

Annexure I

Auditor's Certificate

(To be on the letterhead of the system auditor or system audit company. All the pages should be stamped and signed)

I / we, M/s. (Name of the system auditor or system audit company) confirm that, the trading member (Name of trading member) has integrated the surveillance indicator's functionality into its system and that it has been thoroughly tested in (UAT), Exchange Mock / Simulated trading, and Exchange Test Market settings.

This is to certify that, the trading member has successfully completed testing of all the indicators and any other impacted components for **ALL** their respective non – BOLT / BOW front end terminals including IBT, STWT, CTCL, etc.

Trading Member Code	
Trading Member Name	

The above-mentioned software can be deployed in live environment.

Following is the screenshot (of non – BOLT / BOW front end terminals including IBT, STWT, CTCL, etc. while placing an order) of the alert message & functionality as described in (para 3) of the circular.

Enclose Screenshots

Declaration:

There is no conflict of interest with respect to the member being audited and our directors / promoters are not directly or indirectly related to the current directors or promoters of the member being audited.

Signature

(Name of the Auditor & Auditing firm)

CISA / DISA / CISM / CISSP Reg No.:

Date:

Place:

Stamp / Seal: