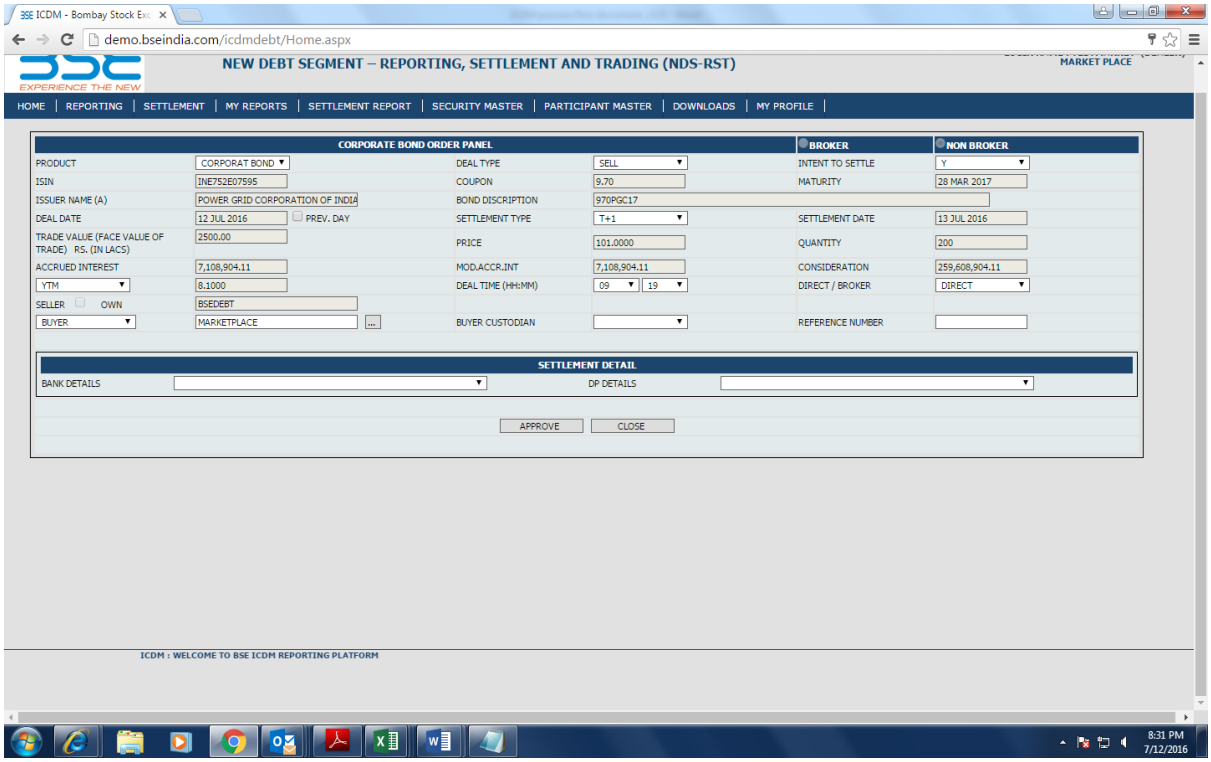
The deal once reported using "***SELL***" option has to be confirmed by the "***BUYER***". If the seller intends to modify details of the trade, the participant can click on "***MODIFY***" and modify the trade details.

On clicking “MODIFY”, the same order entry screen would open where the seller can modify the details of the trade.

Buyer Confirmation:

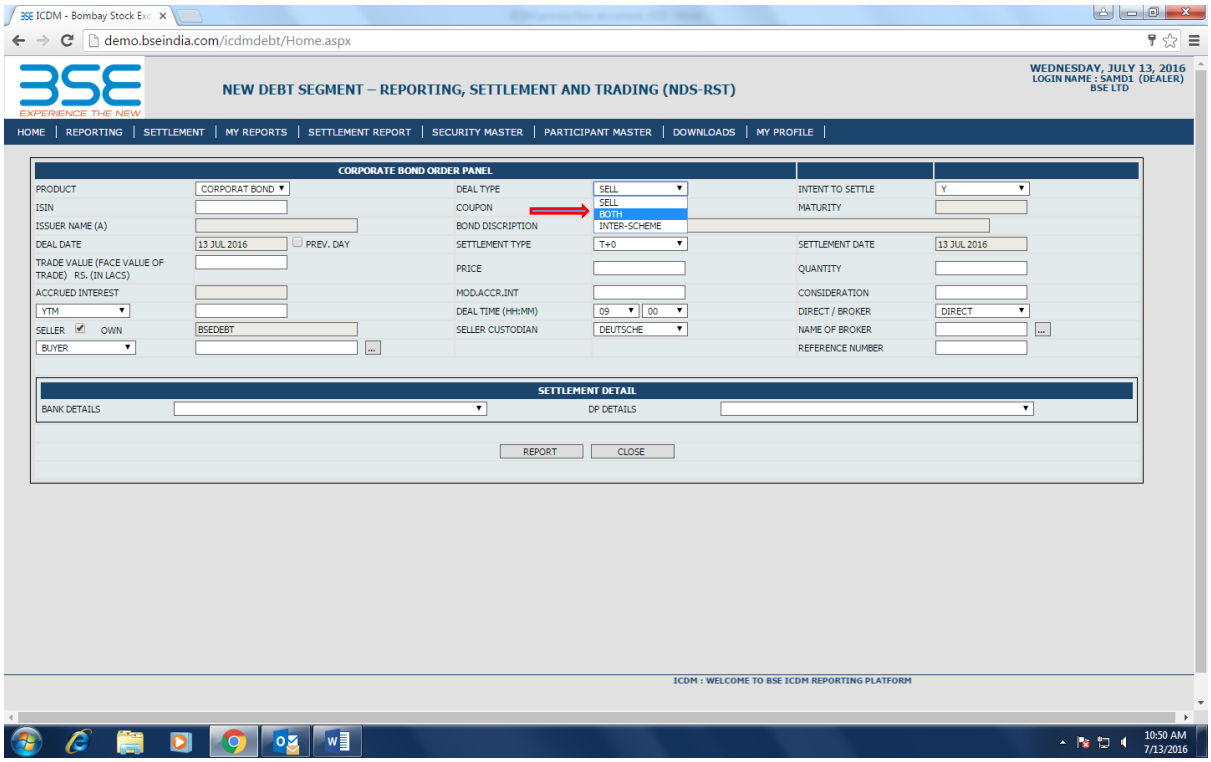
When the seller reports the trade using “***SELL***” option, the trade would flow to the buyer for confirmation. On selection of product from “***MARKET***” drop down, the trade would be displayed. The buyer has to select “***APPROVE***” or “***REJECT***” for trade confirmation.

On clicking on "***APPROVE***", the order entry screen would be displayed.



The “BUYER” can check the details and click on “***APPROVE***” for confirmation of the trade. Once the trade has been approved by the buyer, it will flow for settlement. The status of the trade would also change from “PENDING” to “APPROVE” in the “***PENDING DEAL APPROVAL SCREEN***”.

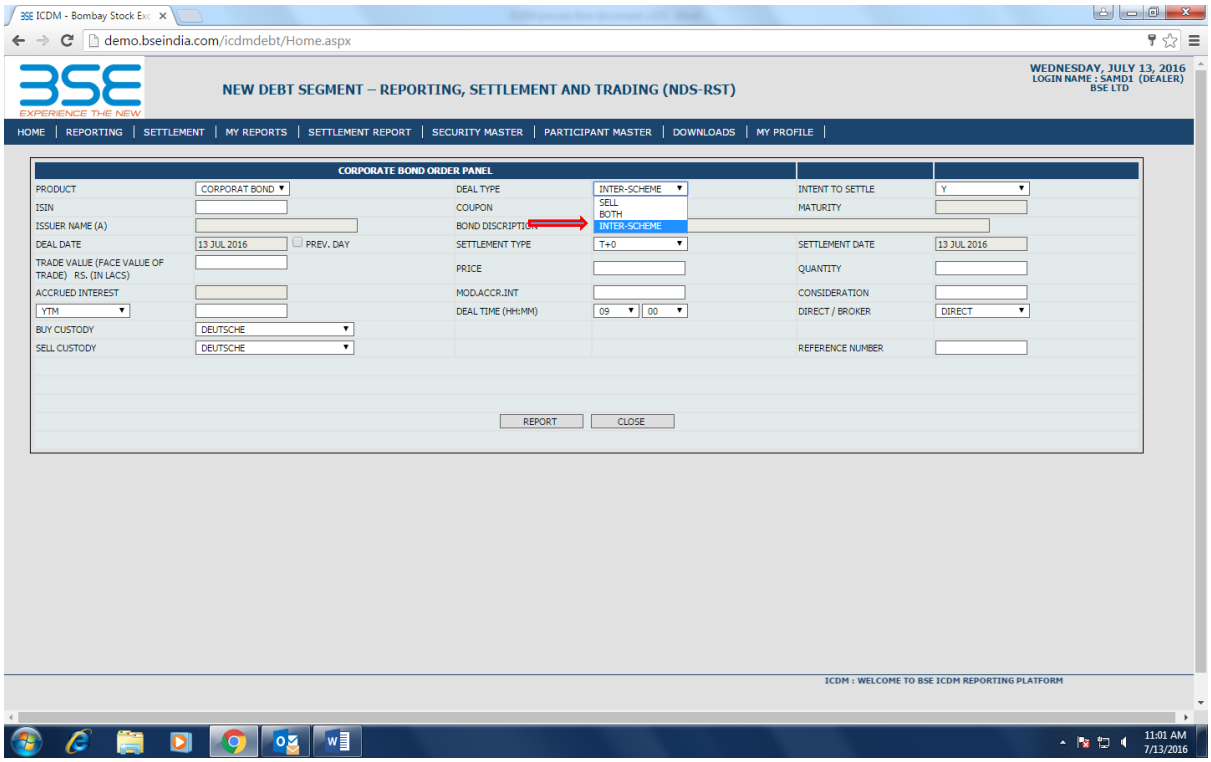
4.3 Reporting of trade using “*BOTH*” option:



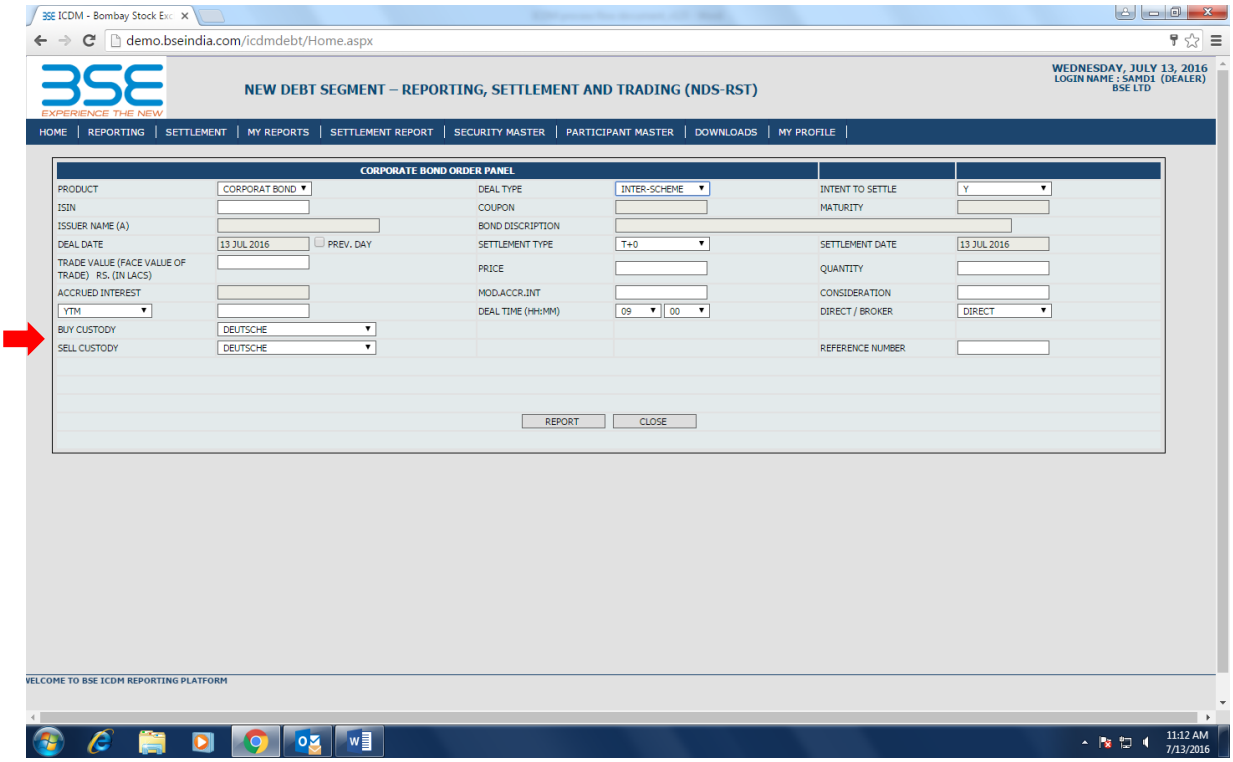
The rest of the details to be entered in the order entry screen are the same as used in the "***SELL***" option. The deal once reported using "***BOTH***" option does not require any approval from the "***BUYER***". The deal would directly flow to the settlement screen. The status of the trade in the "***PENDING APPROVAL SCREEN***" would be "***APPROVED***"

4.4 Reporting of trade using "*INTER-SCHEME*" option:

The Mutual Funds have to report inter-scheme trade using "INTER-SCHEME" option from drop down in "DEAL TYPE":



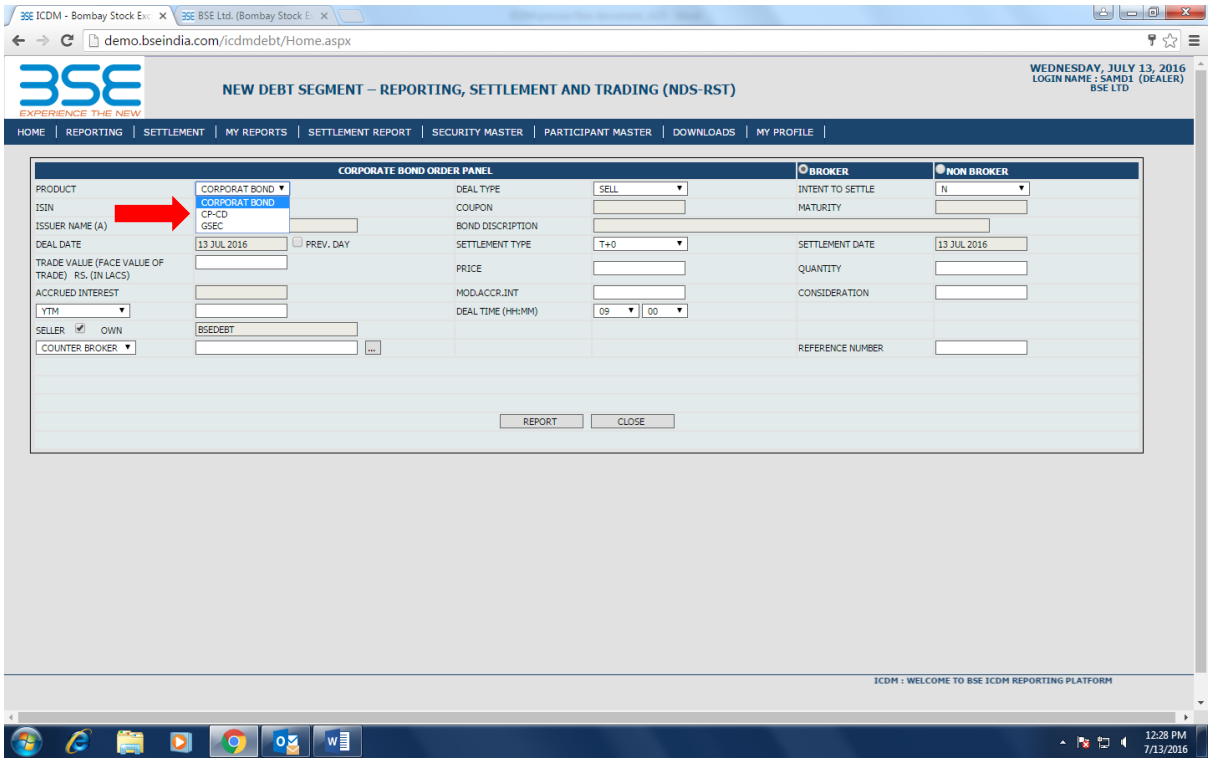
The Mutual Funds reporting using "INTER-SCHEME" option has to select the ISIN and other details of the trade like Trade Value, Price, Yield and time. The Accrued Interest and Consideration would be calculated by the system. The accrued interest can be modified in "***MOD.ACC.INT***". The settlement type would be T+0 for inter-scheme trades. In case of Mutual funds having more than one custody, the participant can select the custody for buyer scheme or sell scheme from the list mapped to the Mutual fund available in the drop down in "***BUY CUSTODY***" and "***SELL CUSTODY***"



Once the trade is reported using “INTER-SCHEME” option, the trade directly flows to the settlement screen for settlement.

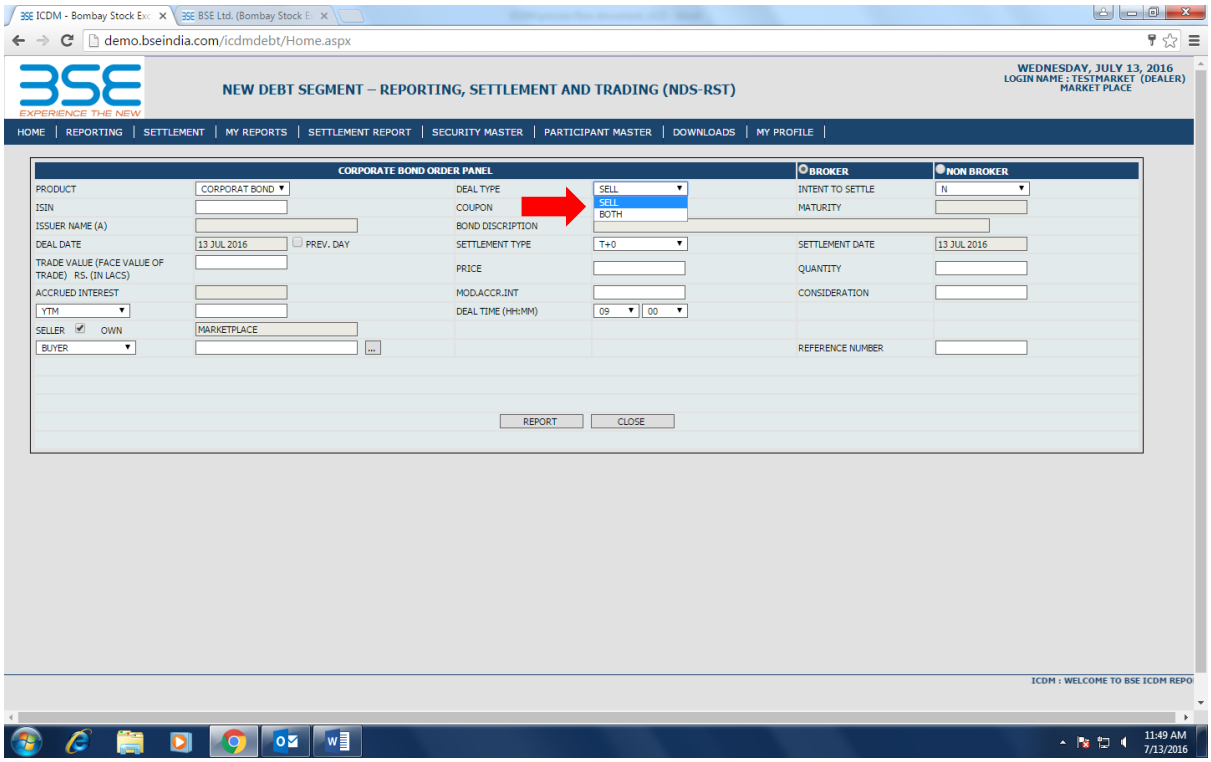
4.5 Deal Initiation (Reporting) for BSE Members (Brokers):

The broker can report Corporate Bond trades, G-sec trades and CP/CD trades. He can select the product for which he has desires to report the trade from the drop down in “PRODUCT” in the “DEAL INITIATION” screen:



Member can select Deal Type (SELL/BOTH) from '***DEAL TYPE***' dropdown:

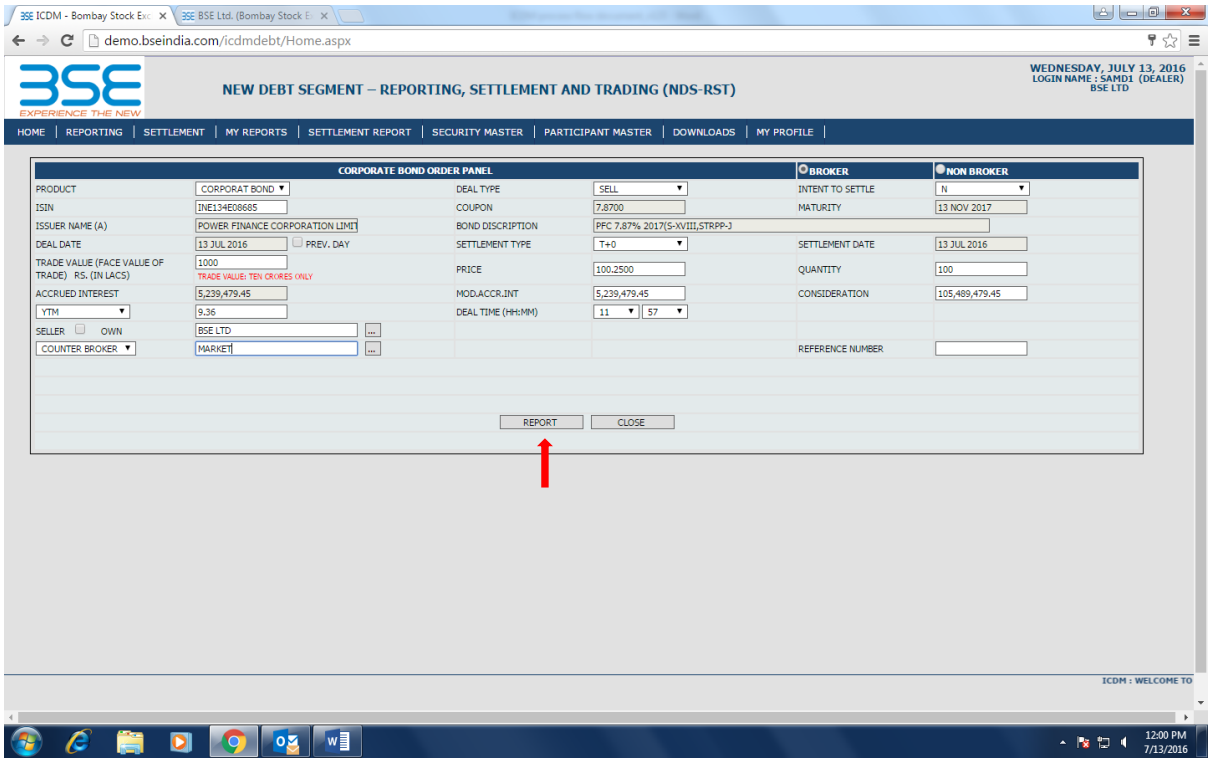
- 'SELL' deal can be initiated by seller only and it will flow to Buyer/Counter Broker for approval
- 'BOTH' deal can be initiated by either of counter party and it does not require counter party's approval. Deals with 'Unregistered' clients will be reported under 'BOTH deal type.



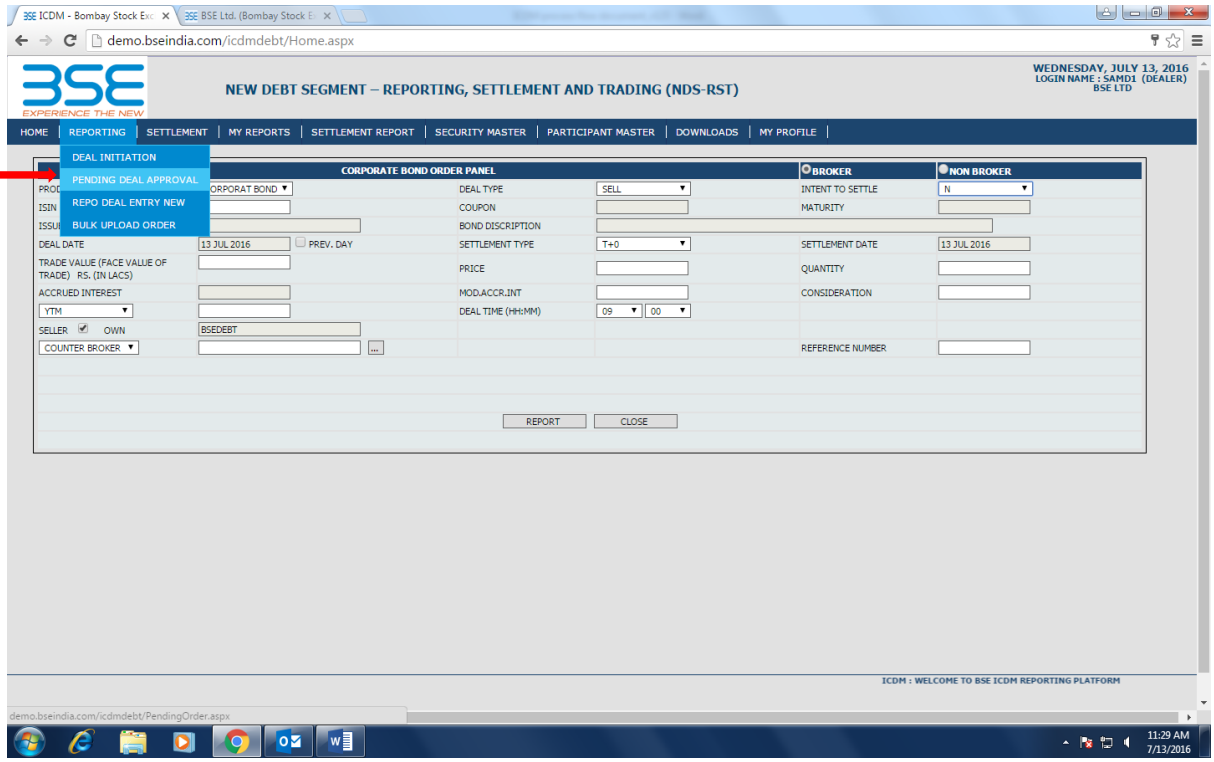
Member can select ***'INTENT TO SETTLE'*** as '***N'*** for deals not be settled through ICCL (for reporting only). Member can select ***'INTENT TO SETTLE'*** as '***Y***' when the deal is for settlement through 'ICCL'. When ***'INTENT TO SETTLE'*** '***Y***' is selected member can view his Bank and DP account detail at the bottom of order entry window. User can select Bank and DP account from drop-down menu in case user is mapped with more than one Bank and DP account. The Broker may select intent to settle as ***"Y"*** or ***"N***". Intent to settle shall by "***N***" by default.

Reporting using "SELL' option:

The Broker can report the trade using "SELL" option as mentioned above. When reporting a "SELL" trade, the seller broker would have to enter the name of the "COUNTER BROKER" from the drop down by typing the name of the buyer broker. He has to enter the name of the seller which he can select form the drop down or type in the field. Once the seller broker has entered all the details of the trade he has to click on "REPORT". The trade reported will flow to the buyer broker for confirmation.



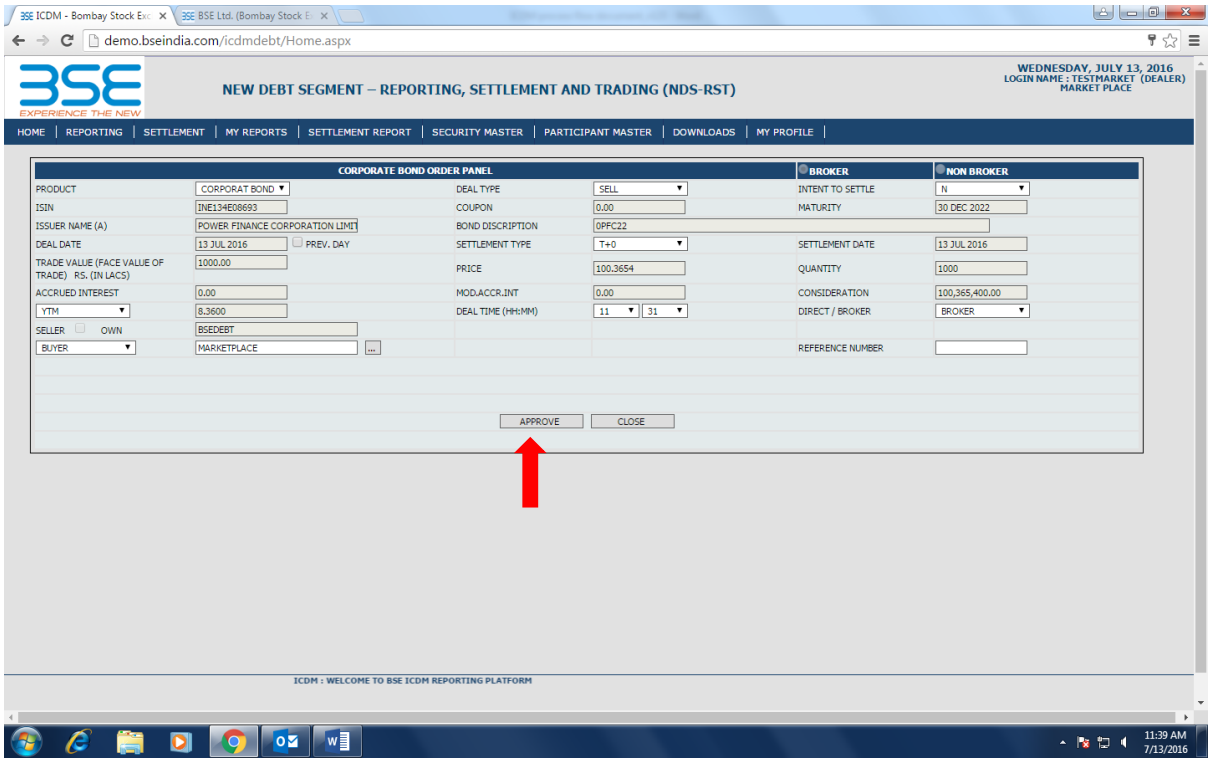
User (Buyer) can accept '***SELL***' deals entered by Seller from ***'PENDING DEAL APPROVAL'*** tab under ***'REPORTING'*** menu. User can accept/reject the deal by clicking on ***'APPROVE'*** or ***'REJECT'*** tab. Deal Initiator (Seller) can modify the deal by clicking on ***'MODIFY'*** tab until the Buyer has approved the same. Also user can filter the deal by selecting status (ALL/PENDING/APPROVED/REJECTD) and also can download the report.



