



R.J. SHAH & CO. LTD.
ENGINEERS & CONTRACTORS

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E-MAIL : rjshahandco191@gmail.com
WEBSITE : www.rjshahandco.com
Regd. Office :
NEAR WADALA CONTAINER YARD,
MAHUL ROAD, ANTOP HILL,
MUMBAI - 400 037. (INDIA)
CIN NO. : L45202MH1957PLC010986
GSTIN : 27AAACR2584D1ZI

Ref.No.R/ 132 /2024

Date: 30.05.2024

To,

The Management,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street Mumbai-400 001

BSE Scrip Code – 509845

Sub: Annual Secretarial Compliance Report for the Financial Year ended March 31 2024

Ref: Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

Dear sir/ Madam,

With reference to the captioned subject, please find enclosed herewith the Annual Secretarial Compliance Report issued by M /s. Bhumika & Co., Company Secretaries, for the Financial Year ended March 31,2024.

We request you to Kindly take the same on record.

Thanking You,

Yours faithfully,

For R J Shah & Company Limited

Ms. Kalindi R. Shah
Managing Director
Din:00402482

**SECRETARIAL COMPLIANCE REPORT OF R.JSHAH & CO.LTD
FOR FINANCIAL YEAR ENDED ON 31st MARCH, 2024**

I have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by R.JSHAH & CO.LTD (hereinafter referred as "the listed entity"), having its Registered Office at Malad Road, Anand Hill Western Extension, Malad, Maharashtra, 400033. Secretarial Review was conducted in a manner that provided on a reasonable basis by evaluating the corporate governance/compliance and expressing our observations.

Based on the verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, we hereby report that in our opinion, the listed entity has during the review period covering the financial year ended on 31st March, 2024, complied with the statutory provisions listed hereunder and also that the listed entity has proper Board processes and compliance mechanism in place to the extent, in the manner and subject to the reporting made hereunder.

1) M/s. Dhumika & Co, Practising Company Secretaries have examined:

- (A) all the documents and records made available to us and explanation provided by R.JSHAH & CO.LTD ("the listed entity");
- (B) the filings/submissions made by the listed entity to the stock exchanges;
- (C) website of the listed entity.

For the year ended 31st March, 2024 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").
- The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include, as applicable:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

Note: The aforesaid list of SEBI regulations is only the list of Regulations which were applicable to the company during the year under review.

I hereby report that, during the Review Period the compliance status of the listed entity is appended as below:



CS BHUMIKA & CO.
Company Secretaries

Block No. 2, Parkside Estate, Near DMU
Hospital, Bandpada, West D.V Road
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022-26211061

1. a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars/ guide- lines including specific clause)	Regu- lation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Obser- vations/ Remarks of the Practicing Company Secretary	Man- age- ment Re- sponse	Re- m- ar- ks
2	Uploading the details on the website	Reg 43 updating of website						Company has updated the website substantially but advisable to upload the data as required under Reg 46 of SEBI (LODR), 2015	Yes the Company will Taken necessary steps and continue to do so as per reg 46	

(a) The listed entity has taken the following actions to comply with the observations made in previous reports:



Sr. No.	Compliance Requirement (Regulations/ circulars/ guide- lines including specific clause)	Regu- lation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Obser- vations/ Remarks of the Practicing Company Secretary	Managem- nt Re- sponse	Remar- ks
Not applicable. As this is the first year it has become applicable to the Company										

II. Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS*
1	Compliances with the following conditions while appointing/re-appointing an auditor		
i.	If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter: or	N o	Limited review report for the quarter ended December, 2023 was issued by the Auditor M/s. N. N. K & Company, M/s N. S. Bhatt & Co previous statutory auditor of the Company gave his resignation due to his advanced age and health issue.
ii.	If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or	N A	



	<p>iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.</p>	<p>N A</p>	
<p>2</p>	<p>Other conditions relating to resignation of statutory auditor</p>		
	<p>i. Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:</p> <p>a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / noncooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.</p> <p>b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information/ explanation sought and not provided by the management, as applicable.</p>	<p>NA</p>	



	c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.		
	ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.	NA	
3	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019.	NA	

III. I/we hereby report that, during the review period the compliance status of the listed entity is appended as below :

Sr. No	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*	Management Response
1.	Secretarial Standards 1 and 2: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	Yes	NA	



CS BHUMIKA & CO.
Company Secretaries

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022-26020402
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2.	<p>Adoption and timely updation of the Policies:</p> <ul style="list-style-type: none"> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI 	Yes		
3.	<p>Maintenance and disclosures on Website:</p> <ul style="list-style-type: none"> The Company is maintaining a functional website Timely dissemination of the documents/information under a separate section on the website Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website 	No	Company's website is functional, but timely dissemination of information is made partially	
4.	<p>Disqualification of Director:</p> <p>None of the Director(s) of the Company is/are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.</p>	Yes	NA	
5.	<p>Examine details related to Subsidiaries of the company:</p> <p>(a) Identification of material subsidiary companies</p> <p>(b) Disclosure requirement of material as well as other subsidiaries</p>	NA	--	



BS BHUMIKA & CO.
Company Secretaries

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Hospital, Kandivli West S.V Road
Mumbai - 400067
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Call/bhumika@gmail.com
Mobile No. - 9820030493
9224881963

6.	Preservation of Documents: The company is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	NO	Company is in process of adoption of this policy	
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	NA	
8.	Related Party Transactions: (a) The Company has obtained prior approval of Audit Committee for all related party transactions; or (b) The Company has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes	NA	
9.	Disclosure of events or information: The Company has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	NA	



10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	NA	
11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the Company/its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	Yes	NA	
12.	Additional Non-compliances, if any: No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	Yes	NA	

For Bhumiika & Co
Practicing Company Secretaries



Bhumiika Shah
Bhumiika Shah
M.No.: A37321
CP No.: 19635
PR No: 1272/2021
UDIN: A037321F000489784

Place: Mumbai
Date: 28.05.2024