

Pyxis Finvest Limited

CIN- L65990MH2005PLC157586

Registered Office: 208, P.J. Towers, Dalal Street, Fort, Mumbai-400 001.

Tel: +91 22 2272 0000, Email – pyxisfinvestltd@gmail.com website: www.pyxisfinvest.com

Date: 30th September, 2024

To,
Department of Corporate Relations
BSE Limited
P.J. Towers, Dalal Street,
Fort, Mumbai – 400 001.

Ref: Pyxis Finvest Limited (Scrip Code: 534109)

Sub: Filing under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR) – Proceedings and Voting Results of 19th Annual General Meeting (AGM) held on 30th September, 2024 at 11.00 a.m.

Dear Sir/Madam,

In terms of Regulation 30 read with Para A of Part A (13) of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we enclose herewith

1. Copy of the proceedings of the 19th AGM (*Annexure 1*) held on Monday 30th September, 2024 at 1207/A, P.J. Towers, Dalal Street, Fort, Mumbai – 400 001 on Monday, 30th September, 2024 at 11:00 a.m.
2. Voting results (*Annexure 2*)
3. Details required under Regulation 30 of the Listing Regulations r/w SEBI Circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated 13th July, 2023 (*Annexure 3*)

Kindly take the above on your records.

Thanking you,
Yours faithfully,
For Pyxis Finvest Limited

Yojana R. Pednekar
Company Secretary

Encl: As above

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Annexure 1

Summary of the proceedings of the 19th Annual General Meeting (AGM)

The 19th Annual General Meeting (AGM) of the Company took place on September 30, 2024, at 11:00 a.m. (IST) at the Company's corporate office located at 1207A, P.J. Towers, Dalal Street, Fort, Mumbai - 400001, and concluded at 11:45 a.m.

Mr. Uttam Bharat Bagri served as the chair for the meeting. As of the record date, September 21, 2024, there were 59 shareholders registered. The following details outline the shareholders who attended the meeting:

Category	Promoter & Promoter Group	Public Shareholders	Total
In Person	1 holding 58.49% of share capital	5 holding 0.02% of share capital	6 holding 58.51% of share capital
Through Proxy / Authorised Representative	-	-	-
Total	1 holding 58.49% of share capital	5 holding 0.02% of share capital	6 holding 58.51% of share capital

The AGM also saw the attendance of representatives from the Statutory Auditor and Secretarial Auditor as invitees. Leave of absence was granted to Ms. Neelam Ingle, Independent Director, who was unable to attend due to prior commitments.

The Chairman welcomed all members present and introduced the Board members, Company Secretary & Compliance Officer, and the invitees to everyone.

After confirming with the Company Secretary that the necessary quorum was present, the Chairman officially called the meeting to order and began the proceedings. He also informed members that the Proxy Register, along with other relevant registers and documents, was available for their inspection. He then updated the members on the following:

- Change in control of the Company – Entire stake of 57.13% of the erstwhile promoter JBCG Advisory Services Private Limited transferred to new promoter Mr. Uttam Bharat Bagri on 29th July, 2024 pursuant to Share Purchase Agreement dated 19th December, 2024.
- Change in Management of the Company - Resignation of Mr. Kumud Ranjan Mohanty, Mr. Surajit Sarkar, Mr. Shailendra Apte, Ms. Nikita Kothari and Mr. Prateek Ghatiya from the Board w.e.f. closing of business hours of 30th July, 2024 and appointment of Mr. Nahar Singh Mahala, Ms. Neelam Ingle and Ms. Jyoti Budhia as Additional Non-Executive Independent Directors w.e.f. 30th July, 2024. Appointment of Mr. Uttam Bharat Bagri as Additional Director in the capacity of Managing Director w.e.f. 31st July, 2024.
- Change in Key Managerial Personnels (KMPs)
 - Managing Director - Resignation of Mr. Kumud Ranjan Mohanty as Managing Director and appointment of Mr. Uttam Bharat Bagri as Managing Director.
 - Chief Financial Officer - Resignation of Shailendra Apte as Chief Financial Officer (CFO) of the Company w.e.f. closing of business hours of 30th July, 2024. Post is vacant w.e.f. 31st July 2024
 - Company Secretary & Compliance Officer – Appointment of Ms. Yojana R. Pednekar as Company Secretary & Compliance Officer w.e.f. 30th July, 2024.

