



# SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021.

Tel. : 91 22 4603 2806 / 07

Tel. : 91 22 4603 2806 / 07

Email id : investors@shriyam.com Website : www.shardulsecurities.com

6<sup>th</sup> September, 2024

To,  
The Manager  
Listing Department  
The Stock Exchange, Mumbai  
P. J. Towers, Dalal Street  
Mumbai 400 001.

Company Code: 512393

Sub: Disclosure in terms of Regulation 10(6) & Regulation 29(2) of SEBI (substantial Acquisition of Shares and takeovers) Regulation, 2011

Dear Sir/Ma'am,

With reference to captioned matter please find enclosed Disclosure in terms of Regulation 10(6) & Regulation 29(2) of SEBI (substantial Acquisition of Shares and takeovers) Regulation, 2011 received from the promoters of the Company

Kindly acknowledge the receipt and take the above on record.

Yours faithfully  
For Shardul Securities Ltd.

Daya Bhalia  
Director and Company Secretary



Encl: As Above

***Brijesh D. Chaturvedi***

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274/D, Tahnee Heights, Petit Hall, 66, Nepeansea Road, Mumbai - 400 006.  
Mob No: +91 98203 21000, Email id: brijeshdchaturvedi@hotmail.com

6<sup>th</sup> September, 2024

To  
The Manager,  
Bombay Stock Exchange Limited,  
Phiroze Jeejeebhoy Towers, Dalal Street,  
Mumbai - 400 051  
Email ID: [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com)

Dear Sir/Madam,

**Subject: Disclosure under Regulation 10(6) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

With reference to our intimation under Regulation 10(5) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, submitted on 28<sup>th</sup> August 2024, we have acquired 3,50,450 equity shares of Shardul Securities Limited on 4<sup>th</sup> September, 2024 by way of inter se transfer amongst promoter.

In this connection please find enclosed disclosure under Regulation 10(6) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

This is for your information and record.

Thanking You,

Yours Faithfully,



**Brijesh Chaturvedi**  
**On his own behalf and**  
**On behalf of**  
**Ms. Shruti Chaturvedi**  
**Mr. Raghav Chaturvedi**

**Encl: As above**

**CC:**  
**Shardul Securities Limited**  
G-12, 212, Tulsiani Chambers,  
Free Press Journal Marg, Nariman  
Point Mumbai - 400021

**Format for Disclosures under Regulation 10(6) – Report to Stock Exchanges in respect of any acquisition made in reliance upon exemption provided for in Regulation 10 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

1.	Name of the Target Company (TC)	Shardul Securities Limited	
2.	Name of the acquirer(s)	1. Shruti Chaturvedi 2. Brijesh Chaturvedi 3. Raghav Chaturvedi	
2.	Name of the stock exchange where shares of the TC are listed	Bombay Stock Exchange Limited (BSE)	
3.	Details of the transaction including rationale, if any, for the transfer/ acquisition of shares.	Acquisition of 3,50,450 Equity Shares constituting 2.00% of the paid up capital of the Target Company.  <b>Rationale –</b> Inter-se Transfer among Promoters pursuant to Regulation 10(1)(a)(ii) by way of gift.	
4.	Relevant regulation under which the acquirer is exempted from making open offer.	The Acquirer has been exempted from making open offer vide Regulation 10 (1)(a)(ii)	
5.	Whether disclosure of proposed acquisition was required to be made under regulation 10 (5) and if so, – Whether disclosure was made and whether it was made within the timeline specified under the regulations.  – Date of filing with the stock exchange.	Yes  28 <sup>th</sup> August 2024	
6.	Details of acquisition	Disclosures made/required to be made under regulation 10(5)	Whether the disclosures under regulation 10(5) are actually made
	a. Name of the transferor(s)	Dinanath Chaturvedi	Yes
	b. Date of acquisition	On or after 4 <sup>th</sup> September, 2024	4 <sup>th</sup> September, 2024
	c. Number of shares/ voting rights in respect of the acquisitions from each person mentioned in 7(a) above	3,50,450	3,50,450
	Ms. Shruti Chaturvedi	1,16,817	1,16,817

