



DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001
Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in
CIN: L67120GJ1992PLC121278

Date: 10th October, 2024

To,

**Listing Department
National Stock Exchange of India Ltd.,
Exchange Plaza Plot No. C/1, G Block
Bandra Kurla Complex Bandra (E)
Mumbai - 400051**

**Department of Corporate Services
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001**

NSE Symbol: DBSTOCKBRO

BSE Scrip Code: 530393

Dear Sir/Ma'am,

Ref: Imposition of penalty by Central Depository Services (India) Limited (“CDSL”) vide CDSL/A&I/PEN-INSP/PJT/2024-25 and CDSL/A&I/PEN-PAN-MOD/PJT/2024-25

Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”), read with Para A of Part A to Schedule III of the SEBI Listing Regulations, we hereby bring into your kind notice that Central Depository Services (India) Limited (“CDSL”) has imposed monetary penalty of ₹15200/- plus relevant taxes.

Details of the Order have been provided in “*Annexure I*” enclosed herewith in compliance with Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking You,

**For and on behalf of
DB (International) Stock Brokers Limited**

**Prachi Sharma
Company Secretary
Membership No.: A71389**

Encl: as above



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Annexure-I

Sr. No.	Particulars	Remarks
1.	Name of the Authority	Central Depository Services (India) Limited (“CDSL”)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Imposition of Monetary penalty of ₹15000/- through letter no. CDSL/A&I/PEN-INSP/PJT/2024-25 ₹ 200/- through letter no. CDSL/A&I/PEN-PAN-MOD/PJT/2024-25
3.	Date of receipt of the order	10 th October, 2024
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed;	➤ Delay in submission of Compliance certificate of observation in inspection ➤ Incorrect entry of PAN details in CDAS
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Monetary penalty of ₹15200/-. There is no other impact on the financial, operations or other activities of the Company.