

N G INDUSTRIES LTD

CIN: L74140 WB 1994 PLC 065937, GSTIN: 19AABCN6332QIZX

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1st Floor, 37A Southern Avenue, Kolkata – 700 029 (Renamed as Dr. Meghnad Saha Sarani)

May 30, 2024

To
The Secretary
Bombay Stock Exchange Limited
Registered Office: Floor 25,
P.J. Towers, Dalal Street,
Mumbai – 400001
Scripe Code: 530897

To
The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700001

Scripe Code: 10024075

Dear Sir/madam,

Sub: Reg 24A of SEBI (LODR) Regulation, 2015 - Annual Secretarial Compliance Report

Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015, we are enclosing herewith the Secretarial Compliance Report issued by M/s S. Rath & Co., Practicing Company Secretary, for the Financial Year ended 31st March, 2024.

This is for your information and record.

Thanking You,

Yours Faithfully,
For N G Industries Ltd

Rajesh Goenka
Director
DIN: 00157319

Encl: as above.

ANNUAL SECRETARIAL COMPLIANCE REPORT

OF

N G INDUSTRIES LIMITED (CIN-L74140WB1994PLC065937)

FOR THE FINANCIAL YEAR ENDED 31.03.2024

[Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,

N G INDUSTRIES LIMITED
37A, Dr. Meghnad Saha Sarani, First Floor,
Kolkata - 700 029

I have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by **M/s. N G INDUSTRIES LIMITED (CIN-L74140WB1994PLC065937)** (hereinafter referred as 'the listed entity'), having its Registered Office at **37A, Dr. Meghnad Saha Sarani, First Floor, Kolkata - 700 029**. Secretarial Review was conducted in a manner that provided me a reasonable basis for evaluating the corporate conducts/statutory compliances and to provide my observations thereon.

Based on my verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, I hereby report that in my opinion, the listed entity has, during the review period covering the financial year ended on March 31, 2024, complied with the statutory provisions listed hereunder, in the manner and subject to the reporting made hereinafter:

I, SAHADEB RATH, Proprietor, S.RATH & CO. have examined.

- (a) all the documents and records made available to me and explanation provided by Uno Metals Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges.
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant including by way of electronic mode, which has been relied upon to make this report,

for the year ended **31ST March, 2024** ("Review Period") in respect of compliance with the provisions of

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder, to the extent applicable to this company; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI"); to the extent applicable to this company :



The specific Regulations, as applicable, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 ; **(not applicable to the company under the Review Period)**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 ;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 ; **(not applicable to the company under the Review Period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **(not applicable to the company under the Review Period)**
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations,2021; **(not applicable to the company under the Review Period).**
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars, guidelines issued thereunder; to the extent applicable to this listed entity

and based on the above examination, I hereby report that during the review period

I (a) The listed entity has complied with the provisions of the above Regulations and circulars' guidelines issued thereunder except in respect of matters specified below:


Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ remarks of the Practicing Company Secretary	Management Response	Remarks
NIL										


(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations/ Remarks of the Practicing Company Secretary in the Previous reports (PCS)	Observations made in the secretarial compliance report for the year ended 31 st March 2023	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Details of Violation/ Deviations and acts taken/penalty imposed, if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
NIL						



II. I hereby report that, during the Review Period the compliance status of the listed entity with the following requirements:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS
1.	<p>Secretarial Standards:</p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)</p>	Yes	
2.	<p>Adoption and timely updation of the Policies:</p> <ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI 	Yes Yes	
3.	<p>Maintenance and disclosures on Website:</p> <ul style="list-style-type: none"> • The Listed entity is maintaining a functional website • Timely dissemination of the documents/ information under a separate section on the website • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website 	Yes Yes Yes	
4.	<p>Disqualification of Director:</p> <p>None of the Director(s) of the Listed entity is/ are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.</p>	Yes	

5.	<p>Details related to Subsidiaries of listed entities have been examined w.r.t.:</p> <p>a) Identification of material subsidiary companies</p> <p>b) Disclosure requirement of material as well as other subsidiaries</p>	NA	The listed entity does not have any Subsidiary
6.	<p>Preservation of Documents:</p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.</p>	Yes	
7.	<p>Performance Evaluation:</p> <p>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.</p>	Yes	
8.	<p>Related Party Transactions:</p> <p>a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or</p> <p>b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee.</p>	Yes	Prior approval taken
9.	<p>Disclosure of events or information:</p> <p>The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.</p>	Yes	
10.	<p>Prohibition of Insider Trading:</p> <p>The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.</p>	Yes	

<p>11.</p>	<p>Actions taken by SEBI or Stock Exchange(s), if any:</p> <p>No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder</p> <p style="text-align: center;">(or)</p> <p>The actions taken against the listed entity/ its promoters/directors/subsidiaries either by SEBI or by Stock Exchanges are specified in the last Column.</p>	<p style="text-align: center;">NA</p>	<p style="text-align: center;">There is no such event during the year under review</p>
<p>12.</p>	<p>Resignation of statutory auditors from the listed entity or its material subsidiaries:</p> <p>In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year , the listed entity and/or its material subsidiary (ies) has/have complied with paragraph 6.1 and 6.2 of section V-D of Chapter V of the Master Circular on Compliance with the provisions of the LODR Regulations by listed entities.</p>	<p style="text-align: center;">NA</p>	<p style="text-align: center;">No such instance during the year under review</p>
<p>13.</p>	<p>Additional Non-compliances, if any:</p> <p>No additional non-compliances observed for any SEBI regulation/circular/guidance note etc. Except as reported above.</p>	<p style="text-align: center;">NA</p>	<p style="text-align: center;">No additional non compliance</p>

* Observations/ Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'

Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. My responsibility is to report based upon my examination of relevant documents and information. This is neither an audit nor an expression of opinion.



3. I have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.

4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Date : 18.05.2024

Place:Kolkata

**For. S.Rath & Co.
Practicing Company Secretaries**



Sahadeb Rath

**Sahadeb Rath
Proprietor**

Membership No.-A13298

CP No.-3452

UDIN- A013298F000399871

PR No.-3357/2023