



Upsurge Investment & Finance Ltd.

Date: 08.07.2024

To,

The Manager

The Corporate Relationship Department,

Bombay Stock Exchange Limited

Phiroze Jeejeebhoy Towers,

Dalal Street, Mumbai- 400001

Scrip Code: 531390

Sub-Certificate under Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations 2018 for the quarter ended 30th June, 2024.

Dear Sir/ Madam.

We submit herewith the confirmation certificate dated 01st July, 2024 as received from Adroit Corporate Services Private Limited, Registrar and Share Transfer Agent (RTA) of our Company as per Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, for the quarter ended 30th June, 2024.

Please take the aforesaid information on your record and acknowledge.

Thanking you

Yours faithfully,

For Upsurge Investment & Finance Limited

Jitendra
Kumar Gupta

Jitendra Gupta
Company Secretary & Compliance Officer
Membership No. – A68061

Date: 08th July, 2024

Place: Mumbai

01 July 2024

To,
UPSURGE INVESTMENT & FINANCE LIMITED
303 MORYA LANDMARK-I
BEHIND CRYSTAL PLAZA
OFF NEW LINK ROAD ANDHERI WEST
MUMBAI 400053

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and participants) Regulations, 2018.

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th June, 2024, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,
For **Adroit Corporate Services Pvt. Ltd.**



Authorized Signatory.