Annexure 1

Summary of Settlement of clients' funds Submission

Sr. No	Particulars	Description
1	Settlement Date	Format: DD-MM-YYYY
2	No. of Client Settled	Number of clients settled either by way of retention of funds against obligation and/or actual transfer of funds to client. Count shall not include debit / nil balance clients.
3	Value of Funds Retained (Amt. in Rs.)	Amount should only include client funds that have been retained by the Member as on settlement date and should exclude debit / nil balance clients' fund balances.
4	Value of Funds Settled (Amt. in Rs.)	Amount should only include value of funds transferred to clients after retention of funds, if any for settlement.
5	Bank account No.	TM Bank Account Number from where funds as reported in point number 4 mentioned above have been transferred to the clients for settlement needs to be mentioned and such account numbers should be from the list of Bank accounts as submitted under Enhanced Supervision by the Member to the Exchange.
6	No. of Clients	Number of clients to whom funds have been transferred for settlement purpose.
7	Value of Transfer (Amt. in Rs.)	Amount should only include client funds that have been transferred for settlement to the said client through the stated TM Bank account number. Total Amount transferred to a client from all bank accounts should be equal to the amount reported in the point number 4 mentioned above.

UCC wise Settlement Details submission

While submitting UCC wise settlement details, members are advised to note following points

A. Clients where funds have been neither retained nor transferred for settlement shall be excluded.

B. Clients where funds have been retained but no funds have been transferred for settlement, Bank account details of TM/client (viz; TM Bank Account No., IFSC details, Client Bank Account Number & Client Bank Account IFSC) shall be mentioned as NA. Further, aggregate value of such funds should match with the amount reported for "value of funds retained" in the point 3 mentioned above of **Summary of Settlement of clients' funds** submission**.**

C. Clients where funds have been transferred, Bank account details of TM and client needs to be mentioned and cannot be mentioned as "NA". Further, aggregate value of such funds of all clients should match with the amount reported for "value of funds settled" in the point 4 mentioned above of **Summary of Settlement of clients' funds** submission**.**