The status of the trade in “STATUS” would be pending as it has to be approved by the Counter broker:

The Counter Broker has to click on “APPROVE” or “REJECT” the trade.

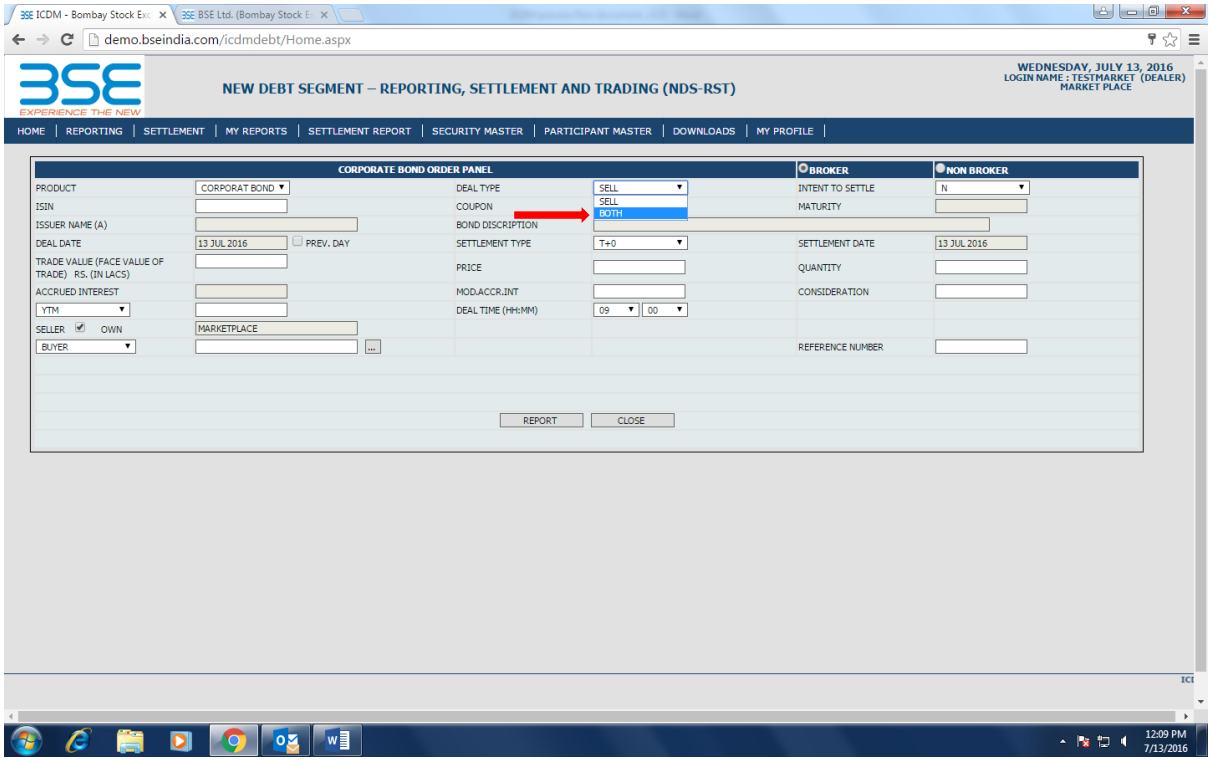
On clicking “APPROVE”, the reporting screen would open where the buyer broker can check all the details of the trade. He needs to add the name of the “***BUYER”*** which he can select from the drop down or type the name of the buyer and click “APPROVE” and confirm/approve the trade.



Once approved, the status of the trade, in "***PENDING DEAL APPROVAL"*** screen, would change to approved:

Reporting of trade using "BOTH" option:

When a there is a single broker involved in a trade, the broker has to report the trade using “BOTH” option.

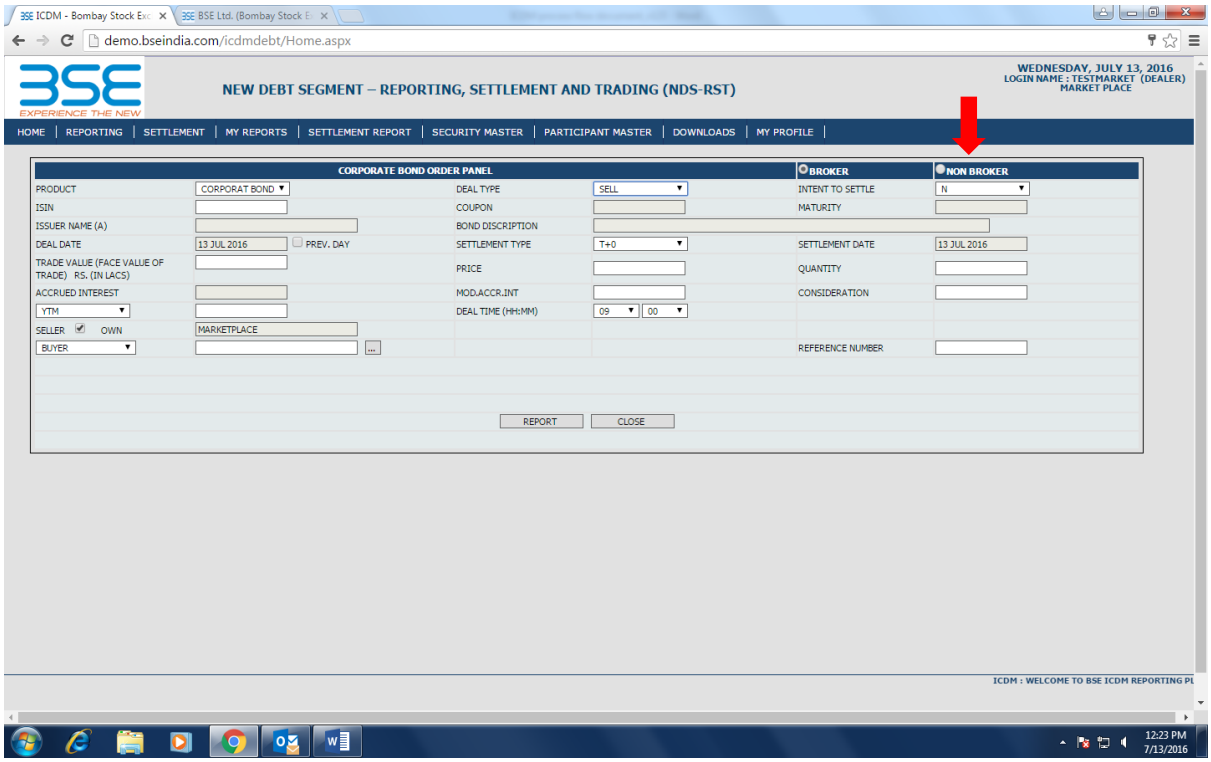


The broker has to add the details of the trade in the same way as in the “SELL” option. However, the broker has to select the name of the buyer and the seller from the drop down or type in the box and then click on “***REPORT***”. The status of the trade once reported using “BOTH” would be “APPROVE” in the “PENDING DEAL APPROVAL” screen.

ICDM - Bombay Stock Ex
BSE Ltd. (Bombay Stock E
demo.bseindia.com/icdmdebt/Home.aspx
NEW DEBT SEGMENT – REPORTING, SETTLEMENT AND TRADING (NDS-RST)
WEDNESDAY, JULY 13, 2016
LOGIN NAME : TESTMARKET (DEALER)
MARKET PLACE
HOME | REPORTING | SETTLEMENT | MY REPORTS | SETTLEMENT REPORT | SECURITY MASTER | PARTICIPANT MASTER | DOWNLOADS | MY PROFILE
DEAL STATUS
MARKET CORPORATE BONI
STATUS ALL
FILE TYPE SELECT
DOWNLOAD REPORT
CLEAR
STATUS | DEAL ID | SYMBOL | ISIN | TRADE VALUE (IN LAKH) | PRICE | YIELD | DEAL DATE & TIME | SETTLEMENT DATE | BUYER | SELLER | ACCR. INT. | TOTAL CONS. | TRANS. TYPE | SETTLEMENT | QUANTITY | APPROVE / MODIFY | REJECT
PENDING | 201607130201637 | 787PFC17 | INE134E08685 | 1,000.00 | 100.2500 | 9.3600 | 7/13/2016 12:05:21 PM | 13 JUL 2016 | | BSEDEBT | 5,239,479.45 | 105,239,479.45 | SELL | T+0 | 100 | APPROVE | REJECT
APPROVED | 201607130201636 | 0PFC22 | INE134E08693 | 1,000.00 | 100.3654 | 8.3600 | 7/13/2016 11:31:30 AM | 13 JUL 2016 | MARKETPLACE | BSEDEBT | 0.00 | 100,000,000.00 | SELL | T+0 | 1000
APPROVED | 201607130201632 | 787PFC17 | INE134E08685 | 1,000.00 | 100.3265 | 8.6300 | 7/13/2016 10:56:22 AM | 13 JUL 2016 | BSEDEBT | MARKETPLACE | 5,239,479.45 | 105,239,479.45 | BOTH | T+0 | 100
ICDM : WELCOME TO BSE ICDM REPORTING PLATFORM
12:16 PM
7/13/2016

5. Reporting Non-Broker trades:

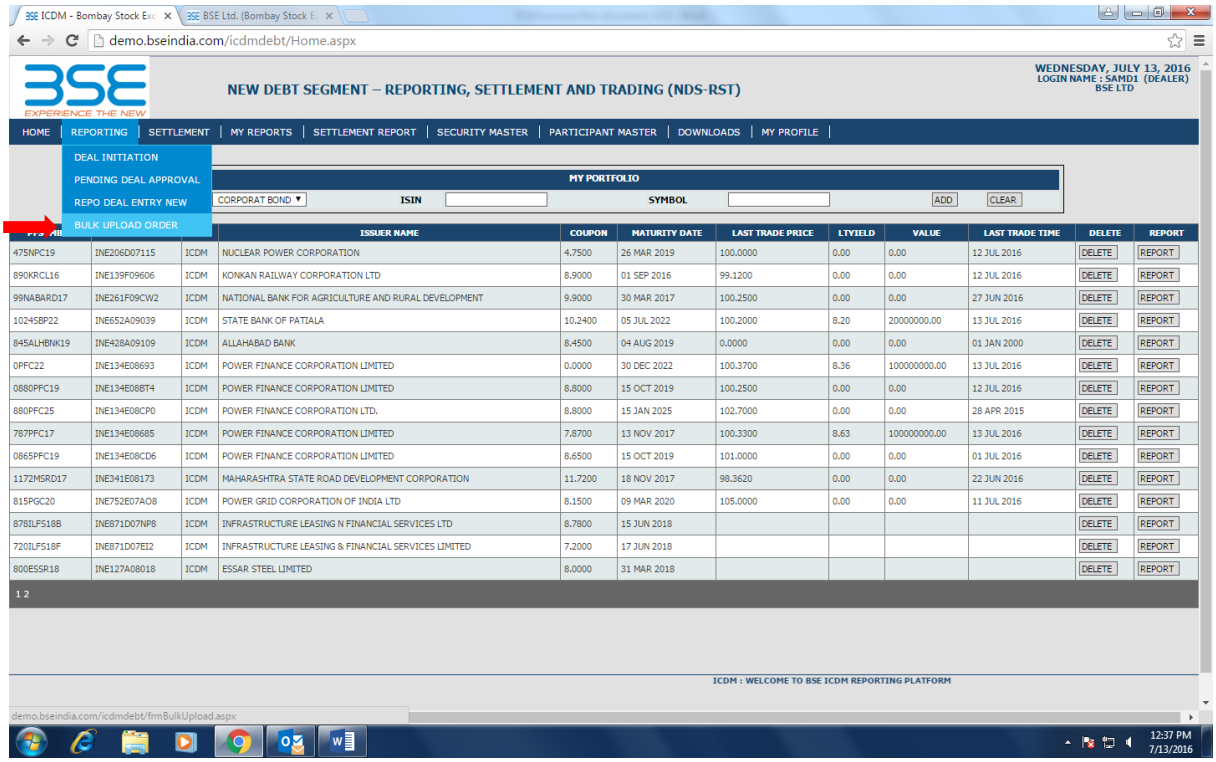
The broker can report Non-Broker trades for which the broker would not be issuing contract notes can be reported by clicking on “NON BROKER” option:



Using the Non-broker option for reporting, the broker can report using ***“SELL”*** or ***“BOTH”*** option.

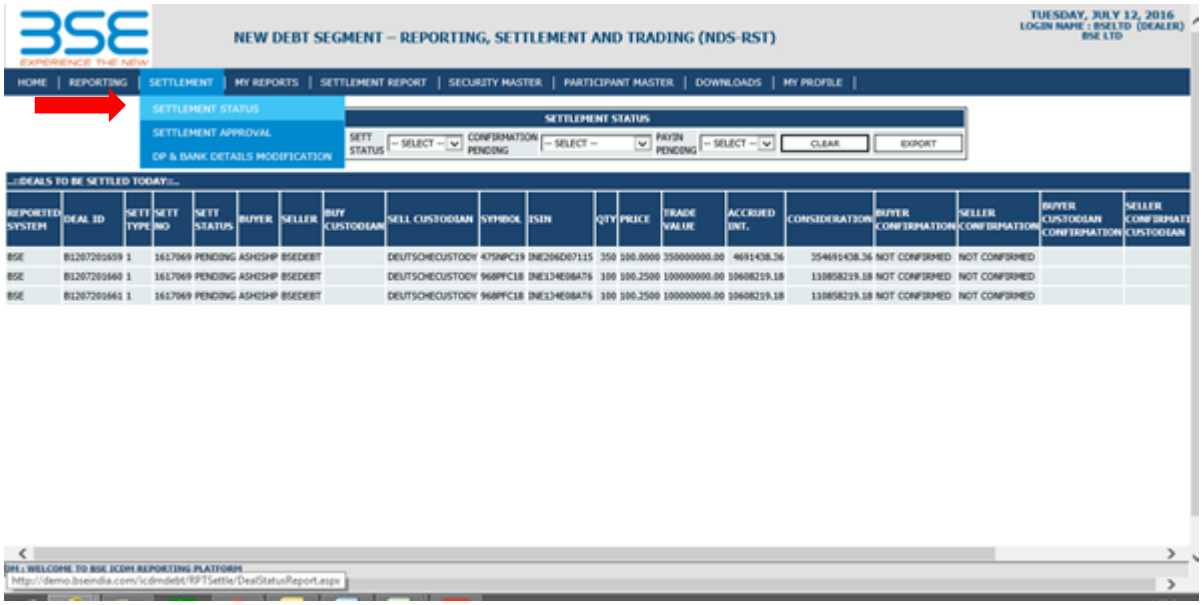
6. Reporting Bulk trades:

For reporting Bulk trades, the participant or broker can upload file containing the trades in "***BULK UPLOAD ORDER"*** under ***"REPORTING"***:



7. Settlement Status:

Deals reported for settlement through ICCL (When 'INTENT TO SETTLE' is selected as 'Y') will automatically flow for settlement in 'SETTLEMENT STATUS' tab under 'SETTLEMENT' menu. Also deal reported by participants in F-Trac for settlement through ICCL will flow for settlement in 'SETTLEMENT STATUS' tab under 'SETTLEMENT' menu. Participants can check status of settlement of the deals in 'SETTLEMENT STATUS' tab. User can select different options from Filter Menu provided in 'SETTLEMENT STATUS' screen. User can export (download) 'SETTLEMENT STAUS' report in excel format.



User can filter the settlement status by selecting various options available in system, like

- System: ALL/BSE/F-TRAC
  - ALL – To check settlement status of all reported on BSE & F-TRAC
  - BSE – To check status of deals reported on BSE
  - F-TRAC – To check status of deals reported on F-TRAC for settlement through ICCL
- Sett Status: Pending/Settled
  - Pending – To check deals where settlement is pending
  - Settle – To check settled deals
- Confirmation Pending: Buyer/Seller/Buyer Custodian/Seller Custodian
  - Buyer – To check status of Buyer confirmation
  - Seller – To check status of Seller confirmation
  - Buyer Custodian – To check status of Buyer Custodian

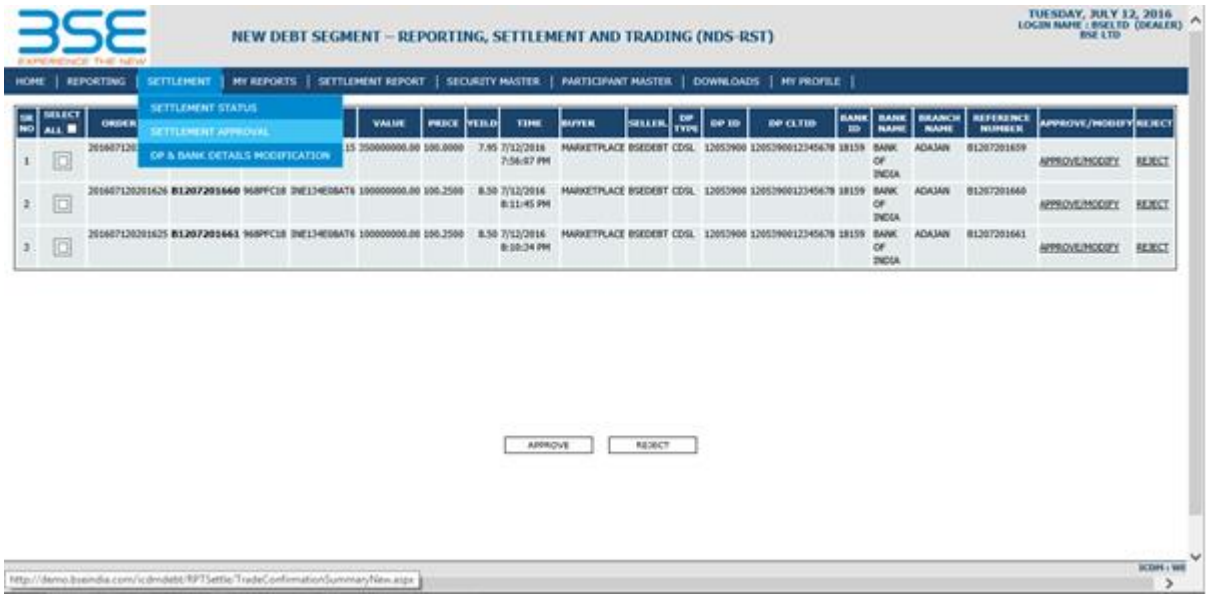
        - Seller Custodian – To check status of Seller Custodian
    - Pay-in Pending
        - Funds- To check status of funds pay-in
        - Security – To check status of security pay-in

8. Settlement Approval

User can give settlement approval manually from 'SETTLEMENT APPROVAL' tab under 'SETTLEMENT' menu with deal details, bank account detail and DP account detail. User can also change Bank/DP/Custodian detail from 'Settlement Approval' tab. User can select approval check box for giving settlement approval when he/she wants to approve the trade without changing Bank/DP/Custodian detail. User can use 'APPROVE/MODIFY' tab in deal status in case if he wants to change Bank/DP/Custodian detail while giving settlement confirmation.

Kindly note that settlement approval for registered clients is an optional functionality. Even if the settlement approval (Confirmation for settlement) is pending, ICCL will proceed with the settlement (pay-out) once ICCL receives the funds and securities from the respective buyer and seller irrespective of the confirmation status. For 'participant sub clients' (erstwhile 'unregistered' user) settlement approval remains mandatory.

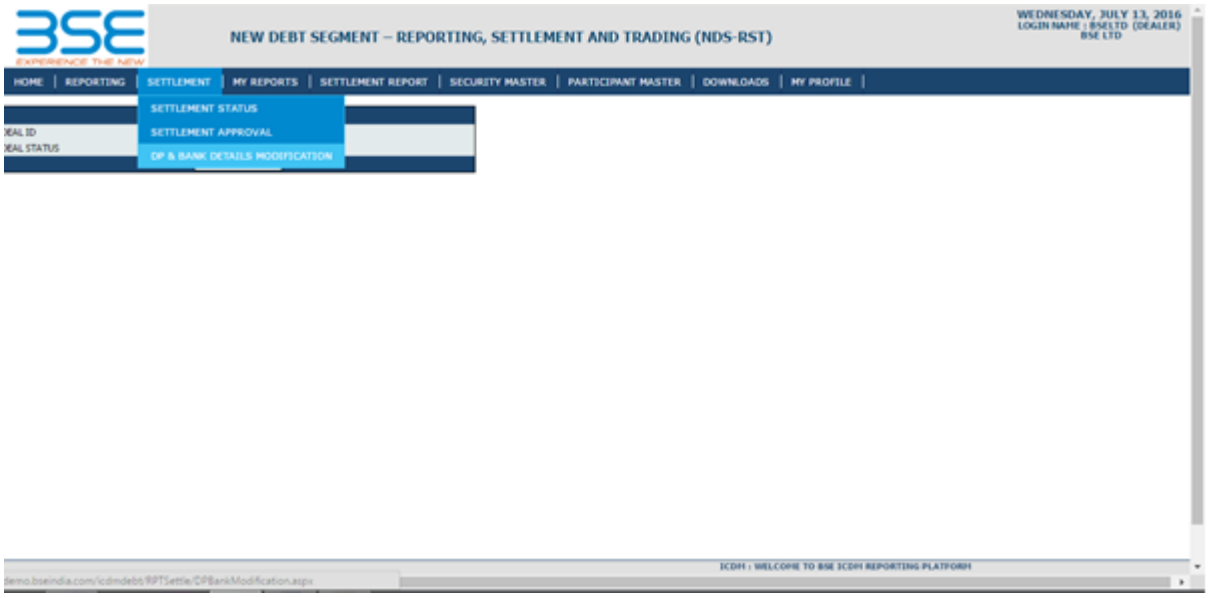
Kindly note that user will not allowed to change BANK/DP/CUSTODIAN details once pay-in is received by ICCL.



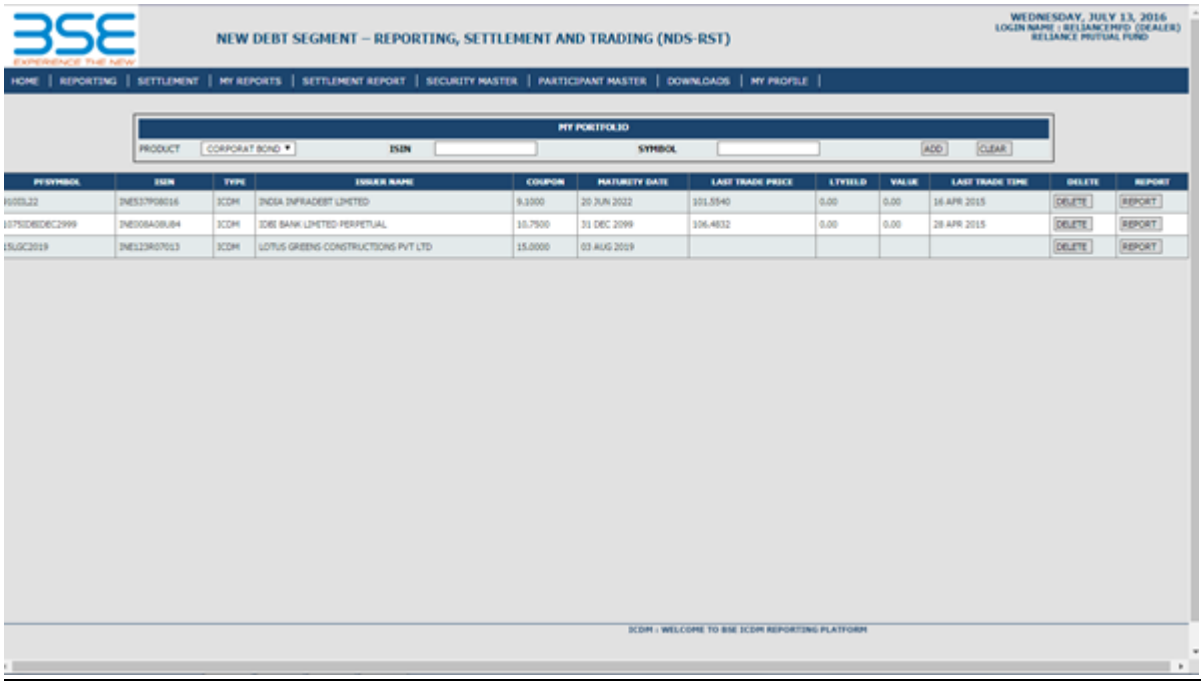
9. DP & BANK DETAILS MODIFICATION

User can change BANK/DP/Custodian detail before making pay-in to ICCL by using 'DP & BANK DETAILS MODIFICATION' tab under 'SETTLEMENT' menu.

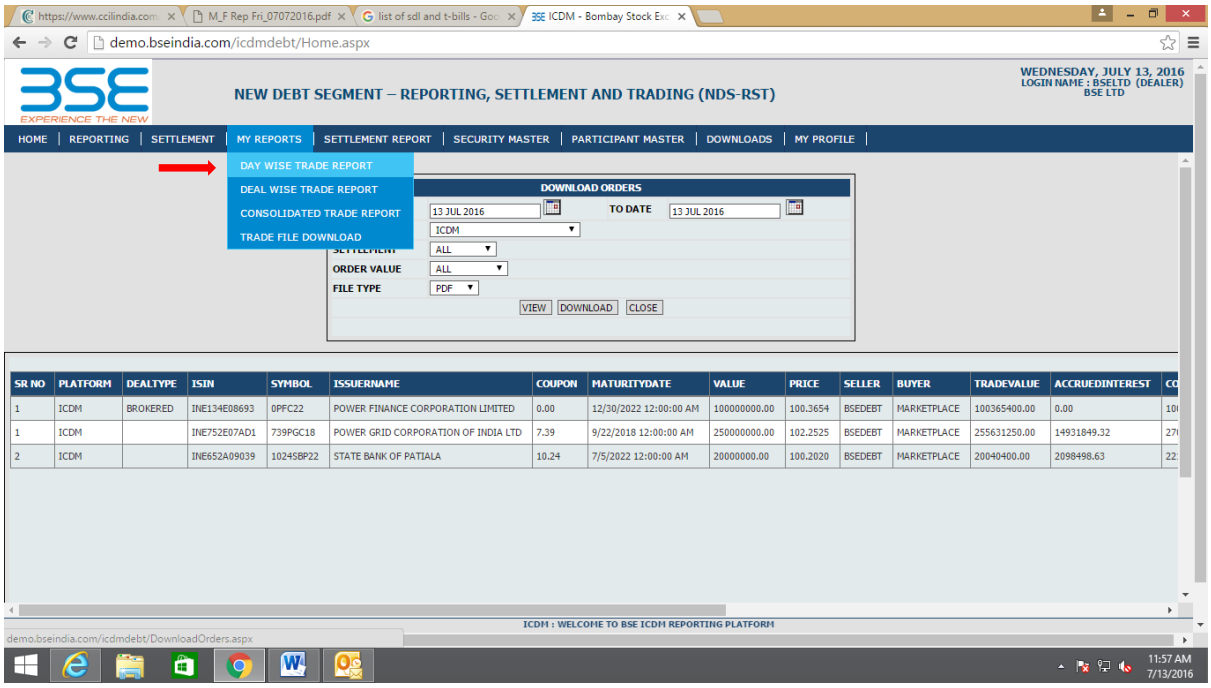
Kindly note that user will not allowed to change BANK/DP/CUSTODIAN details once pay-in is received by ICCL.



