



## **NATIONAL PLASTIC INDUSTRIES LIMITED**

**Regd. Office:** Vilco Centre, 114 E, 4th Floor, Subhash Road, Opp. Garware, Vile Parle East, Mumbai- 400057.  
Tel. : 91-22-67669999 | Fax: 022-67669998 | E-Mail: info@nationalplastic.com | Website : www.nationalplastic.com  
CIN: L25200MH1987PLC044707

**May 22, 2024**

To,  
Corporate Relationship Department  
**BSE Ltd.,**  
Floor 25, P. J. Towers,  
Dalal Street,  
Mumbai – 400 001.

Dear Sirs,

**Sub:** Annual Secretarial Compliance Report for the financial year ended March 31, 2024.

Scrip Code: **526616**

Scrip ID: **NATPLAS**

Pursuant to the SEBI Circular No CIR/CFD/CMDI/27/2019 dated February 08, 2019, we enclosed herewith the Annual Secretarial Compliance Report issued by M/s. Ragini Chokshi & Co., Practicing Company Secretaries, for the financial year ended March 31, 2024.

Kindly take the above on your records.

Thanking you,

Yours Faithfully,  
For **NATIONAL PLASTIC INDUSTRIES LIMITED**



**AMIT V. JAIN**  
**COMPANY SECRETARY**  
**eCSIN: EA060998B000079929**



# Ragini Chokshi & Co.

Company Secretaries

34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.  
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com  
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022-2283 1134

## SECRETARIAL COMPLIANCE REPORT OF NATIONAL PLASTIC INDUSTRIES LIMITED FOR THE YEAR ENDED MARCH 31, 2024.

*[Under Regulation 24A of Securities and Exchange Board of India (Listing Obligations and  
Disclosure Requirements) Regulations, 2015]*

We have examined:

- (a) all the documents and records made available to us and explanation provided by  
**NATIONAL PLASTIC INDUSTRIES LIMITED** ("the listed entity")
- (b) the filings/ submissions made by the listed entity to the stock exchanges
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this  
certification,

For the year ended March 31, 2024 ("Review Period") in respect of compliance with the  
provisions of:

- A. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations,  
Circulars, guidelines issued thereunder; and
- B. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the  
Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board  
of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder,  
have been examined, include: -

- A. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements)  
Regulations, 2015;
- B. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements)  
Regulations, 2018; **(Not applicable to the company during the period under review)**
- C. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers)  
Regulations, 2011;



- D. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable to the company during the period under review)**
- E. Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **(Not applicable to the company during the period under review)**
- F. Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; **(Not applicable to the company during the period under review)**
- G. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- H. Securities and Exchange Board of India (Depositories & Participants) Regulations, 2018 **(To the extent applicable);**

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular	Deviations	Action taken by	Type of action	Details of violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management response	Remarks
There are no such matters during the year under review.										

- b. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular	Deviations	Action taken by	Type of action	Details of violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management response	Remarks
There are no such matters during the year under review.										





- I. We hereby report that, during the Review Period the compliance status of the listed entity with the following requirements:

Sr. No.	Particulars	Compliance status (Yes/No/NA)	Observations/ Remarks by PCS
1	<p><b>Secretarial Standards:</b></p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.</p>	Yes	None
2	<p><b>Adoption and timely updation of the Policies:</b></p> <ul style="list-style-type: none"> <li>• All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities,</li> <li>• All the policies are in conformity with SEBI Regulations and has been reviewed &amp; timely updated as per the regulations/circulars/guidelines issued by SEBI.</li> </ul>	Yes	None
3	<p><b>Maintenance and disclosures on Website:</b></p> <ul style="list-style-type: none"> <li>• The Listed entity is maintaining a functional website,</li> <li>• Timely dissemination of the documents/information under a separate section on the website,</li> <li>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/section of the website.</li> </ul>	Yes	None
4	<p><b>Disqualification of Director:</b></p> <p>None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013.</p>	Yes	None
5	<p><b>Details related to Subsidiaries of listed entities have been examined w.r.t:</b></p> <p>(a) Identification of material subsidiary companies,</p> <p>(b) Disclosure requirements of material as</p>	Yes	None



	well as other subsidiaries.		
6	<b>Preservation of Documents:</b>  The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	None
7	<b>Performance Evaluation:</b>  The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	None
8	<b>Related Party Transactions:</b>  (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions  (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes  NA	The Company has obtained prior approval of Audit Committee for all related party transactions.
9	<b>Disclosure of events or information:</b>  The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed there under.	Yes	None
10	<b>Prohibition of Insider Trading:</b>  The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	None
11	<b>Actions taken by SEBI or Stock Exchange(s), if any:</b>  No Action (s) has been taken against the listed entity/ its promoters/ directors/subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.	NA	No actions were taken by SEBI or the Stock Exchanges during the period under review.



12	<p><b><u>Resignation of statutory auditors from the listed entity or its material subsidiaries:</u></b></p> <p>In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.</p>	NA	No such event occurred during the period under review.
13	<p><b>Additional Non-compliances, if any:</b></p> <p>No any additional non-compliance observed for all SEBI regulation/ circular/ guidance note etc.</p>	NA	None

**Assumptions & Limitation of scope and Review:**

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the Company.
2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the Company.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the Company nor of the efficacy or effectiveness with which the management has conducted the affairs of the Company.

**Date: 21.05.2024**

**Place: Mumbai**



**FOR RAGINI CHOKSHI & CO.  
(Company Secretaries)**

**Makarand Patwardhan  
(Partner)**

**COP No.: 9031**

**ACS. No.: 11872**

**PR No.: 659/2020**

**UDIN: A011872F000417266**