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4. Change in registered and corporate offices

- Registered Office - From Level 9 (Unit-801), Centrum House, CST Road, Vidyanagari Marg, Kalina, Santacruz (East), Mumbai-400 098 to 208, P.J Towers, Dalal Street, Fort, Mumbai-400 001 to w.e.f. 1st August, 2024.
- Corporate Office - From Level 9 (Unit-801), Centrum House, CST Road, Vidyanagari Marg, Kalina, Santacruz (East), Mumbai-400 098 to 1207A, P.J Towers, Dalal Street, Fort, Mumbai-400 001 to w.e.f. 1st August, 2024

5. Change in Statutory Auditors - Resignation of M/s. P.D. Saraf & Co., Chartered Accountants (FRN: 109241W) and appointment of M/s. Bhattar & Co., Chartered Accountants (ICAI Firm Registration No.: 131092W)

6. Reclassification of the Company - From NBFC-ML (Non-Banking Finance Company – Middle Layer) to NBFC-BL (Non-Banking Finance Company – Base Layer), pursuant to the Master Circular - Reserve Bank of India (Non-Banking Financial Company – Scale Based Regulation) Directions, 2023 (“NBFC Scaled based Directions”)

With the permission of the members present, the Chairman noted that the Notice of the meeting, along with the Audited Standalone Financial Statements for the fiscal year ended March 31, 2024, and the accompanying Board of Directors' report, had been previously circulated and would be considered as read. He also highlighted that the Auditors' report on the financial statements contained no qualifications, observations, or remarks.

The Chairman provided an overview of the Company's performance and its future outlook before inviting members to share their views or raise any inquiries regarding the financial performance. There were no questions or comments from the members.

The following items of business outlined in the Notice calling the AGM were then presented for the members' approval.

Item No.	Business in brief	Type of resolution
1	To receive, consider and adopt the Audited Financial Statements of the Company for the year ended 31st March, 2024, together with the Reports of the Directors and the Auditors thereon.	Ordinary Resolution
2	To regularize appointment of Additional Director Mr. Uttam Bharat Bagri (DIN: 01379841) as Managing Director	Special Resolution
3	To regularize appointment of Additional Director Mr. Nahar Singh Mahala (DIN: 02105653) as Independent Director	Ordinary Resolution
4	To regularize appointment of Additional Director Ms. Jyoti Budhia (DIN: 00332044) as Independent Director	Ordinary Resolution
5	To regularize appointment of Additional Director Ms. Neelam Ingle (DIN: 10656844) as Independent Director	Ordinary Resolution
6	To approve appointment of M/s. Bhattar & Co., Chartered Accountants (ICAI Firm Registration No.: 131092W) as Statutory Auditors for the F.Y. 2024-25	Ordinary Resolution

The Chairman announced that all the resolutions outlined in the AGM notice had been approved by the members with the necessary majority. He expressed his gratitude to the members for their attendance, participation, and voting in the meeting.

For Pyxis Finvest Limited

Yojana R. Pednekar
Company Secretary

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Annexure 2

Voting Results

Date of the AGM	30th September, 2024
Total number of shareholders on record date	59
No. of shareholders present in the meeting either in person or through proxy	Promoters and Promoter Group: 1 Public:5
No. of Shareholders attended the meeting through Video Conferencing	<i>Facility not provided</i>

Agenda- wise disclosure

Item No. 1: Adoption of the Audited Standalone Financial Statements of the Company for the financial year ended 31st March, 2024 together with the Reports of the Directors and the Auditors thereon

Resolution Required								Ordinary
Whether promoter/promoter group are interested in the agenda/resolution								No
Category	Mode of voting *	No of shares held	No of valid votes cast	% of Votes cast on outstanding shares	No of Votes-in person	No of votes-against	% of Votes in favour on votes cast	% of Votes against on votes cast
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*	(7)=[(5)/(2)]*
Promoter and Promoter Group	Show of hands	672800	672800	NA	672800	Nil	100	Nil
Public Institutional holders	Show of hands	Nil	Nil	NA	Nil	Nil	Nil	Nil
Public-Others	Show of hands	2200	2200	NA	2200	Nil	100	Nil
Total		6730200	6730200	NA	6730200	Nil	100	Nil

