|                             |                         |                      | ESAF SMALL FINANCE BANK LIN                |   |                            |                      |
|-----------------------------|-------------------------|----------------------|--|---|----------------------------|----------------------|
|                             | Registered Office: Bu   | ilding No. VII/83/8, | ESAF Bhavan, Thrissur-Palakkad Natio       | nal Highway, Mannuthy, T                        | hrissur 680 651, Kerala    |                      |
|                             |                         |                      | LOCK-IN DETAILS                            |   |                            |                      |
| Na af Faralda, Channa       | ## D:-4:4:-             | N                    | T  | Leik  | in Datas                   | Dharait and /D and a |
| No. of Equity Shares        | ## Distinctive Nos.     |                      | Type of Security                           | Lock-in Dates                                   |                            | Physical/Demat       |
| 95,000                      | F rom 1                 | 95,000               | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 08-Dec-2023                | Demat#               |
| 5,000                       | 95,001                  | 1,00,000             | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 08-Dec-2023<br>09-May-2024 | Demat#               |
| 59,14,375                   | 1,00,001                | 60,14,375            | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2024<br>08-Dec-2023 | Demat<br>Demat#      |
| 22.00.625                   | 60,14,376               | 82,15,000            | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 08-Dec-2023<br>06-Feb-2024 | Demat#               |
| 7                           |                         | / /                  | 2 1  |   |                            |                      |
| 18,03,92,763                | 82,15,001               | 18,86,07,763         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2024                | Demat                |
| 2,09,970                    | 18,86,07,764            | 18,88,17,733         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2025                | Demat                |
| 1,52,74,432                 | 18,88,17,734            | 20,40,92,165         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2024                | Demat                |
| 9,25,68,704                 | 20,40,92,166            | 29,66,60,869         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2025                | Demat                |
| 49,01,960                   | 29,66,60,870            | 30,15,62,829         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2024                | Demat                |
| 1,03,82,352                 | 30,15,62,830            | 31,19,45,181         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2025                | Demat                |
| 38,08,750                   | 31,19,45,182            | 31,57,53,931         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 06-Feb-2024                | Demat#               |
| 31,249                      | 31,57,53,932            | 31,57,85,180         | Fully Paid-up and Not under Lock-in        | Lock-in Not Appicable - IPO Public              |                            | Demat                |
| 13,36,88,618                | 31,57,85,181            | 44,94,73,798         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 09-May-2024                | Demat                |
| 52,53,124                   | 44,94,73,799            | 45,47,26,922         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 08-Dec-2023                | Demat#               |
| 52,53,124                   | 45,47,26,923            | 45,99,80,046         | Fully Paid-up and under Lock-in            | 09-Nov-2023                                     | 06-Feb-2024                | Demat#               |
| 5,47,99,812                 | 45,99,80,047            | 51,47,79,858         | Fully Paid-up and Not under Lock-in        | Lock-in Not Appicable - IPO Public              |                            | Demat                |
| 51,47,79,858                |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  | For ESAF SMALL FINANCE BANK LIMITED             |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
|                             |                         |                      |  |   |                            |                      |
| Date: 09.11.2023            |                         |                      |  | Ranjith Raj P                                   |                            |                      |
|                             |                         |                      |  | <b>Company Secretary and Compliance Officer</b> |                            |                      |
|                             |                         |                      |  | Membership No.A30388                            |                            |                      |
| otes:                       |                         |                      |  |   |                            |                      |
| vestors are Locked-in for   | 90 days i.e.upto 06th   | February, 2024.      | are Locked-in for 30 days i.e. upto 08th I |   |                            | allocated to Ancho   |
|                             |                         | _                    | ly. Since, all the shares are in demat for | m exact Distinctive Number                      | s cannot be assigned.      |                      |
| ) Details with respect to I | lock-in are provided of | on page No.116-118   | of the Prospectus of the Company.          |   |                            |                      |