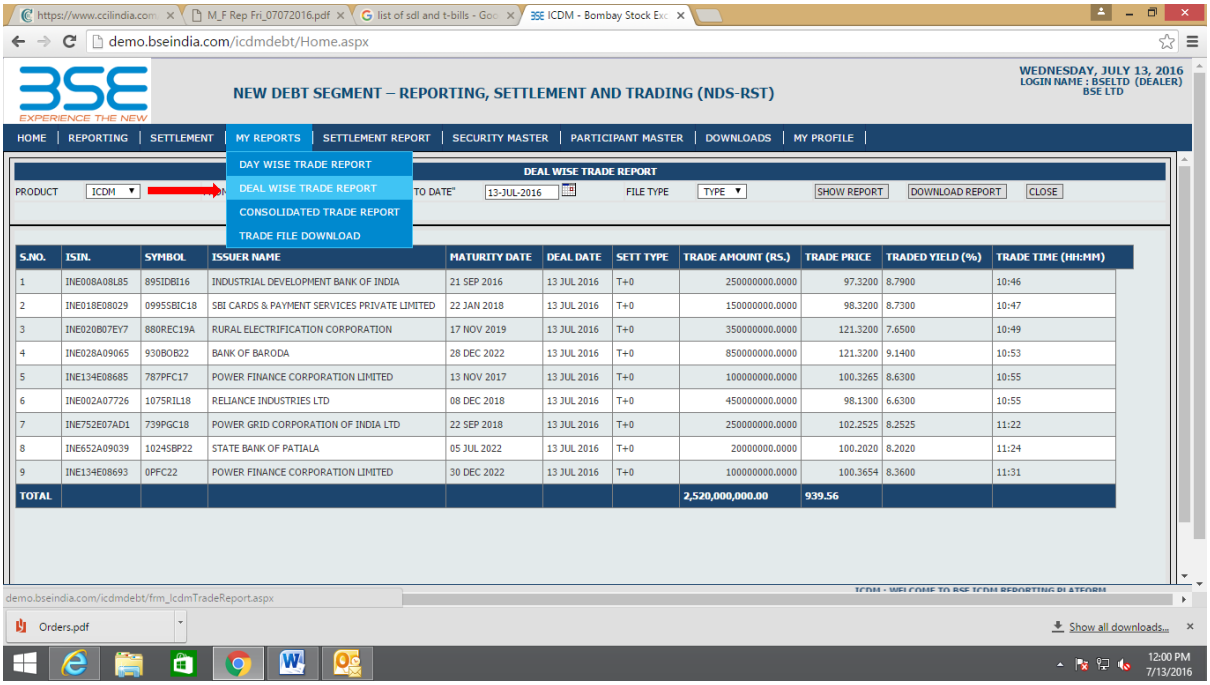
10. MY REPORTS



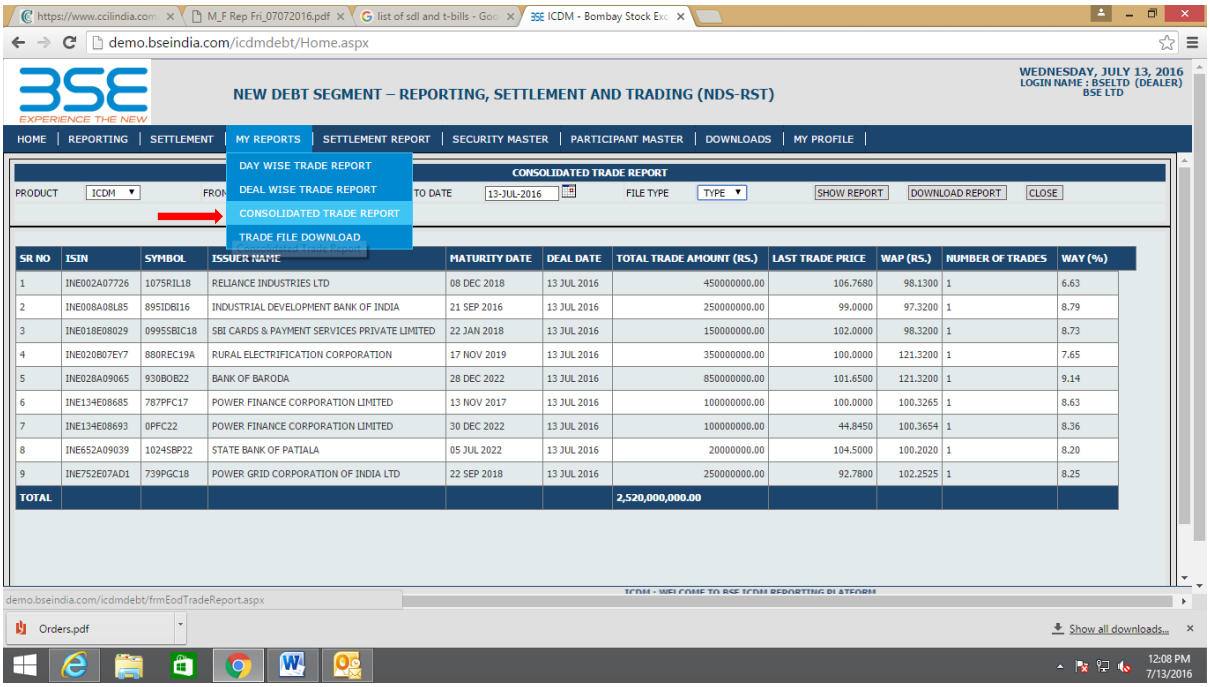
10.1. Day Wise Trade Report – To view and download day wise trades reported by user (User specific report). The report can be downloaded in Excel or PDF format. User can also use different options from menu to filter the reports further. This report will be available to all market participants.



10.2. Deal Wise Trade Report – To download individual trade report of all the trades reported in market. The report can be downloaded in Excel or PDF format. This report will be available to all market participants.

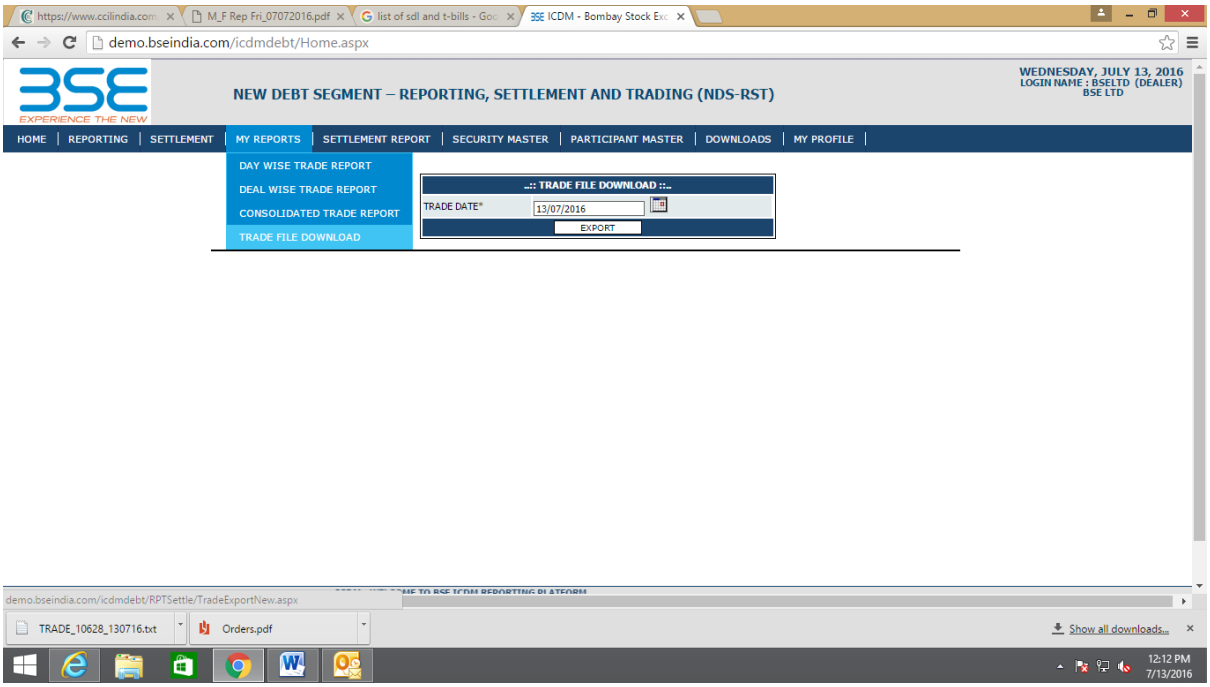


10.3. Consolidated Trade Report – To download security wise consolidated trade report of all the trades reported in market. The report can be downloaded in Excel or PDF format. This report will be available to all market participants.

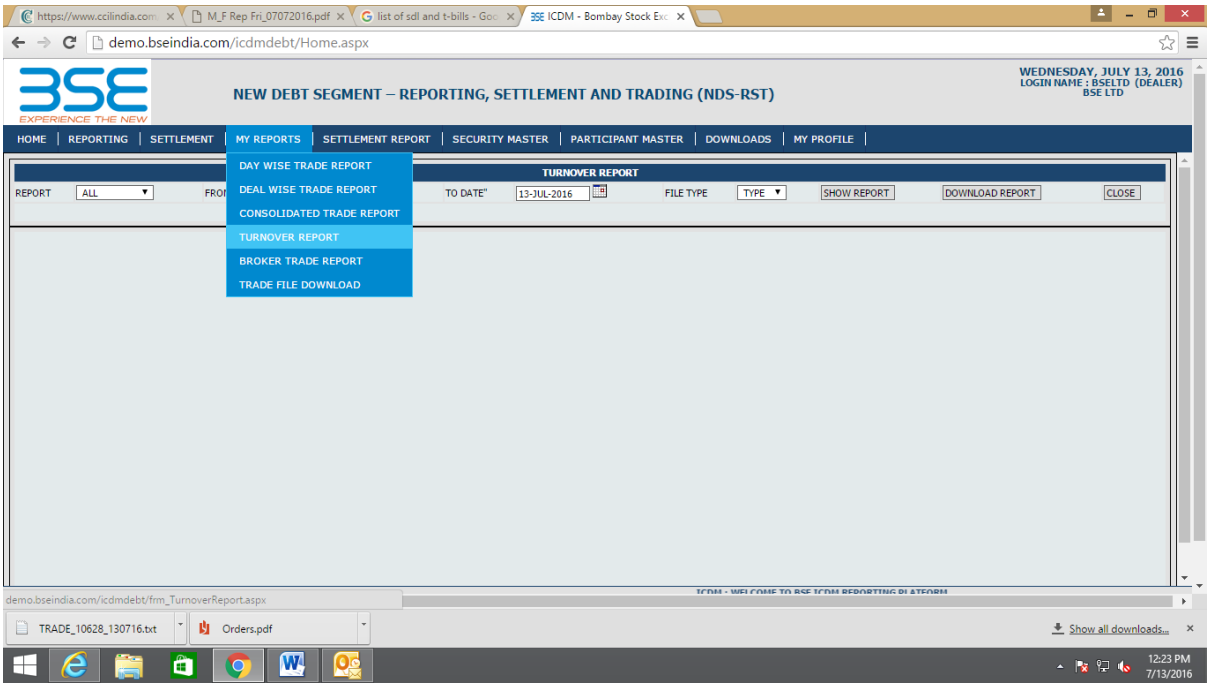


SR NO	ISIN	SYMBOL	ISSUER NAME	MATURITY DATE	DEAL DATE	TOTAL TRADE AMOUNT (RS.)	LAST TRADE PRICE	WAP (RS.)	NUMBER OF TRADES	WAY (%)
1	INE002A07726	1075RIL18	RELIANCE INDUSTRIES LTD	08 DEC 2018	13 JUL 2016	450000000.00	106.7680	98.1300	1	6.63
2	INE008A08L85	895IDBI16	INDUSTRIAL DEVELOPMENT BANK OF INDIA	21 SEP 2016	13 JUL 2016	250000000.00	99.0000	97.3200	1	8.79
3	INE018E08029	0995SBIC18	SBI CARDS & PAYMENT SERVICES PRIVATE LIMITED	22 JAN 2018	13 JUL 2016	150000000.00	102.0000	98.3200	1	8.73
4	INE020B07EY7	880REC19A	RURAL ELECTRIFICATION CORPORATION	17 NOV 2019	13 JUL 2016	350000000.00	100.0000	121.3200	1	7.65
5	INE028A09065	930BOB22	BANK OF BARODA	28 DEC 2022	13 JUL 2016	850000000.00	101.6500	121.3200	1	9.14
6	INE134E08685	787PFC17	POWER FINANCE CORPORATION LIMITED	13 NOV 2017	13 JUL 2016	100000000.00	100.0000	100.3265	1	8.63
7	INE134E08693	0PFC22	POWER FINANCE CORPORATION LIMITED	30 DEC 2022	13 JUL 2016	100000000.00	44.8450	100.3654	1	8.36
8	INE652A09039	1024SBP22	STATE BANK OF PATIALA	05 JUL 2022	13 JUL 2016	20000000.00	104.5000	100.2020	1	8.20
9	INE752E07AD1	739PGC18	POWER GRID CORPORATION OF INDIA LTD	22 SEP 2018	13 JUL 2016	250000000.00	92.7800	102.2525	1	8.25
TOTAL						2,520,000,000.00				

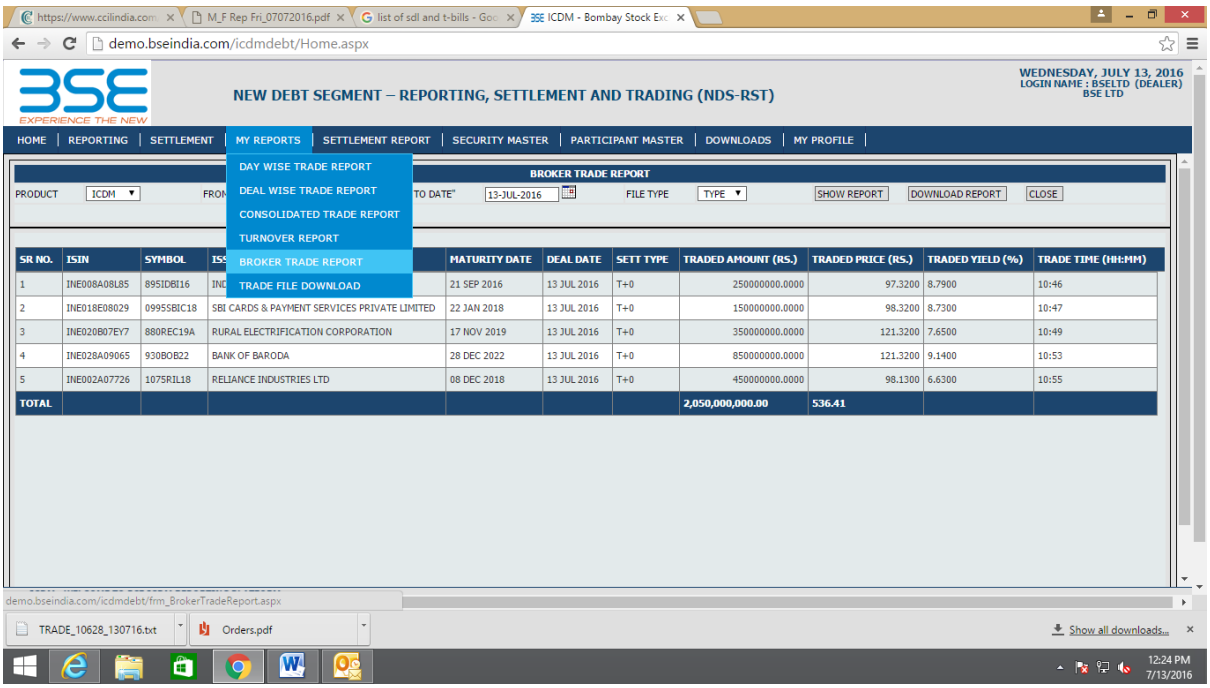
10.4. Trade File Download – To download day wise records of deals reported by the broker.



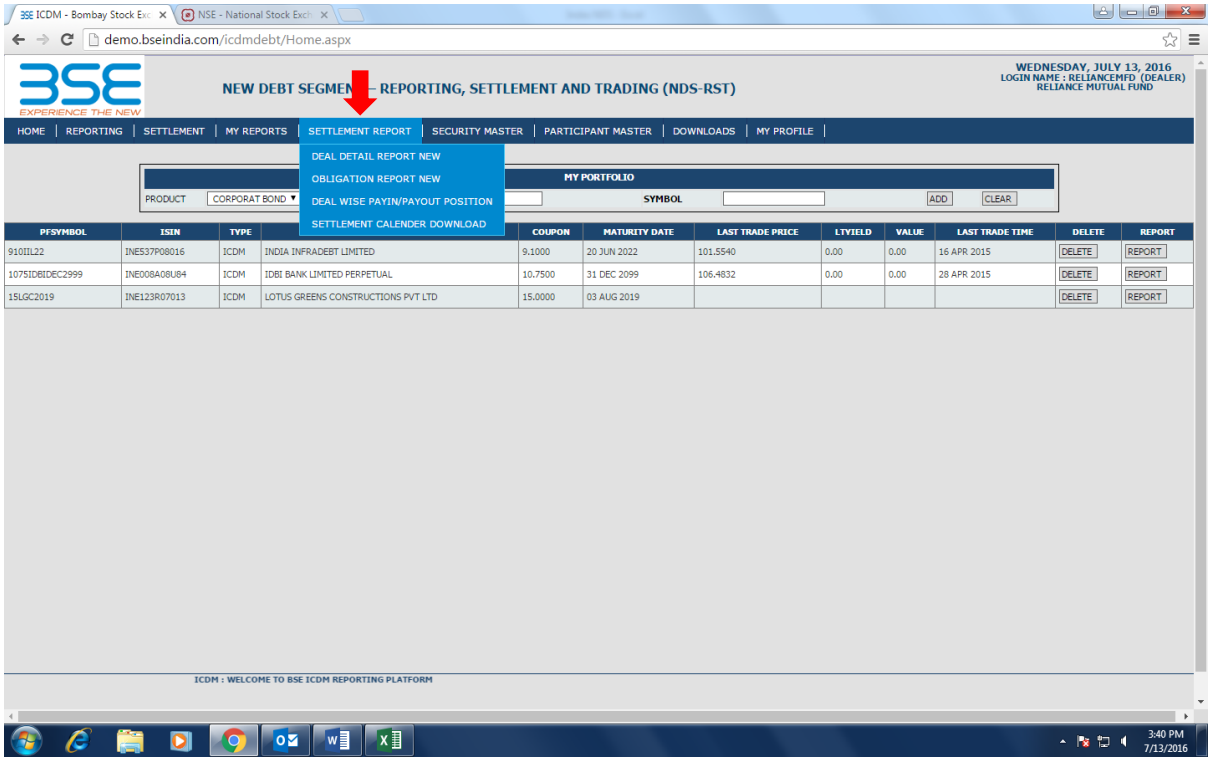
10.5.Turnover Report – To download deals reported under 'BROKER' tab. This report will be available to Members of the Exchange only. Members can download the report in Excel or PDF format.