	Mr. Brijesh Chaturvedi	1,16,816		1,16,816	
	Mr. Raghav Chaturvedi	1,16,817		1,16,817	
	d. Total shares proposed to be acquired / actually acquired as a % of diluted share capital of TC	3,50,450 2%		3,50,450 2%	
	e. Price at which shares are proposed to be acquired / actually acquired	By way of Gift		By way of Gift	
7.	Shareholding details	Pre-Transaction		Post-Transaction	
		No. of shares held	% w.r.t. to total share capital of TC	No. of shares held	% w.r.t. to total share capital of TC
	<b>Each Acquirer / Transferee(*)</b>				
1	Ms. Shruti Chaturvedi	1,36,000	0.78%	2,52,817	1.44%
2	Mr. Brijesh Chaturvedi	9,99,867	5.71%	11,16,683	6.38%
3	Mr. Raghav Chaturvedi	1,31,100	0.75%	2,47,917	1.42%
	<b>Total</b>	12,66,967	7.24%	16,17,417	9.24%
	<b>Each Seller / Transferor</b>				
1	Mr. Dinanath Chaturvedi	3,50,450	2.00%	0	0.00%
	<b>Total</b>	3,50,450	2.00%	0	0.00%

**Note:**

- (\*) Shareholding of each entity shall be shown separately and then collectively in a group.
- The above disclosure shall be signed by the acquirer mentioning date & place. In case, there is more than one acquirer, the report shall be signed either by all the persons or by a person duly authorized to do so on behalf of all the acquirers

*Brijesh*

**Brijesh Chaturvedi**  
**On his own behalf and**  
**On behalf of**  
**Ms. Shruti Chaturvedi**  
**Mr. Raghav Chaturvedi**

Date: 06/09/2024

Place: Mumbai

***Brijesh D. Chaturvedi***

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274/D, Tahnee Heights, Petit Hall, 66, Nepeansea Road, Mumbai – 400 006.  
Mob No: +91 98203 21000, Email id: brijeshdchaturvedi@hotmail.com

**Date: 06/09/2024**

To  
The Manager,  
Listing Department  
The Stock Exchange, Mumbai  
P.J. Towers, Dalal Street  
Mumbai 400 001

**Sub: Intimation pursuant to Regulation 29(2) of the SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Ref: Shardul Securities Limited Scrip Code (512393)**

Dear Sir,

Please find enclosed is the disclosure required to be made in terms Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

This is for your information and record.

Thanking you,

Yours Faithfully,



**Brijesh Chaturvedi**  
**On his own behalf and**  
**On behalf of**  
**Ms. Shruti Chaturvedi**  
**Mr. Raghav Chaturvedi**

**Encl:** As above

**CC:**

Compliance Officer  
Shardul Securities Limited  
G-12, 212, Tulsiani Chambers,  
Free Press Journal Marg, Nariman  
Point Mumbai – 400021.



**Format for disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

Name of the Target Company (TC)	Shardul Securities Limited		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	<p><b><u>Acquirers:</u></b></p> <p>Shruti Chaturvedi Brijesh Chaturvedi Raghav Chaturvedi</p> <p><b><u>PAC:</u></b></p> <p>Gagan Chaturvedi Rajesh Chaturvedi Devesh Chaturvedi Babita Chaturvedi Varsha Chaturvedi Ramya Chaturvedi Mohini Chaturvedi Dinanath Chaturvedi Rajesh D Chaturvedi HUF A To Z Broking Services LLP Shriyam Commodities Intermediary LLP Pradeep Sandeep Corporate Advisors LLP Kamvan Construction Pvt Ltd</p>		
Whether the acquirer belongs to Promoter/Promoter group	Yes		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Ltd.		
Details of the acquisition / disposal as follows	Number	% w.r.t total share/voting capital wherever applicable(*)	% w.r.t total diluted share/voting capital of the TC (**)
<b>Before the acquisition under consideration, holding of :</b>			
a) Shares carrying voting rights			
i. Shruti Chaturvedi	1,36,000	0.78	0.78
ii. Brijesh Chaturvedi	9,99,867	5.71	5.71
iii. Raghav Chaturvedi	1,31,100	0.75	0.75
iv. Gagan Chaturvedi	28,36,185	16.21	16.21
v. Rajesh Chaturvedi	26,05,586	14.89	14.89
vi. Devesh Chaturvedi	19,02,419	10.87	10.87
vii. Babita Chaturvedi	1,69,600	0.97	0.97
viii. Varsha Chaturvedi	1,36,000	0.78	0.78
ix. Ramya Chaturvedi	1,27,500	0.73	0.73