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Item No. 2: Regularization of Additional Director, Mr. Uttam Bharat Bagri (DIN: 01379841) as a Managing Director of the Company

Resolution Required								Special
Whether promoter/promoter group are interested in the agenda/resolution								Yes
Category	Mode of voting *	No of shares held	No of valid votes cast	% of Votes cast on outstanding shares	No of Votes-in person	No of votes-against	% of Votes in favour on votes cast	% of Votes against on votes cast
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	Show of hands	Nil	Nil	NA	Nil	Nil	100	Nil
Public Institutional holders	Show of hands	Nil	Nil	NA	Nil	Nil	Nil	Nil
Public-Others	Show of hands	2200	2200	NA	2200	Nil	100	Nil
Total		2200	2200	NA	2200	Nil	100	Nil

Item No. 3: Regularization of Additional Director, Mr. Nahar Singh Mahala (DIN: 02105653) as an Independent Director of the Company for a term of 5 years

Resolution Required								Ordinary
Whether promoter/promoter group are interested in the agenda/resolution								No
Category	Mode of voting *	No of shares held	No of valid votes cast	% of Votes cast on outstanding shares	No of Votes-in person	No of votes-against	% of Votes in favour on votes cast	% of Votes against on votes cast
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	Show of hands	672800	672800	NA	672800	Nil	100	Nil
Public Institutional holders	Show of hands	Nil	Nil	NA	Nil	Nil	Nil	Nil
Public-Others	Show of hands	2200	2200	NA	2200	Nil	100	Nil
Total		6730200	6730200	NA	6730200	Nil	100	Nil

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Item No. 4: Regularization of Additional Director, Ms. Jyoti Budhia (DIN: 00332044) as an Independent Director of the Company for a term of 5 years

Resolution Required								Ordinary
Whether promoter/promoter group are interested in the agenda/resolution								No
Category	Mode of voting *	No of shares held	No of valid votes cast	% of Votes cast on outstanding shares	No of Votes-in person	No of votes-against	% of Votes in favour on votes cast	% of Votes against on votes cast
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	Show of hands	672800	672800	NA	672800	Nil	100	Nil
Public Institutional holders	Show of hands	Nil	Nil	NA	Nil	Nil	Nil	Nil
Public-Others	Show of hands	2200	2200	NA	2200	Nil	100	Nil
Total		6730200	6730200	NA	6730200	Nil	100	Nil

Item No. 5: Regularization of Additional Director, Ms. Neelam Ingle (DIN: 10656844) as an Independent Director of the Company for a term of 5 years

Resolution Required								Ordinary
Whether promoter/promoter group are interested in the agenda/resolution								No
Category	Mode of voting *	No of shares held	No of valid votes cast	% of Votes cast on outstanding shares	No of Votes-in person	No of votes-against	% of Votes in favour on votes cast	% of Votes against on votes cast
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	Show of hands	672800	672800	NA	672800	Nil	100	Nil
Public Institutional holders	Show of hands	Nil	Nil	NA	Nil	Nil	Nil	Nil
Public-Others	Show of hands	2200	2200	NA	2200	Nil	100	Nil
Total		6730200	6730200	NA	6730200	Nil	100	Nil

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Item No. 6: Appointment of M/s. Bhattar & Co., Chartered Accountants (ICAI Firm Registration No.: 131092W) as Statutory Auditors for the F.Y. 2024-25

Resolution Required								Ordinary
Whether promoter/promoter group are interested in the agenda/resolution								No
Category	Mode of voting *	No of shares held	No of valid votes cast	% of Votes cast on outstanding shares	No of Votes-in person	No of votes-against	% of Votes in favour on votes cast	% of Votes against on votes cast
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]* 100	(7)=[(5)/(2)]* 100
Promoter and Promoter Group	Show of hands	672800	672800	NA	672800	Nil	100	Nil
Public Institutional holders	Show of hands	Nil	Nil	NA	Nil	Nil	Nil	Nil
Public-Others	Show of hands	2200	2200	NA	2200	Nil	100	Nil
Total		6730200	6730200	NA	6730200	Nil	100	Nil

* **Note:** As the Company is listed on SME platform of BSE Ltd, it is exempted from providing voting through electronic means [proviso to Rule 20(2) of the Companies (Management and Administration) Rules, 2014] and hence voting at the AGM was carried out by show of hands.

