



DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001

Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in

CIN: L67120GJ1992PLC121278

Date: 27th November, 2024

To,

**Listing Department
National Stock Exchange of India Ltd.,
Exchange Plaza Plot No. C/1, G Block
Bandra Kurla Complex Bandra (E)
Mumbai - 400051**

**Department of Corporate Services
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001**

NSE Symbol: DBSTOCKBRO

BSE Scrip Code: 530393

Dear Sir/Ma'am,

Ref: Imposition of penalty by National Stock Exchange of India Limited ("NSE") vide NSE/INSP-ENF/CMFOCDS/OFFSITE/24-25/ACT/06432/2024-43227 for Limited Purpose Inspection (Offsite) in CM, F&O and CD Segments conducted during July 2024

Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), read with Para A of Part A to Schedule III of the SEBI Listing Regulations, we hereby bring into your kind notice that National Stock Exchange of India Limited ("NSE") has imposed monetary penalty of ₹25,000/- plus relevant taxes.

Details of the Order have been provided in "*Annexure I*" enclosed herewith in compliance with Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking You,

**For and on behalf of
DB (International) Stock Brokers Limited**

**Prachi Sharma
Company Secretary
Membership No.: A71389**

Encl: as above



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Annexure-I

Sr. No.	Particulars	Remarks
1.	Name of the Authority	National Stock Exchange of India Limited (“NSE”)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Imposition of Monetary penalty of Rs. 25,000/-
3.	Date of receipt of the order	26 th November, 2024
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed;	Offline Inspection w.r.t. Excess Payout Note: <i>The error was clerical in nature and the same was immediately (at the same time) identified and rectified, so we are contesting this penalty.</i>
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Monetary penalty of ₹25,000/-. There is no other impact on the financial, operations or other activities of the Company.