

**Secretarial Compliance Report of STANDARD SURFACTANTS LIMITED  
for the financial year ended 31<sup>st</sup> March 2024**

I, CS Sarvesh S. Srivastava, practicing company Secretary have examined:

- a) All the documents and records made available to me and explanation provided by Standard Surfactants Limited ('The Listed Entity'),
- b) The filings/submissions made by the listed entity to the Stock Exchanges,
- c) Website of the listed entity,
- d) Any other document/filing as may be relevant, which has been relied upon to make this report.

For the financial year ended 31-03-2024 in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, Circulars, Guidelines issued thereunder; and
- b) The Securities Contract (Regulation) Act, 1956 ('SCRA') rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the SEBI.

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (LODR) Regulations, 2015
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeover) Regulations, 2011
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee benefits and Sweat Equity) Regulations, 2021
- f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021
- g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- h) Other Regulations as applicable and circulars/guidelines issued there under.



And based on above examination, I hereby report that, during the review period:

- a) The listed entity has complied with the provisions of the above Regulations circulars/guidelines issued thereunder, except in respect of matters specified below:


S.No.	Compliance requirement (Regulations/circulars/guidelines including specific clauses)	Regulation/Circular no.	Deviations	actions taken by	type of action	details of violation	Fine amount	observations/remarks of the practicing Company Secretary	Management response	Remarks
					Nil					

- b) The listed entity has taken following actions to comply with the observations made in previous reports: ***The Regulation 24A (2) of SEBI (LODR) Regulations, 2015 has been applicable for the first time during the financial year 2023-24.***

S.No.	Observations/remarks of the practicing Company Secretary in the previous reports (PCS)	Observations made in the Secretarial compliance report for the year ended.....(the years are to be mentioned)	Compliance requirement (Regulations/Circulars/Guidelines including specific clause)	Details of violations/deviations and actions taken/penalty imposed, if any on the listed entity	Remedial actions, if any taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
			None			



I, hereby report that, during the review period the compliance status of the listed entity with the following requirements:

<b>Sl. No.</b>	<b>Particulars</b>	<b>Compliance Status (Yes/No/NA)</b>	<b>Observations /Remarks by PCS*</b>
1	<p><b><u>Secretarial Standard:</u></b></p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)</p>	Yes	None
2	<p><b><u>Adoption and timely updation of the Policies:</u></b></p> <ul style="list-style-type: none"> <li>• All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities</li> <li>• All the policies are in conformity with SEBI Regulations and have been reviewed &amp; updated on time, as per the regulations /circulars/guidelines issued by SEBI</li> </ul>	Yes	None
3	<p><b><u>Maintenance and disclosures on Website:</u></b></p> <ul style="list-style-type: none"> <li>• The Listed entity is maintaining a functional website</li> <li>• Timely dissemination of the documents/ information under a separate section on the website</li> <li>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website</li> </ul>	Yes	None
4	<p><b><u>Disqualification of Director:</u></b></p> <p>None of the Director(s) of the Company is/ are disqualified under Section 164 of Companies Act, 2013as confirmed by the listed entity.</p>	Yes	None
5	<p><b><u>Details related to Subsidiaries of listed entities have been examined w.r.t.:</u></b></p> <p>(a) Identification of material subsidiary companies                      (b) Disclosure requirement of material as well as other subsidiaries</p>	NA	



6	<p><b><u>Preservation of Documents:</u></b></p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.</p>	Yes	None
7	<p><b><u>Performance Evaluation:</u></b></p> <p>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.</p>	Yes	None
8	<p><b><u>Related Party Transactions:</u></b></p> <p>(a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or</p> <p>(b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.</p>	Yes	None
		NA	None
9	<p><b><u>Disclosure of events or information:</u></b></p> <p>The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.</p>	Yes	None
10	<p><b><u>Prohibition of Insider Trading:</u></b></p> <p>The listed entity is in compliance with Regulation 3(5) &amp; 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015</p>	Yes	None
11	<p><b><u>Actions taken by SEBI or Stock Exchange(s), if any:</u></b></p> <p>No action(s) has been taken against the listed entity/its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/guidelines issued thereunder (or)</p> <p>The actions taken against the listed entity/its promoters/directors/subsidiaries either by SEBI or by the Stock Exchanges are specified in the last column.</p>	Yes	None
		NA	None

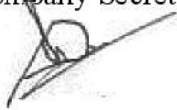


12	Resignation of statutory auditors from the listed entity or its material subsidiaries;  In case of resignation of Statutory Auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and/or its material subsidiary (ies) has/have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.	Yes	None
13	<b><u>Additional non-compliances, if any:</u></b>  No additional non-compliance observed for any SEBI regulation/circular/guidance note etc. except as reported above.	NA	None

Assumptions and limitations of scope and review:

1. Compliances of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of listed entity.
2. Our responsibility is to report based on our examination of relevant documents and information. This is neither an audit nor an expression or opinion.
3. I have not verified the correctness and appropriateness of financial records and books of accounts of the listed entity.
4. This report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (LODR) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

**For Sarvesh S. Srivastava & Co.**  
Company Secretaries



**(Sarvesh S. Srivastava)**

Proprietor

**ACS No.:** 7719

**C.P No.:** 20291

**Place:** Kanpur

**Date:** 29.05.2024

**UDIN:** A007719F000484184

