SRESTHA FINVEST LIMITED

Date: January 11, 2025

To The Manager Listing Department 1. Bombay Stock Exchange Ltd, Mumbai. 2. Metropolitan Stock Exchange of India Limited, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the quarter ended 31/12/2024

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 31/12/2024 are as follows:

- 1. Compliance Report on Corporate Governance for the quarter ended December 31, 2024.
- 2. Investor Grievance Redressal Report for the quarter ended December 31, 2024.
- 3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2024.
- 4. Shareholding Pattern for the quarter ended December 31, 2024.

This is for your information and records.

Yours faithfully, For Srestha Finvest Ltd

A. Jitendra Kumar Bafna Company Secretary & Compliance Officer

INTEGRATED FILING (GOVERNANCE)

Compliance Report on Corporate Governance

1. Name of the Listed Entity: SRESTHA FINVEST LIMITED

2. Quarter ending: **31-12-2024**

I. C	omposition of I	Board of D	lirectors									
Title (Mr . / Ms)	Name of the Director	PAN ^{\$} & DIN	Category (Chairperson / Executive / Non- Executive/in dependent/ Nominee) ^{&}	ΔΟΟΟΠΠΠΡΠ	Date of Re- appoint ment	Date of Cessation	Tenure*	Date of Birth	No. of directorship in listed entities including this listed entity [with reference to Regulation 17A]	No. of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1)] & reg. 17A(2)]	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of the LODR Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of the LODR Regulations)
N/IC	Sitaben S Patel	& 02470150	Chairperson, Non-Executive Independent Director	28-10-2021	-	-	60	15-08- 1968	4	2	1	1
Mr	Mayurdwajsinh Sahadevsinh Babubha Rana	-	Non-Executive Non-Independent Director	22-10-2021	-	-	-	05-10- 1999	1	0	2	0
Mr	Sahadevsinh Babubha Rana		Non-Executive Non-Independent Director	22-10-2021	-	-	-	08-07- 1970	1	0	0	0
Mr.	Umeshbhai Hirjibhai Padariya	& 06826543	Non-Executive Independent Director	07-10-2022	-	-	60	08-07- 1972	1	1	1	1