<b>After the acquisition/sale, holding of:</b>			
a) Shares carrying voting rights:			
i. Shruti Chaturvedi	2,52,817	1.44	1.44
ii. Brijesh Chaturvedi	11,16,683	6.38	6.38
iii. Raghav Chaturvedi	2,47,917	1.42	1.42
iv. Gagan Chaturvedi	28,36,185	16.21	16.21
v. Rajesh Chaturvedi	26,05,586	14.89	14.89
vi. Devesh Chaturvedi	19,02,419	10.87	10.87
vii. Babita Chaturvedi	1,69,600	0.97	0.97
viii. Varsha Chaturvedi	1,36,000	0.78	0.78
ix. Ramya Chaturvedi	1,27,500	0.73	0.73
x. Mohini Chaturvedi	99,700	0.57	0.57
xi. Dinanath Chaturvedi	0	0.00	0.00
xii. Rajesh D Chaturvedi HUF	75,700	0.43	0.43
xiii. A To Z Broking Services LLP	21,18,400	12.11	12.11
xiv. Shriyam Commodities Intermediary LLP	9,32,000	5.33	5.33
xv. Pradeep Sandeep Corporate Advisors LLP	4,75,000	2.71	2.71
xvi. Kamvan Construction Pvt Ltd	300	0.00	0.00
<b>Total (a)</b>	<b>1,30,95,807</b>	<b>74.84</b>	<b>74.84</b>
b) Shares encumbered with the acquirer			
c) VRs otherwise than by shares	Nil	Nil	Nil
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	Nil	Nil	Nil
<b>Total (a+b+c+d)</b>	<b>1,30,95,807</b>	<b>74.84</b>	<b>74.84</b>
Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).	Inter-se transfer without consideration i.e., by way of Gift by Dinanath Chaturvedi to the acquirers.		
Date of acquisition /-sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	04-09-2024		
Equity share capital / total voting capital of the TC before the said acquisition / sale	Rs. 17,49,84,330		
Equity share capital/ total voting capital of the TC after the said acquisition / sale	Rs. 17,49,84,330		
Total diluted share/voting capital of the TC after the said acquisition	Rs. 17,49,84,330		

(\* Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

*Brijesh*

**Brijesh Chaturvedi**  
**On his own behalf and**  
**On behalf of**  
**Ms. Shruti Chaturvedi**  
**Mr. Raghav Chaturvedi**

**Place: Mumbai**  
**Date: 06-09-2024**



*Dinanath Chaturvedi*

---

274, Tahnee Heights, Petit Hall, Nepeansea Road, Mumbai - 400 006.  
Mob No: +91 93220 01000, Email id: [dnc@cas.ind.in](mailto:dnc@cas.ind.in)

**Date: 06/09/2024**

To  
The Manager,  
Listing Department  
The Stock Exchange, Mumbai  
P.J. Towers, Dalal Street  
Mumbai 400 001

**Sub: Intimation pursuant to Regulation 29(2) of the SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Ref: Shardul Securities Limited Scrip Code (512393)**

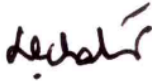
Dear Sir,

Please find enclosed is the disclosure required to be made in terms Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

This is for your information and record.

Thanking you,

Yours Faithfully,



**DINANATH CHATURVEDI**

**Encl:** As above

**CC:**  
Compliance Officer  
Shardul Securities Limited  
G-12, 212, Tulsiani Chambers,  
Free Press Journal Marg, Nariman  
Point Mumbai - 400021.