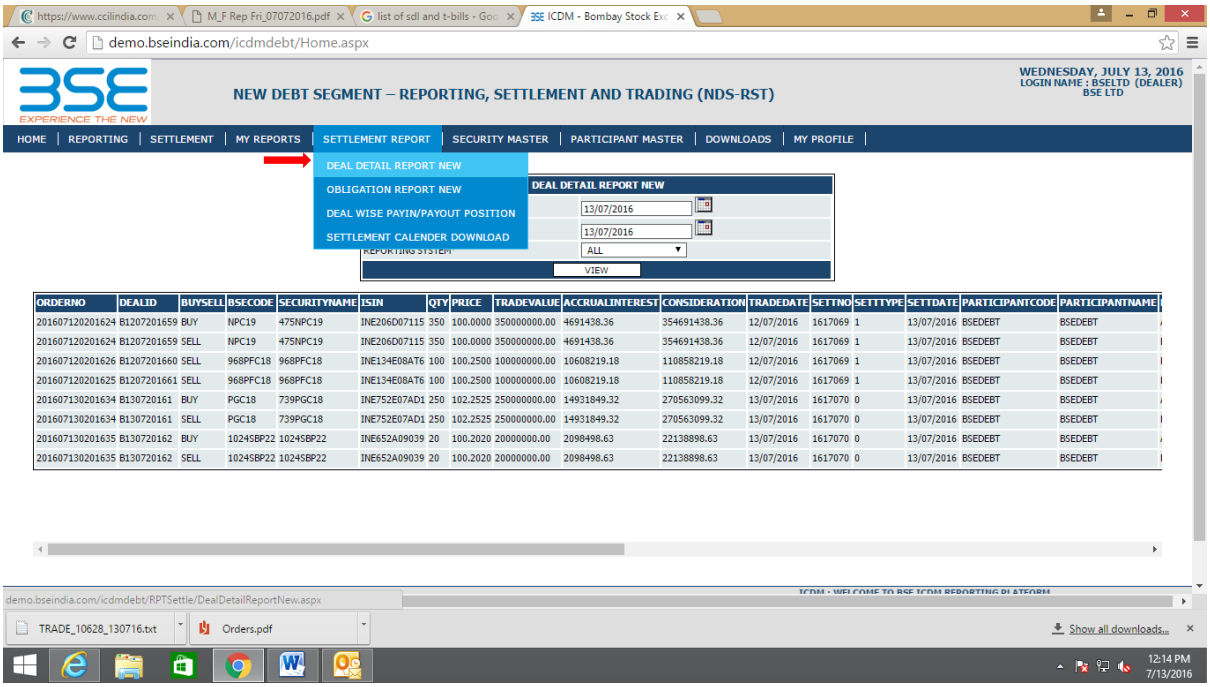
10.6. Broker Trade Report – To download day wise deals reported by all the members of the Exchange. This report will be available to the Members of the Exchange only. Members can download the report in Excel of PDF format.



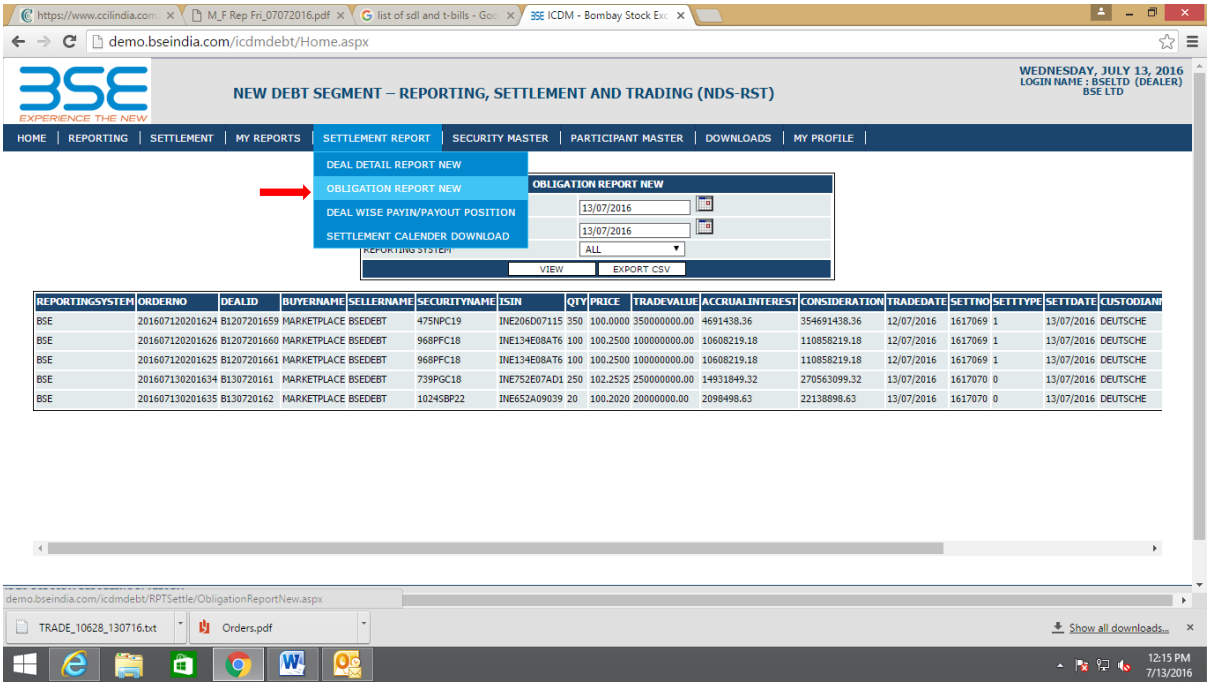
11. Settlement Reports



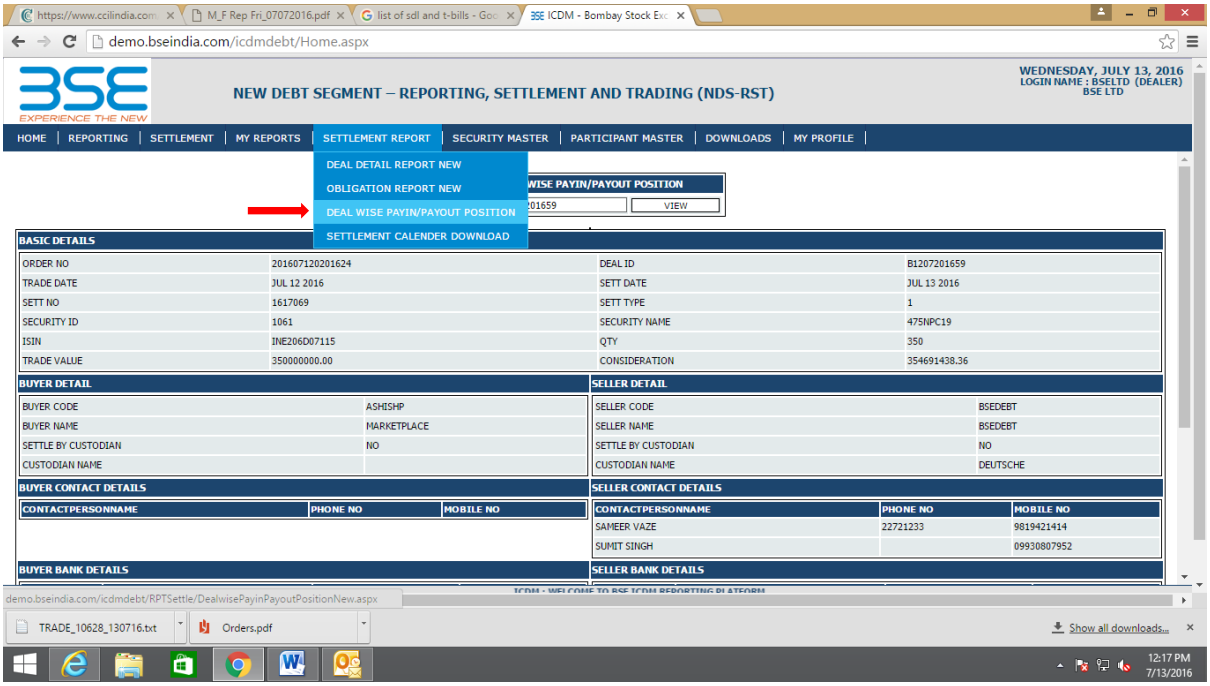
11.1 Deal Detail Report – To download day wise trade wise reported of deal reported for settlement through ICCL.



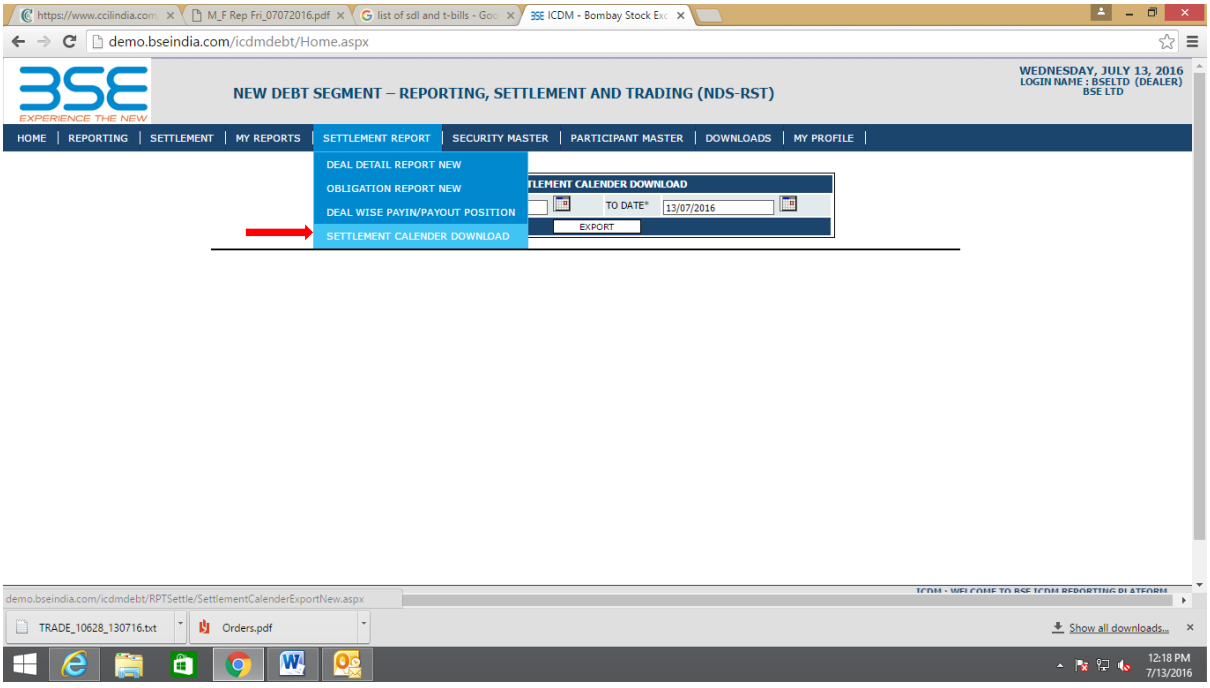
11.2 Obligation Report – To download day wise obligation file. User can export the report in CSV format.



