

Ref. No.: AUSFB/SEC/2024-25/212

Date: August 23, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrp Code: 540611
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Dear Sir/Madam,

Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform that the Bank has received an order from the Sales Tax Officer Class II of State Tax, Delhi under Section 73 of the Delhi Goods and Services Tax Act, 2017 on August 22, 2024, informing that penalty of Rs. 0.31 Lakh has been imposed on account of GST input tax credit related issue for FY 2019-20.

The Bank believes that it has adequate grounds to support its position in the matter and would prefer an appeal/rectification request against the said order, under the applicable laws.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed **Annexure - I**.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Annexure – I

**Details required under Regulation 30 of the Listing Regulations read with
SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023**

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Name of the authority	Sales Tax Officer Class II of State Tax, Delhi
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Order passed under section 73 of Delhi GST Act
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	August 22, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	GST input tax credit (“ITC”) related issue.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs 5.82 Lakh (Include tax amount, interest and penalty)

Registered Office

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