



SM Auto Stamping Limited



Manufacturers of sheet metal pressed components & welded assemblies for Automobile & Electrical OEMs.
GSTIN. : 27AAKCS1177K1ZX CIN NO.: L27109MH2006PLC163789

To,
BSE Limited
PhirozeJeejeebhoy Towers
Dalal Street, Mumbai- 400001.

Date: July 16, 2024

Scrip Code: 543065/Scrip ID: SMAUTO

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations")

With reference to captioned subject, we would like to inform that the Company has received an email dated July 15, 2024 from BSE Limited ("BSE") with subject line - Sub: Reminder before freezing of promoter demat account (action under SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).

The details as required under SEBI Listing Regulations read with Circular no. SEBI/HO/CFD/CFD-PoD-1/P-CIR//2023/123 dated July 13, 2023 are given in annexure I attached herewith:

Kindly take note on your record.

Yours Faithfully,

For **SM AUTO STAMPING LIMITED**

Pawan Mahajan
Company Secretary & Compliance Officer
Membership No.: A65674
Address:C-13, MIDC Ambad, Nashik, Maharashtra-422010

Registered Office : J-41, MIDC Ambad, Nashik - 422010, Maharashtra, INDIA
Telephone : +91-253-6621106/07.
II Plant : C-13, MIDC Ambad, Nashik - 422010, Maharashtra, INDIA
Telephone : +91-253-6621102, 6621103, 6621104
Sinnar Plant : B-198, MIDC, Malegaon, Sinnar, Nashik - 422113. Maharashtra, INDIA
Telephone : +9102530230009
Website : www.smautostamping.com **email** : sales@smautostamping.com



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Annexure I

Name of the Authority	BSE Limited ("BSE")
Nature and details of the action(s) taken, initiated or order(s) passed	<p>The company has received email on 15th July 2024 with subject line Sub: Reminder before freezing of promoter demat account (action under SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance).</p> <p>Reminder to pay outstanding fine of Rs. 76, 700.</p>
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Email dated July 15, 2024.
Details of the violation(s)/ contravention(s) committed or alleged to be committed	<p>As mentioned in the above stated BSE letter BSE has asked to submit the outstanding amount of fine amount of Rs. 76700 (incl. GST).</p> <p>Our response -</p> <p>Company has paid the fine amount Rs. 1, 71,100 (Including GST) to BSE as per their letter dated 28th June, 2024 and also submitted the Consolidated Financial Results for half year and year ended 31st March 2024 on 11th July, 2024.</p> <p>Company has also submitted waiver application to BSE on 12th July, 2024 stating that said provisions are not applicable to the company and fine should not been levied.</p> <p>Now as per the letter dated 15th July 2024 BSE has mentioned fine amount of 76, 700 (incl. GST) is outstanding I,e from 29th June 2024 to 11th July 2024.</p> <p>The Fine amount of Rs. 76,700 is also processed by</p>

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	<p>the Company and will be deposited into the account number provided by the BSE within due course of time.</p> <p>Enclosed herewith communication of BSE dated 15th July, 2024</p>
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	<p>There is no material impact on financials, operations or other activities of the Company as no non-compliance is occurred.</p>

ENCL - Enclosed herewith BSE Mail as Annexure -A,

Registered Office : J-41, MIDC Ambad, Nashik - 422010, Maharashtra, INDIA
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Pawan Mahajan

From: Reena Raphel [reena.raphel@bseindia.com]
Sent: 15 July 2024 19:56
To: companysecretary@smautostamping.com; companysecretary@smautostamping.com; acc@smautostamping.com; mnk@smautostamping.com; mnk@smautostamping.com
Cc: bse.soplodr; Jaikishan Pahlani
Subject: 543065-Reminder for freezing of promoter demat account (SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance)

Ref.: SOP-Reminder date -15.07.2024

To;
Company Secretary & compliance officer/ Promoter of the company
Company Name: SM Auto Stamping Ltd
Scrip Code: 543065
Promoter Name: MUKUND NARAYAN KULKARNI

Dear Sir/Madam,

Sub: Reminder before freezing of promoter demat account (action under SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance)

SEBI vide its SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance) has inter alia prescribed certain penal actions such as levy of financial fines, freezing of promoter demat accounts, transfer to Z group (Trade for Trade) ending with suspension of trading in the securities of the listed entities which do not comply with critical regulations of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

It is observed that as per the latest shareholding pattern report submitted by the SM Auto Stamping Ltd, (**scrip code: 543065**), your name has been included in the list of promoters of the company.