11.3 Deal Wise Pay-in/ Pay-Out – To check deal wise trade status (User specific non downloadable report)

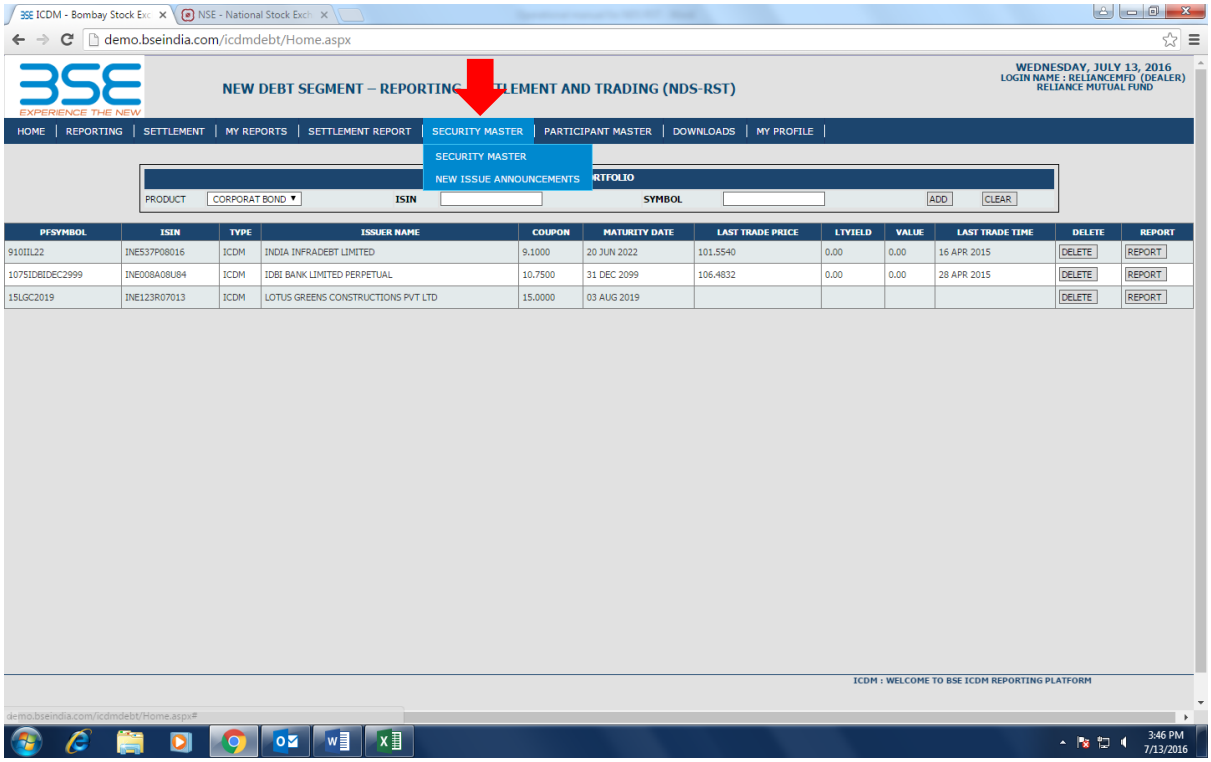


11.4. Settlement Calendar Download – To download month wise settlement calendar



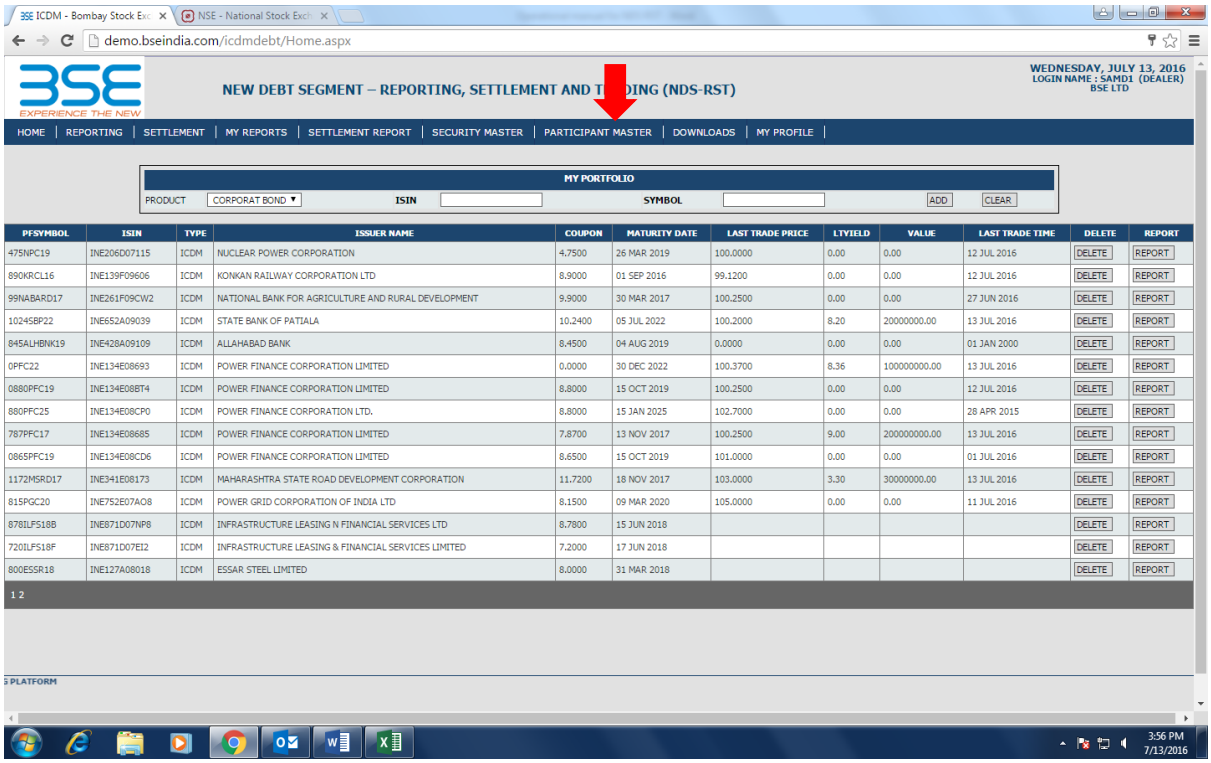
12. Security Search

Security can be searched using “SECURITY MASTER” option. Additionally user can download searched result also. Security can be searched using various options provided on system. i.e. using ISIN Number, Symbol, Issuer Name, Maturity Date, Coupon Rate, Rating, Listing Type and Bond Type.

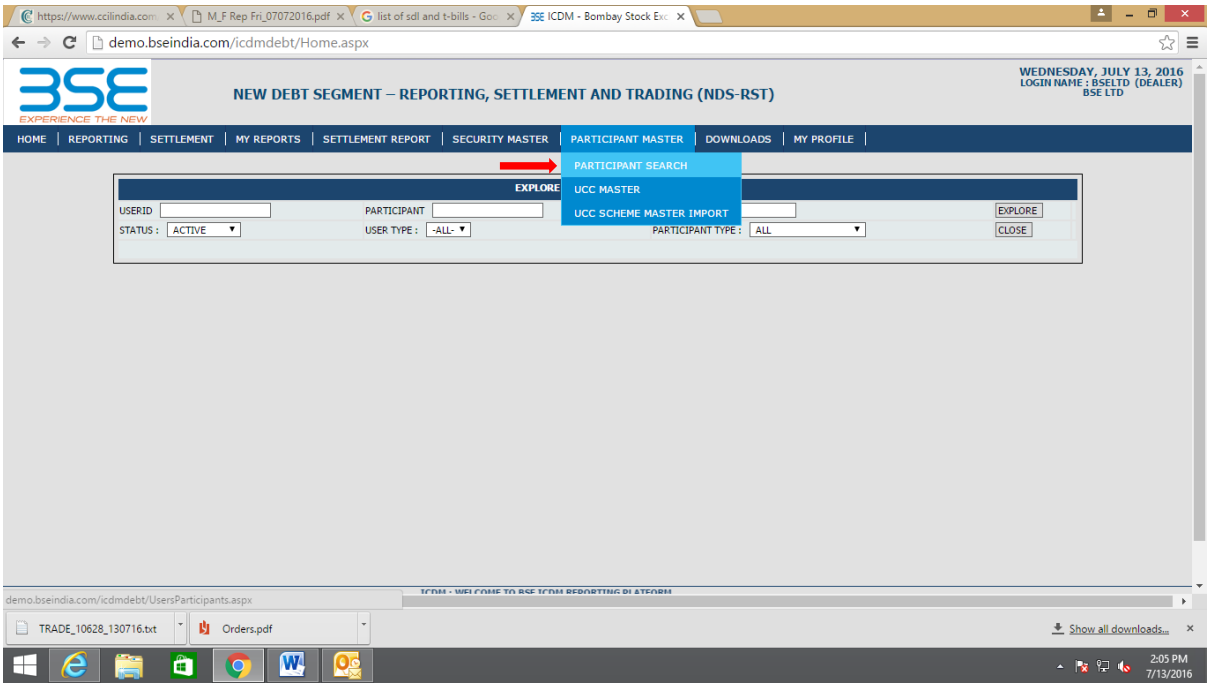


New issue information will be available under ‘NEW ISSUE ANNOUNCEMENT’ tab under ‘SECURITY SEARCH’ menu.

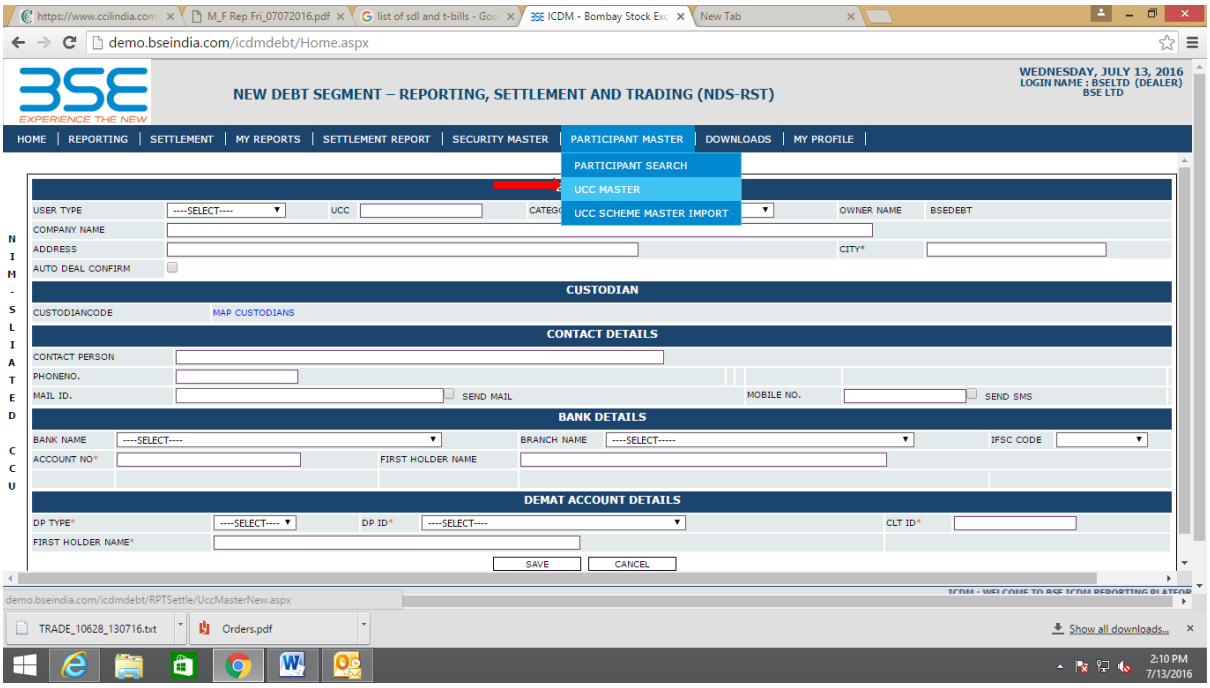
13. Participant Master



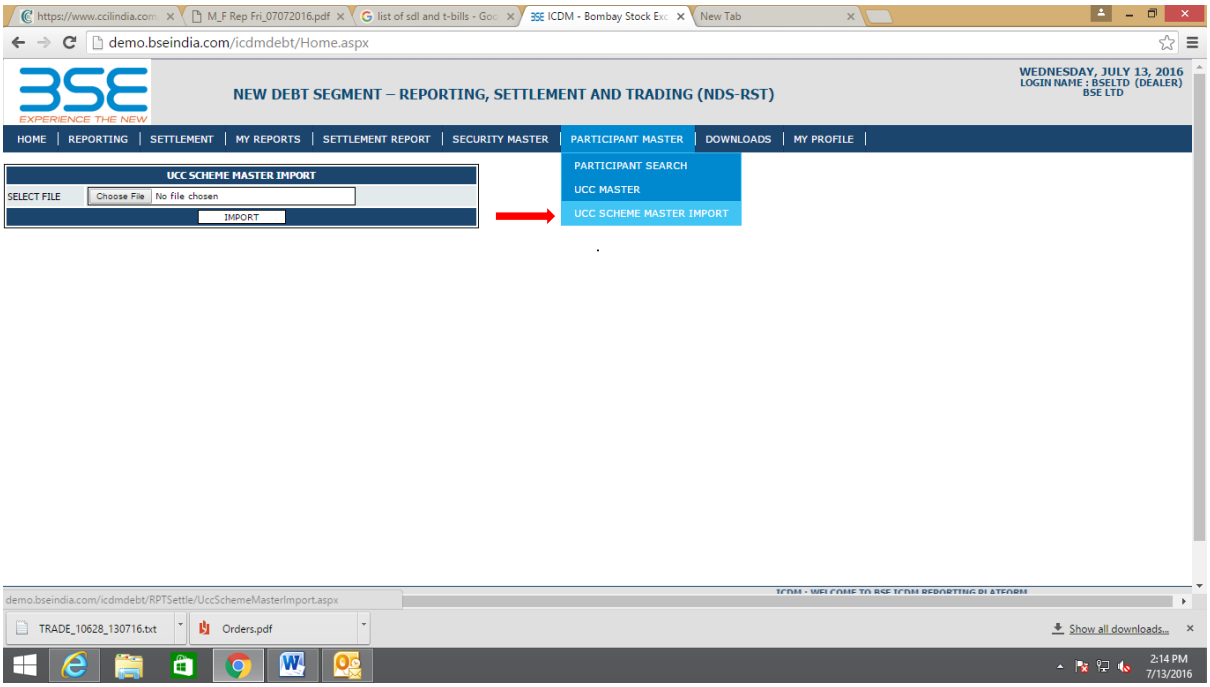
13.1. Participant Search - Participants can be searched using “PATICIPANT SEARCH” in “PARTICIPANT MASTER” option.



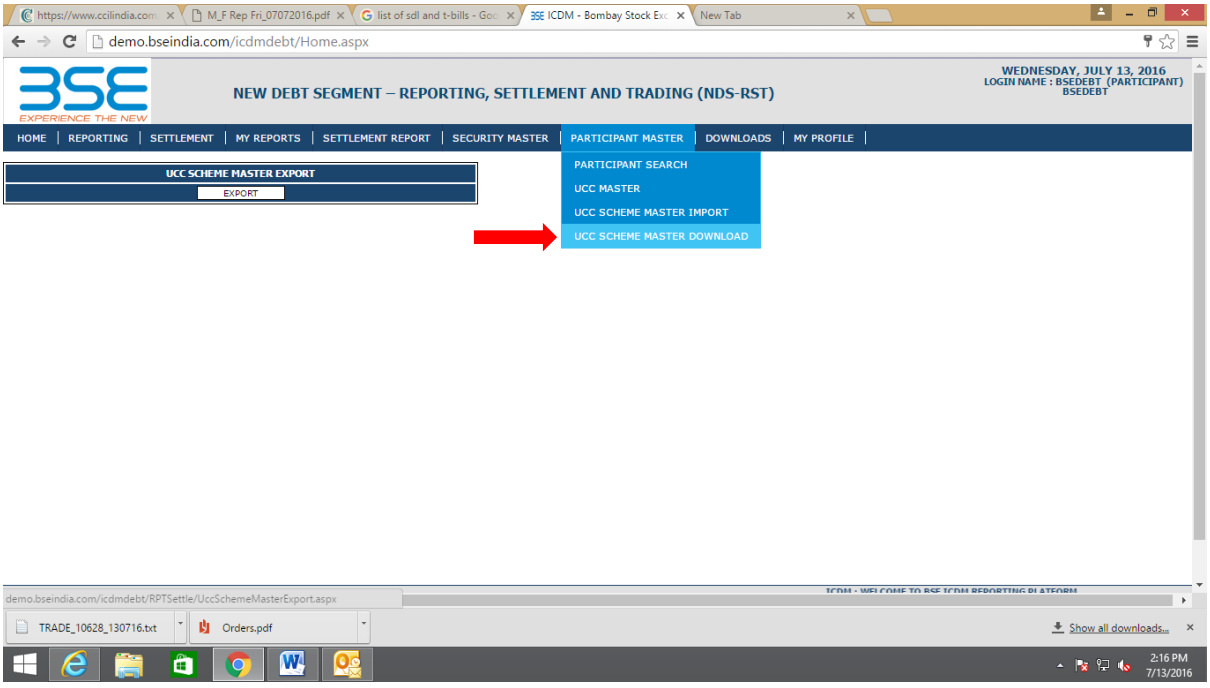
13.2 UCC Master - User can create clients (participant sub client) by selecting 'UCC MASTER' in "PARTICIPANT MASTER". The details of the participant sub client (erstwhile unregistered party) to be added as mentioned in the below print screen:



