

# SRESTHA FINVEST LIMITED

Date: January 11, 2025

To  
The Manager  
Listing Department  
1. Bombay Stock Exchange Ltd, Mumbai.  
2. Metropolitan Stock Exchange of India Limited, Mumbai.

Dear Sir,

**Sub: Submission of Compliances for the quarter ended 31/12/2024**

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 31/12/2024 are as follows:

1. Compliance Report on Corporate Governance for the quarter ended December 31, 2024.
2. Investor Grievance Redressal Report for the quarter ended December 31, 2024.
3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2024.
4. Shareholding Pattern for the quarter ended December 31, 2024.

This is for your information and records.

**Yours faithfully,  
For Srestha Finvest Ltd**

**A. Jitendra Kumar Bafna  
Company Secretary & Compliance Officer**

**INTEGRATED FILING (GOVERNANCE)****Compliance Report on Corporate Governance**1. Name of the Listed Entity: **SRESTHA FINVEST LIMITED**2. Quarter ending: **31-12-2024**

<b>I. Composition of Board of Directors</b>												
<b>Title (Mr./Ms)</b>	<b>Name of the Director</b>	<b>PAN<sup>s</sup> &amp; DIN</b>	<b>Category (Chairperson / Executive / Non-Executive/independent/ Nominee) &amp;</b>	<b>Initial Date of Appointment</b>	<b>Date of Re-appointment</b>	<b>Date of Cessation</b>	<b>Tenure*</b>	<b>Date of Birth</b>	<b>No. of directorship in listed entities including this listed entity  [with reference to Regulation 17A]</b>	<b>No. of Independent Directorship in listed entities including this listed entity  [with reference to proviso to regulation 17A(1)] &amp; reg. 17A(2)]</b>	<b>No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity  (Refer Regulation 26(1) of the LODR Regulations)</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity  (Refer Regulation 26(1) of the LODR Regulations)</b>
Ms.	Sitaben S Patel	██████████ & 02470150	Chairperson, Non-Executive Independent Director	28-10-2021	-	-	60	15-08-1968	4	2	1	1
Mr.	Mayurdwajsinh Sahadevsinh Babubha Rana	██████████ & 09234993	Non-Executive Non-Independent Director	22-10-2021	-	-	-	05-10-1999	1	0	2	0
Mr.	Sahadevsinh Babubha Rana	██████████ & 09269488	Non-Executive Non-Independent Director	22-10-2021	-	-	-	08-07-1970	1	0	0	0
Mr.	Umeshbhai Hirjibhai Padariya	██████████ & 06826543	Non-Executive Independent Director	07-10-2022	-	-	60	08-07-1972	1	1	1	1

Mr.	Sunil Bhandari	██████████ & 03120545	Executive Director	17-10-2022	-	-	-	24-09-1973	1	0	0	0
-----	----------------	-----------------------------	--------------------	------------	---	---	---	------------	---	---	---	---

Whether Regular chairperson appointed - **Yes**

Whether Chairperson is related to managing director or CEO - **No**

\$PAN number of any director would not be displayed on the website of Stock Exchange

&Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/ Nominee) &	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Umeshbhai Hirjibhai Padariya	Chairperson - Non-Executive - Independent Director	08-10-2022	-
		Mayurdwajsinh Sahadevsinh Babubha Rana	Non-Executive - Non-Independent Director	23-10-2021	-
		Sitaben S Patel	Non-Executive - Independent Director	19-12-2021	-
2. Nomination & Remuneration Committee	No	Umeshbhai Hirjibhai Padariya	Chairperson - Non-Executive Independent Director	08-10-2022	-
		Mayurdwajsinh Sahadevsinh Babubha Rana	Non-Executive - Non-Independent Director	22-03-2022	-
		Sitaben S Patel	Non-Executive - Independent Director	19-12-2021	-
3. Risk Management Committee (if applicable)	NA	NA	NA	NA	-
4. Stakeholders Relationship Committee	Yes	Sitaben S Patel	Chairperson - Non-Executive - Independent Director	19-12-2021	-
		Umeshbhai Hirjibhai Padariya	Non-Executive - Independent Director	08-10-2022	-
		Mayurdwajsinh Sahadevsinh Babubha Rana	Non-Executive - Non-Independent Director	22-03-2022	-

&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

<b>III. Meeting of Board of Directors</b>					
<b>Date(s) of Meeting in the relevant quarter</b>	<b>Whether requirement of Quorum met*</b>	<b>Number of Directors present*</b>	<b>Number of independent directors present*</b>	<b>Date(s) of Meeting in the previous quarter</b>	<b>Maximum gap between any two consecutive meetings (in number of days)</b>
-	-	-	-	23-07-2024	-
-	-	-	-	31-07-2024	7
-	-	-	-	14-08-2024	13
-	-	-	-	19-08-2024	4
-	-	-	-	06-09-2024	17
09-10-2024	Yes	5	2	-	32
14-11-2024	Yes	5	2	-	35

\* to be filled in only for the current quarter meetings

<b>IV. Meeting of Committees</b>						
<b>Name of the Committee</b>	<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Whether requirement of Quorum met (details)*</b>	<b>Number of Directors present*</b>	<b>Number of independent directors present*</b>	<b>Date(s) of meeting of the committee in the previous quarter</b>	<b>Maximum gap between any two consecutive meetings in number of days**</b>
Audit Committee	-	-	-	-	14-08-2024	-
Audit Committee	-	-	-	-	19-08-2024	4
Audit Committee	09-10-2024	Yes	3	2	-	50
Audit Committee	14-11-2024	Yes	3	2	-	35
Stakeholders Relationship Committee	-	-	-	-	14-08-2024	-
Stakeholders Relationship Committee	14-11-2024	Yes	3	2	-	91

\* to be filled in only for the current quarter meetings  
\*\* This information has to be mandatorily be given for audit committee and Risk Management Committee, for rest of the committees giving this information is optional

<b>V. Affirmations</b>	
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	<b>Yes</b>
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	
a. Audit Committee	<b>Yes</b>
b. Nomination & remuneration committee	<b>Yes</b>
c. Stakeholders relationship committee	<b>Yes</b>
d. Risk management committee (applicable to the top 1000 listed entities, voluntary for entities ranked 1001 to 2000)	<b>NA</b>
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	<b>Yes</b>
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	<b>Yes</b>
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: No Comments	<b>Yes</b>

**Name & Designation: A. Jitendra Kumar Bafna, Company Secretary & Compliance Officer**

**Note:**

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by the listed entity and instead a statement “same as previous quarter” may be given.