

July 1, 2024

To,

Department of Corporate Relationship

BSE Ltd.

Phiroze Jeejeebhoy Towers,

Dalal Street, Fort, Mumbai – 400 001.

Scrip Code: 539177

National Stock Exchange of India Limited

Exchange Plaza, C-1, Block G,

Bandra Kurla Complex,

Bandra (E), Mumbai – 400051

NSE Symbol – AIIIL

The Calcutta Stock Exchange Limited

7, Lyons Range, Murgighata, Dalhousie,

Kolkata, West Bengal - 700 001.

Scrip Code: 011262

Dear Sir/Madam,

Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Madam,

This is in reference to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulation(s)”), this is to inform you that the Company has received a notice from BSE Limited (“BSE”) and National Stock Exchange of India Limited (“NSE”).

The detailed disclosure pursuant to the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 read with SEBI Circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13 July 2023 are enclosed as “Annexure-A”

Kindly take the same on records.

Thanking you,

For **Authum Investment & Infrastructure Limited**

Hitesh Vora

Company Secretary

Mem No.: A40193

Encl: As above

Annexure-A

(Details required under the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13 July 2023)

Sr. No	Particulars	Information/Remark	
1	Name of the authority	BSE Limited	National Stock Exchange of India Limited
2	Nature and details of the action(s) taken, initiated or order(s) passed	Notice for non-compliance under the SEBI (LODR) Regulations, 2015 served to the Company with imposition of fine of Rs. 5,900/- (inclusive of GST) on the Company	Notice for non-compliance under the SEBI (LODR) Regulations, 2015 served to the Company with imposition of fine of Rs. 5,900/- (inclusive of GST) on the Company
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	BSE Email w.r.t. Fines as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 on June 28, 2024	Letter reference: NSE/LIST-SOP/COMB/FINES/0720 dated June 28, 2024
4	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for 1 day delay in submission of disclosure of related party transactions to the Stock Exchanges.	Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for 1 day delay in submission of disclosure of related party transactions to the Stock Exchanges.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Nil, except to the extent of fine amount of Rs. 5,900 (Rupees Five Thousand Nine Hundred only) imposed by the BSE.	Nil, except to the extent of fine amount of Rs. 5,900 (Rupees Five Thousand Nine Hundred only) imposed by the NSE.