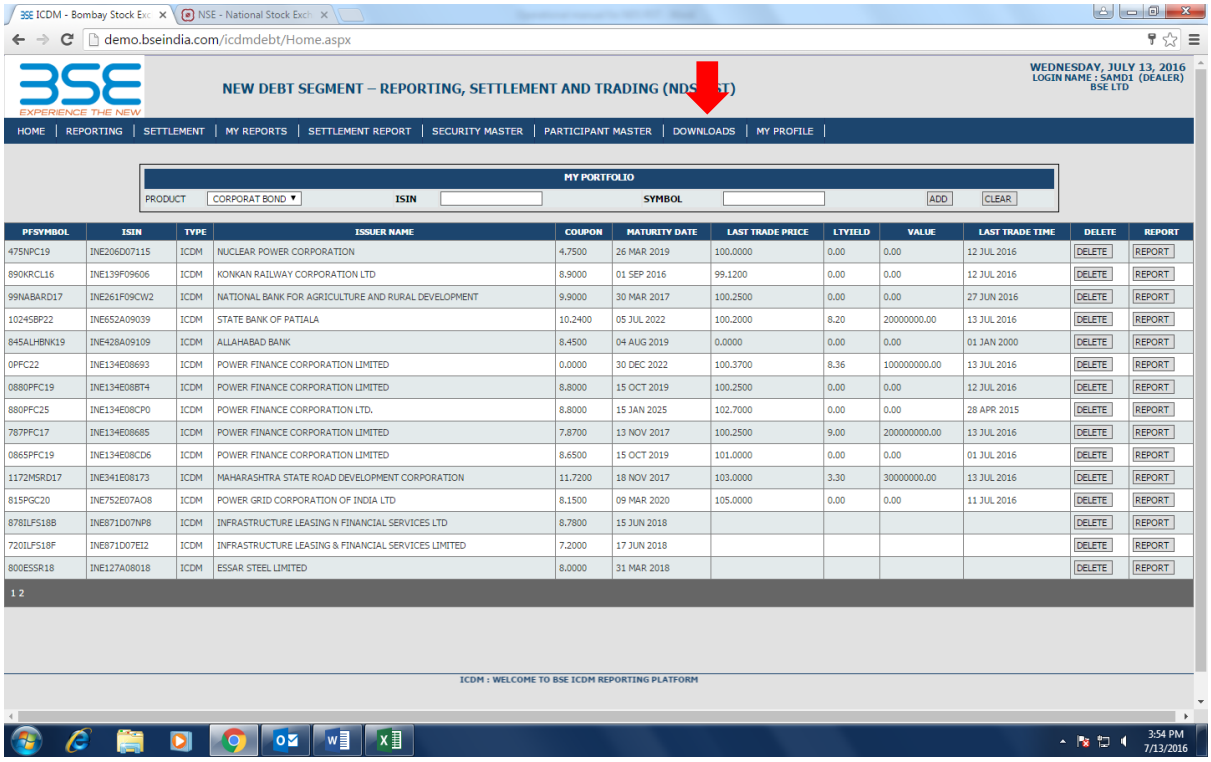
13.3. UCC Scheme Import – User can also upload client master in format prescribed by Exchange (Attached as Annexure).



13.4. UCC Scheme Master Download – User can download list of client registered under them (participant sub client) from UCC SCHEME MASTER DOWNLOAD tab.

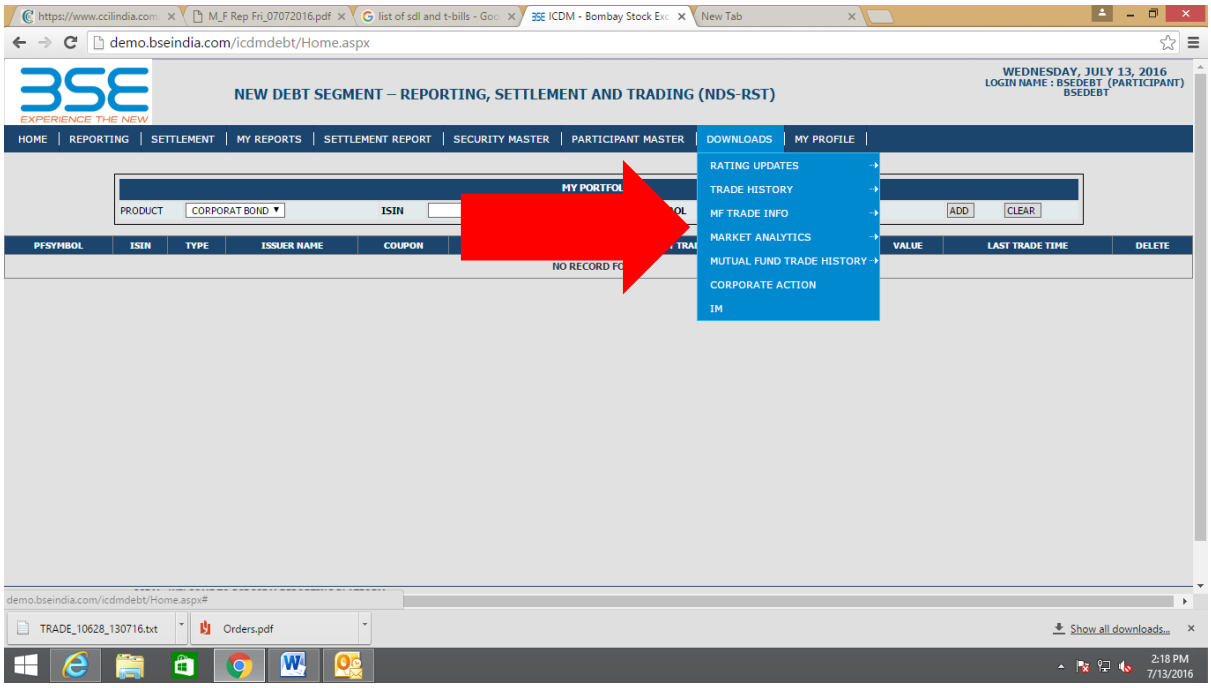


14. Downloads



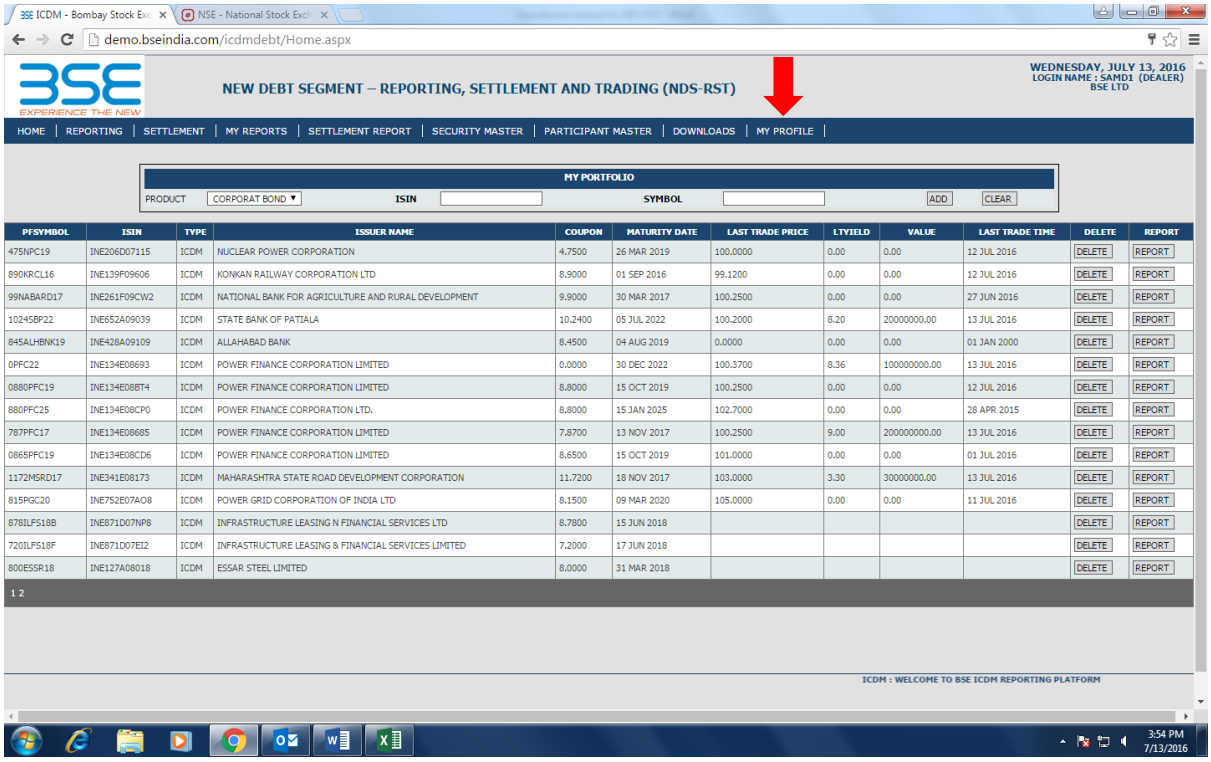
Following information would be available under *"DOWNLOADS"*:

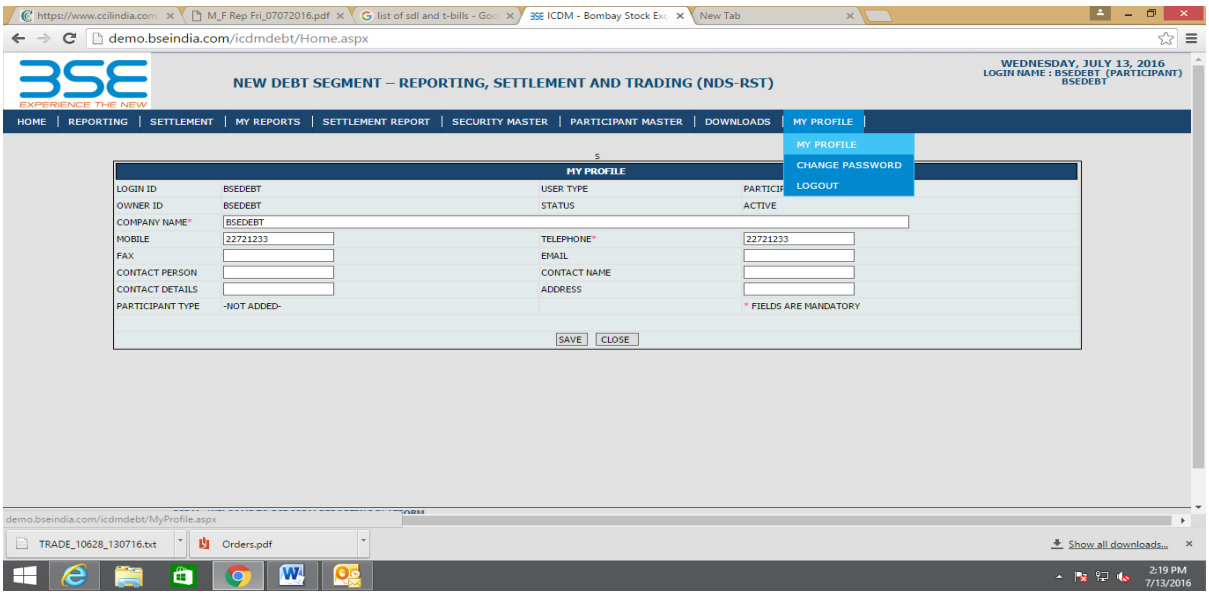
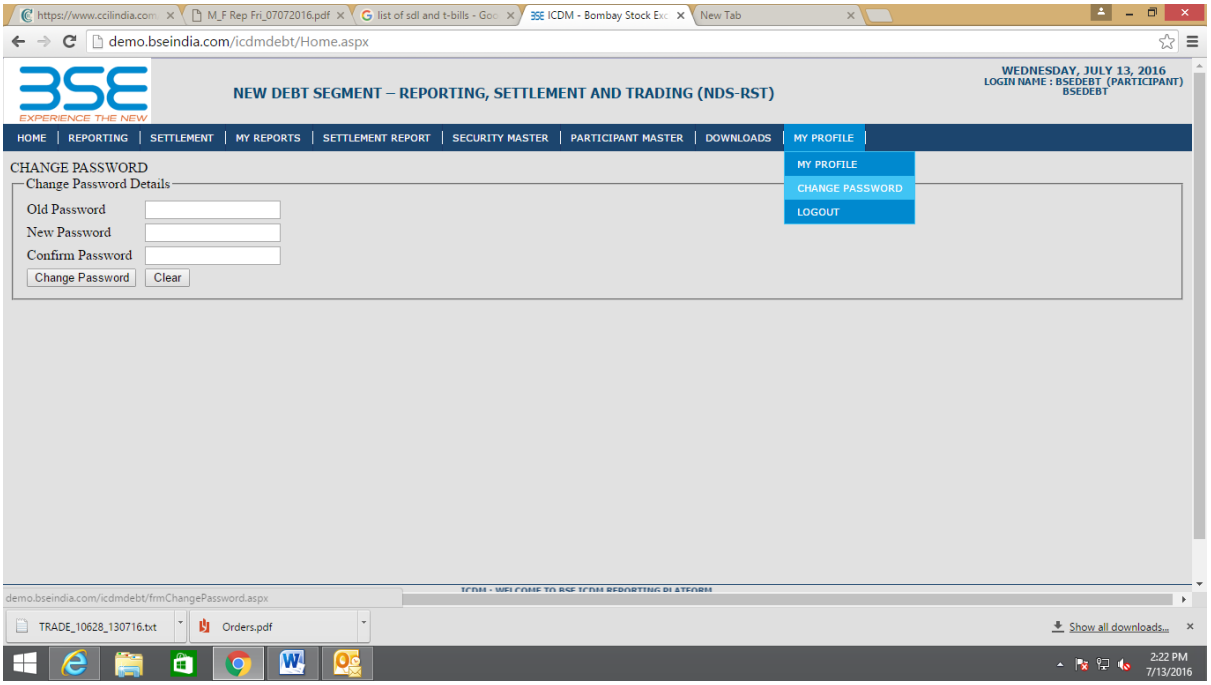
- Rating Update – To download day wise rating tracker
- Trade history – To download historical trade data
- MF Trade Info – To download Mutual Fund trade information (As published by AMFI)
- MARKET ANALYTICS – To download day wise market analytical reports
- CORPORATE ACTION – To check corporate action of BSE listed bonds
- IM – Information Memorandum database of BSE listed bonds


demo.bseindia.com/icdmdebt/Home.aspx
NEW DEBT SEGMENT – REPORTING, SETTLEMENT AND TRADING (NDS-RST)
WEDNESDAY, JULY 13, 2016
LOGIN NAME : BSEDEBT (PARTICIPANT)
BSEDEBT
HOME
REPORTING
SETTLEMENT
MY REPORTS
SETTLEMENT REPORT
SECURITY MASTER
PARTICIPANT MASTER
DOWNLOADS
MY PROFILE
RATING UPDATES
TRADE HISTORY
MF TRADE INFO
MARKET ANALYTICS
MUTUAL FUND TRADE HISTORY
CORPORATE ACTION
IM
PRODUCT
CORPORAT BOND
ISIN
ADD
CLEAR
PFSYMBOL
ISIN
TYPE
ISSUER NAME
COUPON
VALUE
LAST TRADE TIME
DELETE
TRADE_10628_130716.txt
Orders.pdf
Show all downloads...
2:18 PM
7/13/2016

15. My Profile

Users can update their contact detail from MY PROFILE tab.





15.1. Change Password – User can change their password from CHANGE PASSWORD tab.