**Format for disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

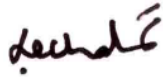
Name of the Target Company (TC)	Shardul Securities Limited		
Name(s) of the acquirer/Seller and Persons Acting in Concert (PAC) with the acquirer	<p><b><u>Seller:</u></b> Dinanath Chaturvedi</p> <p><b><u>PAC:</u></b> Shruti Chaturvedi Brijesh Chaturvedi Raghav Chaturvedi Gagan Chaturvedi Rajesh Chaturvedi Devesh Chaturvedi Babita Chaturvedi Varsha Chaturvedi Ramya Chaturvedi Mohini Chaturvedi Rajesh D Chaturvedi HUF A To Z Broking Services LLP Shriyam Commodities Intermediary LLP Pradeep Sandeep Corporate Advisors LLP Kamvan Construction Pvt Ltd</p>		
Whether the acquirer belongs to Promoter/Promoter group	Yes		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Ltd.		
Details of the acquisition / disposal as follows	Number	% w.r.t total share/voting capital wherever applicable(*)	% w.r.t total diluted share/voting capital of the TC (**)
<b>Before the disposal under consideration, holding of :</b>			
a) Shares carrying voting rights			
i. Shruti Chaturvedi	1,36,000	0.78	0.78
ii. Brijesh Chaturvedi	9,99,867	5.71	5.71
iii. Raghav Chaturvedi	1,31,100	0.75	0.75
iv. Gagan Chaturvedi	28,36,185	16.21	16.21
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x. Mohini Chaturvedi	99,700	0.57	0.57

xi. Dinanath Chaturvedi	3,50,450	2.00	2.00
xii. Rajesh D Chaturvedi HUF	75,700	0.43	0.43
xiii. A To Z Broking Services LLP	21,18,400	12.11	12.11
xiv. Shriyam Commodities Intermediary LLP	9,32,000	5.33	5.33
xv. Pradeep Sandeep Corporate Advisors LLP	4,75,000	2.71	2.71
xvi. Kamvan Construction Pvt Ltd	300	0.00	0.00
<b>Total (a)</b>	<b>1,30,95,807</b>	<b>74.84</b>	<b>74.84</b>
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)	Nil	Nil	Nil
c) Voting rights (VR) otherwise than by shares	Nil	Nil	Nil
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)	Nil	Nil	Nil
<b>Total (a+b+c+d)</b>	<b>1,30,95,807</b>	<b>74.84</b>	<b>74.84</b>
<b>Details of acquisition/sale</b>			
a) Shares carrying voting rights acquired/sold			
i. Dinanath Chaturvedi	3,50,450	2.00	2.00
<b>Total (a)</b>	<b>3,50,450</b>	<b>2.00</b>	<b>2.00</b>
b) VRs acquired /sold otherwise than by shares	Nil	Nil	Nil
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	Nil	Nil	Nil
d) Shares encumbered / invoked/released by the acquirer	Nil	Nil	Nil
<b>Total (a+b+c+/-d)</b>	<b>3,50,450</b>	<b>2.00</b>	<b>2.00</b>

<b>After the acquisition/sale, holding of:</b>			
a) Shares carrying voting rights:			
i. Shruti Chaturvedi	2,52,817	1.44	1.44
ii. Brijesh Chaturvedi	11,16,683	6.38	6.38
iii. Raghav Chaturvedi	2,47,917	1.42	1.42
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<b>Total (a)</b>	<b>1,30,95,807</b>	<b>74.84</b>	<b>74.84</b>
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d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	Nil	Nil	Nil
<b>Total (a+b+c+d)</b>	<b>1,30,95,807</b>	<b>74.84</b>	<b>74.84</b>
Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).	Inter-se transfer without consideration i.e., by way of Gift to Shruti Chaturvedi, Brijesh Chaturvedi & Raghav Chaturvedi.		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	04-09-2024		
Equity share capital / total voting capital of the TC before the said acquisition / sale	Rs. 17,49,84,330		
Equity share capital/ total voting capital of the TC after the said acquisition / sale	Rs. 17,49,84,330		
Total diluted share/voting capital of the TC after the said acquisition	Rs. 17,49,84,330		

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.



**Dinanath Chaturvedi**

**Place: Mumbai**

**Date: 06-09-2024**