For Pyxis Finvest Limited

Yojana R. Pednekar
Company Secretary

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Annexure 3

Relevant details for newly appointed Directors & KMPs, as required under Regulation 30 of the SEBI Listing Regulations read with SEBI Circular ref SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023

Sr. No.	Particulars	Mr. Uttam Bharat Bagri (DIN: 01379841)	Mr. Nahar Singh Mahala (DIN: 02105653)	Ms. Neelam Sanjay Ingle (DIN:10656844)	Ms. Jyoti Budhia (DIN: 00332044)
		1	2	3	4
1.	Reason for change viz. appointment, re-appointment, resignation, removal, death or otherwise;	Appointment as Managing Director of the Company	Appointment as Independent Director of the Company	Appointment as Independent Director of the Company	Appointment as Independent Director of the Company
2.	Date of appointment/re-appointment/cessation (as applicable) & term of appointment/re-appointment;	31-July-2024 For a period of 5 years, till 30th July, 2029	30-July-2024 For a period of 5 years, till 29th July, 2029	30-July-2024 For a period of 5 years, till 29th July, 2029	30-July-2024 For a period of 5 years, till 29th July, 2029
3.	Brief profile	Mr. Uttam B. Bagri is a B. Com graduate and holds Diploma in Management (IIM - A). He has more than 2 decades of experience in Capital Market.	Mr. Nahar is an Advocate, practising for more than 2 decades in the field of Debt recovery, Company matters, business related issues, Securities laws, Insurance laws, Society laws, Property laws, Consumer Redressal, Intellectual Property Rights (IPR), Suits and arbitrations, deeds and documentations and legal consultancy. He has also authored books/articles on SEBI Takeover Code, Insider Trading, Law of deposits, Competition law, Unfair Trade Practices, IPR, Franchisee etc.	Ms. Neelam is a B.Com graduate from Mumbai University. She has a vast experience of more than 20 years in Capital Market, specifically in handling Stock Broking related compliances.	Ms. Jyoti Bhudia is M.Com by qualification. She has nearly 38 years of experience in the areas of Trading & Investments in Various Financial Products of Equity Derivatives, Options Greeks, Commodities, Currencies, Portfolio Management, Advisory, Risk Management & Training segment
4.	Disclosure of relationships between directors	Not related inter-se with any other Director of the Company.	Not related inter-se with any other Director of the Company.	Not related inter-se with any other Director of the Company.	Not related inter-se with any other Director of the Company.
5.	Information as required under BSE circular Number LIST/COM/14/2018-19 dated June 20, 2018	Mr. Uttam Bharat Bagri is not debarred from holding the office of director by virtue of any SEBI Order or any other such Authority pursuant to circulars dated June 20, 2018 issued by BSE Limited pertaining to enforcement of SEBI Orders regarding appointment of Directors by the listed companies.	Mr. Nahar Singh Mahala is not debarred from holding the office of director by virtue of any SEBI Order or any other such Authority pursuant to circulars dated June 20, 2018 issued by BSE Limited pertaining to enforcement of SEBI Orders regarding appointment of Directors by the listed companies.	Ms. Neelam Sanjay Ingle is not debarred from holding the office of director by virtue of any SEBI Order or any other such Authority pursuant to circulars dated June 20, 2018 issued by BSE Limited pertaining to enforcement of SEBI Orders regarding appointment of Directors by the listed companies.	Ms. Jyoti Budhia Ingle is not debarred from holding the office of director by virtue of any SEBI Order or any other such Authority pursuant to circulars dated June 20, 2018 issued by BSE Limited pertaining to enforcement of SEBI Orders regarding appointment of Directors by the listed companies.

For Pyxis Finvest Limited
Yojana R. Pednekar

Company Secretary