



Thirdwave Financial Intermediaries Limited

Date: 28th September, 2024

To,
The Deputy General Manager,
Listing Compliance Monitoring Cell,
24th Floor, P.J. Towers,
Dalal Street,
Mumbai - 400 001.

Scrip Code: 531652

ISIN: INE528C01018

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Intimation of Resignation of Company Secretary and Compliance Officer of the Company.

Dear Sir/Madam,

In accordance with regulation 30 and Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we hereby inform you that Ms. Nisha Jain, Company Secretary and Compliance Officer (Key Managerial Personnel) of the Company, has submitted her resignation due to personal reasons w.e.f. 28th September, 2024.

Mr. Utkarsh Vartak shall be the Compliance Officer of the Company till the time the Company appoints a Company Secretary.

The disclosure as required under Regulation 30 of SEBI Listing Regulations read with SEBI Circular dated July 13, 2023, along with her resignation letter is enclosed.

Regd. Office : Plot No. 62, Tower - II, 12th Floor, Salt Lake, Millennium City Information Technology Park,
Sector- V, Block DN, Bidhannagar, Kolkata, West Bengal, 700064.

Corp. Office : Office No 1205 Plot No 14 REMI Commercio, Near Yash Raj Studio Off Versova Road Andheri
West, Andheri, Mumbai, Maharashtra, India, 400053

Tel : +91 9867344706 / www.twfil.com : investor.thirdwave@gmail.com : CIN:L15100WB1989PLC046886



Thirdwave Financial Intermediaries Limited

We request you to take this on record, and to treat the same as compliance with the applicable provisions of the Listing Regulations.

Thanking you,

Yours Faithfully,

For **Thirdwave Financial Intermediaries Limited**

Utkarsh Vartak
Managing Director
DIN: 09306253

Enclosures: as above

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Disclosures as per the SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023

Sr No	Particulars	Ms. Nisha Jain
1.	Reason for change viz., appointment , resignation, removal , death or otherwise	Due to personal reasons.
2.	Date of appointment /cessation & term of appointment	w.e.f. 28 th September, 2024
3.	Brief profile in case of appointment	Not applicable
4.	Disclosure of relationships between directors in case of appointment of a director	Not applicable

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September 28, 2024

To,
The Board of Directors
Thirdwave Financial Intermediaries Limited
Unit 601, Ambuja Neotia Ecocentre
EM 4, EM Block Sector V, Kolkata,
700091, West Bengal, India.

Subject: Resignation of the position of Company Secretary and Compliance Officer

Dear Sir,


I hereby tender my resignation from the position of Company Secretary and Compliance Officer of the Company due to personal reasons. The Board is kindly requested to accept my resignation w.e.f. September 28, 2024 and take the same on your records.

I would like to thank the Board and the fellow members for the support extended during my tenure as Company Secretary and Compliance Officer.

Further, request you to file the necessary forms with the Registrar of Companies, [MUMBAI] to give effect of this resignation.

Thanking you,

Yours Faithfully



NISHA JAIN
Company Secretary
Membership No.: [31695]