Sunil Bhandari & Executi 03120545	ve Director 17-10-20)22 -	-	-	24-09- 1973	1	0	0	0			
Whether Regular chairperson appointed - Yes Whether Chairperson is related to managing director or CEO - No												
												&Category of directors mea with hyphen * to be filled only for Indepen
omposition of Committees												
of Committee	Whether Regular chairperson appointed	Ar Name of Committee members			Category (Chairperson/Executive/Non- Executive/independent/ Nominee) &			Date of Appointment	Date of Cessation			
		Umeshbhai Hirjibhai Padariya			Chairperson - Non-Executive - Independent Director			08-10-2022	-			
Committee	Yes				Non-Executive - Non-Independent Director			23-10-2021	-			
		Sitaben S Patel			Non-Executive - Independent Director			19-12-2021	-			
					Independent Director			08-10-2022	-			
nation & Remuneration Committee				Non-Independent Director			22-03-2022	-				
		Sitaben S Patel			Non-Executive - Independent Director			19-12-2021	-			
Management Committee (if ble)	NA	NA			NA			NA	-			
	s Relationship Committee Yes		Sitaben S Patel		Chairperson - Non-Executive - Independent Director			19-12-2021	-			
eholders Relationship Committee			Umeshbhai Hirjibhai Padariya		Independent Director			08-10-2022	-			
					Non-Executive - Non-Independent Director			22-03-2022	-			
	O3120545 Whether Regular chairperson Whether Chairperson is related PAN number of any director & Category of directors mean with hyphen * to be filled only for Independent in continuity without any coordination of Committees Off Committee Committee Ination & Remuneration Committee (if only be) Management Committee (if only be)	Watcher Regular chairperson appointed - Yes Whether Regular chairperson is related to managing direct Whether Chairperson is related to managing direct PAN number of any director would not be display &Category of directors means executive/non-exect with hyphen * to be filled only for Independent Director. Tenure in continuity without any cooling off period. mposition of Committees of Committee Whether Regular chairperson appointed Committee Yes Nation & Remuneration Committee No Management Committee (if NA NA	⁰ 3120545 Whether Regular chairperson appointed - Yes Whether Chairperson is related to managing director or CEO - I ^{\$} PAN number of any director would not be displayed on the wel ^{\$} Category of directors means executive/non-executive/indeper with hyphen [*] to be filled only for Independent Director. Tenure would mean in continuity without any cooling off period. mposition of Committees f Committee Monomittee Ves Mayurdwajsi Babubha Ra Sitaben S Pare Monagement Committee Management Committee <	03120545 Whether Regular chairperson appointed - Yes Whether Chairperson is related to managing director or CEO - No \$PAN number of any director would not be displayed on the website of Sto &Category of directors means executive/non-executive/independent/Nomini with hyphen * to be filled only for Independent Director. Tenure would mean total period. mposition of Committees f Committee Whether Regular chairperson appointed Mayurdwajsinh Sahade Babubha Hirjibhai Pa Sitaben S Patel Management Committee (if NA Management Committee Yes Sitaben S Patel Wenders Relationship Committee Yes	Image: Colspan="2">Image: Colspan="2" Colspan="	Ball 20545 In Strong Whether Regular chairperson appointed - Yes Whether Chairperson is related to managing director or CEO - No \$PAN number of any director would not be displayed on the website of Stock Exchange &Category of directors means executive/non-executive/independent/Nominee. If a director fits in with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent in continuity without any cooling off period. mposition of Committee Whether Regular chairperson appointed of Committee Yes Umeshbhai Hirjibhai Padariya Chairperson independent Committee Yes Umeshbhai Hirjibhai Padariya Non-Executiv Non-Executive Non-Executive Non-Independent Non-Executive Non-Accountive Non-Executive Non-Executive Non-Executive Non-Independent Nation & Remuneration Committee No Sitaben S Patel Non-Executive Independent Mayurdwajsinh Sahadevsinh Non-Executive Independent Nation & Remuneration Committee No Na Na Non-Executive Independent Non-Executive Independen	03120545 1010 Whether Regular chairperson appointed - Yes Whether Chairperson is related to managing director or CEO - No \$PAN number of any director would not be displayed on the website of Stock Exchange &Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director i in continuity without any cooling off period. mposition of Committee Whether Regular chairperson appointed Name of Committee members Category (Chairperson/Execut Executive/independent) Independent Director Committee Yes Umeshbhai Hirjibhai Padariya Babubha Rana Chairperson - Non-Executi Independent Director Name of Staben S Patel Non-Executive - Independent Director Non-Executive - Independent Director Nagurdwajsinh Sahadevsinh Babubha Rana Non-Executive - Independent Director Non-Executive - Independent Director Nagurdwajsinh Sahadevsinh Babubha Rana Non-Executive - Independent Director Non-Executive - Independent Director Management Committee (if NA NA Non-Executive - Independent Director Wanagement Committee (if NA NA Non-Executive - Independent Director Wanagement Committee (if NA NA Non-Executive - Independent Director	D3120545 Instrume Whether Regular chairperson appointed - Yes Whether Chairperson is related to managing director or CEO - No \$PAN number of any director would not be displayed on the website of Stock Exchange &Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on B in continuity without any cooling off period. mposition of Committee Whether Regular chairperson appointed f Committee Whether Regular chairperson appointed Yes Umeshbhai Hirjibhai Padariya Chairperson - Non-Executive - Independent Director Mayurdwajsinh Sahadevsinh Non-Executive - Independent Director Non-Independent Director Non-Executive - Independent Director Name of S Patel Non-Executive - Independent Director Independent Director Independent Director Mayurdwajsinh Sahadevsinh Non-Executive - Independent Director Independent Director Independent Director Mayurdwajsinh Sahadevsinh Non-Executive - Independent Director Independent Director Independent Director Mayurdwajsinh Sahadevsinh Non-Executive - Independent Director	Display Display Whether Regular chairperson appointed - Yes Whether Regular chairperson is related to managing director or CEO - No \$PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/independent/Nominee. If a director fits into more than one category write all categories with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of in continuity without any cooling off period. Date of Appointment mposition of Committee Whether Regular chairperson appointed Name of Committee members Category (Chairperson/Executive/Non-Executive/Independent/Noninee). & Appointment f Committee Yes Umeshbhai Hirjibhai Padariya Category (Chairperson/Executive - Independent Director 08-10-2022 Committee Yes Umeshbhai Hirjibhai Padariya Non-Executive - Independent Director 23-10-2021 Mayurdwajsinh Sahadevsinh Babubha Rana Non-Executive - Independent Director 19-12-2021 08-10-2022 nation & Remuneration Committee No Mayurdwajsinh Sahadevsinh Babubha Rana Non-Executive - Independent Director 22-03-2022 Maaagement Committee (if NA NA NA NA NA Habubha Rana Non-Executive - Independent			

Date(s) of Meeting in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Date(s) of Meeting in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
-	-	-	-	23-07-2024	-
-	-	-	-	31-07-2024	7
-	-	-	-	14-08-2024	13
-	-	-	-	19-08-2024	4
-	-	-	-	06-09-2024	17
09-10-2024	Yes	5	2	-	32
14-11-2024	Yes	5	2	-	35

Name of the Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days**
Audit Committee	-	-	-	-	14-08-2024	-
Audit Committee	-	-	-	-	19-08-2024	4
Audit Committee	09-10-2024	Yes	3	2	-	50
Audit Committee	14-11-2024	Yes	3	2	-	35
Stakeholders Relationship Committee	-	-	-	-	14-08-2024	-
Stakeholders Relationship Committee	14-11-2024	Yes	3	2	-	91

* to be filled in only for the current quarter meetings
** This information has to be mandatorily be given for audit committee and Risk Management Committee, for rest of the committees giving this information is optional

V. Affirmations			
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes		
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015			
a. Audit Committee	Yes		
b. Nomination & remuneration committee	Yes		
c. Stakeholders relationship committee	Yes		
d. Risk management committee (applicable to the top 1000 listed entities, voluntary for entities ranked 1001 to 2000)	NA		
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015			
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes		
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: No Comments	Yes		

Name & Designation: A. Jitendra Kumar Bafna, Company Secretary & Compliance Officer

Note:

Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by the listed entity and instead a statement "same as previous quarter" may be given.