The company is non-compliant/not paid fines with the provisions of **following Regulation(s)** for the period mentioned below. As mandated in the SEBI SOP circular the company has been intimated about the pending compliance and has also been informed that **all the promoters' demat accounts would be frozen if the compliance was not completed and fines not paid.**

The details of fines outstanding, inter alia, pursuant to SEBI SOP Circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance) are as under:

Applicable Regulation of SEBI (LODR) Regulations, 2015	Fine amount outstanding as on July 15,2024 (incl. GST @ 18 %) (Amount in Rs.)	Fines levied for	Status of Compliance
Regulation 33 Non-submission of the financial results within the period prescribed under this regulation	76700	(March 2024 quarter/year)	Late submission

Regulation 23 (9) Non-compliance with disclosure of related party transactions on consolidated basis.	0	For the half year ended March 2024	-
Regulation 24A Non-compliance with submission of secretarial compliance report	0	(For the year ended March 2024)	-
Total	76700		

Note: In case of Non-Compliance the fines will continued to be levied till the date of compliance.

As per Exchange records, it is observed that the company **has not yet complied/ not paid the fines.** Therefore, as in duty bound in terms of the provisions of SEBI SOP circular the Exchange would be proceeding to give instructions to the depositories to **freeze the demat accounts of all the entities mentioned in the shareholding pattern by the company, within 10 days from the date of this communication.**

*It may also be noted that presently the SEBI circular stipulates that the freeze on promoter demat accounts should **be lifted only after the company complies and pays fines.***

(Note: For unfreezing of promoters demat accounts, the Company is required to comply with all the pending compliances and pay all the outstanding fines levied under SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter-VII(A)-Penal Action for Non-Compliance) (Erstwhile SEBI SOP Circular dated January 22, 2020, dated May 03, 2018; November 30, 2015 and September 30, 2013).

Company is requested to transfer the fine amount in the following bank account and confirm the remittance details i.e. UTR No./NEFT Details/Cheque No., etc. on our email id: bse.soplodr@bseindia.com

Company Name	SM Auto Stamping Ltd		
Account Name	Branch Name & Branch	Account No.	IFSC Code
BSE Limited	ICICI Bank Limited & CMS Branch	BSER12262	ICIC0000104

It may be noted that the Policy for exemption of fines detailing the reasons for waiver / reduction of fines levied as per the provisions of SEBI SOP circular is disseminated on the Exchange website at the following link:

https://www.bseindia.com/downloads1/Policy_for_Exemption_of_Fines_SOP.pdf

It may further be noted that:

- An application for waiver of fines submitted by the company if any, will be considered **only after the applicant company has first complied with the compliances for which it is seeking full / partial waiver of fines**, as required under the Listing Regulation.
- An illustrative list of scenarios which cannot be considered to fall within the ambit of “events” entailing waiver or reduction of fine has been included in the policy disseminated on the Exchange website at aforesaid link.
- The decision of the Exchange shall be final and repeated applications for waivers that are declined earlier, would not be entertained, unless there are any mitigating fresh facts. The Exchange reserves the right to accede to or deny the request for waiver/ reduction in penalty, for reasons to be recorded in writing.

In case of any further queries / clarifications please email at the following ids:

Regulation	Contact person	Contact Number	Email Id
Reg.24(A)	Mr. Jaikishan Pahlani	022-22728475	jaikishan.pahlani@bseindia.com
Reg. 33/23(9)	Mr. Harshad Naik	022-22725650	Harshad.Naik@bseindia.com bse.soplodr@bseindia.com

Thanks and Regards,

Reena Raphel
Associate Manager
Listing Compliance



BSE Limited, MUMBAI
Phone (Direct) : 22728194

World's Fastest Exchange With A Speed Of 6 Microseconds

This mail is classified as 'PUBLIC' by reena.raffel on July 15, 2024 at 19:55:38.

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