



COSMO FERRITES LIMITED

(Govt. of India Recognised Export House)

CIN : L27106HP1985PLC006378

H.O.: 517, 5th Floor, DLF Tower-A, Jasola District Centre, New Delhi-110025 (India)

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CFR/SE/2024-25/MAY/07

May 29, 2024

The Manager (Listing)
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

Scrip Code: 523100

Security ID: COSMOFE

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March 2024.

Dear Sir,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI's Circular No. CIR/CFD/CMD 1/27/2019 dated 8th February, 2019 please find enclosed herewith the Annual Secretarial Compliance Report for the financial year ended March 31, 2024.

You are requested to take the same on your records.

Thanking You.

Yours Faithfully,
For Cosmo Ferrites Limited

Ambrish Jaipuria
Managing Director
DIN: 00214687

Encl: as above

SECRETARIAL COMPLIANCE REPORT OF
COSMO FERRITES LIMITED
FOR THE YEAR ENDED 31 MARCH 2024

(Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

We have examined all the documents and records made available to us and explanation provided by **COSMO FERRITES LIMITED** (“the listed entity”)(CIN L27106HP1985PLC006378) (hereinafter referred to as the “Company”) having its registered office at P.O. Jabli Distt Solan Jabli Himachal Pradesh 173209 India in relation to:

- (a) the filings/ submissions made by the Company to the stock exchanges,
- (b) website of the Company,
- (c) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended **31st March, 2024 (Review Period)** in respect of compliance with the provisions of:
 - (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (**Not applicable to the Company during the Audit Period**);
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 as amended till date;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (**Not applicable to the Company during the Audit Period**)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014



(Not applicable to the Company during the Audit Period);

- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(Not applicable to the Company during the Audit Period);**
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not applicable to the Company during the Audit Period);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 as amended till date; and
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified in **Annexure A.**
- b) The Company has taken the following actions to comply with the observations made in previous reports: **(Not Applicable, as there was no observation in the previous secretarial compliance report of the Company)**

We hereby report that, during the review period the compliance status of the listed entity with the following requirements

S. No.	Particulars	Compliance Status (Yes / No/ NA)	Observations/ Remarks by PCS
1.	<u>Secretarial Standard</u> The compliances of listed entities are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	Yes	None
2.	<u>Adoption and timely updation of the Policies:</u> <ul style="list-style-type: none">• All applicable policies under SEBI Regulations are adopted with the	Yes	None



	approval of board of directors of the listed entities		
	<ul style="list-style-type: none"> All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/ circulars guidelines issued by SEBI 	Yes	None
3.	Maintenance and disclosures on Website:		
	<ul style="list-style-type: none"> The Listed entity is maintaining a functional website 	Yes	None
	<ul style="list-style-type: none"> Timely dissemination of the documents/ information under a separate section on the website 	Yes	None
	<ul style="list-style-type: none"> Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/ section of the website 	Yes	None
4.	Disqualification of Director None of the Director of the Company are disqualified under Section 164 of the Companies Act, 2013	Yes	None
5.	To examine details related to Subsidiaries of listed entities		
	<ul style="list-style-type: none"> Identification of material subsidiary companies 	NA	The Company has no material subsidiary.
	<ul style="list-style-type: none"> Requirement with respect to disclosure of material as well as other subsidiaries 	NA	None
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015	Yes	None
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees	Yes	



	at the start of every financial year/during the financial year as prescribed in SEBI Regulations		
8.	Related Party Transactions:		
	• The Listed entity has obtained prior approval of Audit Committee for all Related party transaction	Yes	None
	• In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transaction were subsequently approved/ ratified/ rejected by the Audit Committee	NA	All the related party transactions were entered after obtaining prior approval of the audit committee
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 alongwith Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder	Yes	None
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	None
11.	Actions taken by SEBI or Stock Exchange(s), if any No Actions taken against the listed entity/ its promoters/directors/ subsidiaries either by SEBI or by Stock Exchanges(including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	Yes	The Company Secretary of the Company resigned on 27.10.2023 and new Company Secretary and Compliance Officer was appointed on 19.01.2024 i.e. within required time limit of three months and duly intimation was given to BSE Limited. However, BSE Limited vide email dated 22.02.2024 imposed fine of Rs.108560/- on Company for violation of Regulation 6(1A) of SEBI LODR.



			In response, the Company has filed application for waiver of fine as the new company secretary was appointed within required period of three months. The said waiver application is pending at BSE Stock Exchange
12.	Resignation of statutory auditors from the listed entity or its material subsidiaries In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.	Nil	The statutory auditors have not resigned during the review period.
13.	Additional Non-compliances, if any: No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	Yes	None

Assumptions & Limitation of Scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.




4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Date :17.05.2024
Place : New Delhi
UDIN :F005480F000394286



**For DMK Associates
Company Secretaries**


(MONIKA KOHLI)
B. Com (H), FCS, LL.B. I.P.
PARTNER
FCS 5480, C P 4936
Peer Review No. 779/2020

Annexure A

<u>S. No.</u>	<u>Compliance Requirement (Regulations/circulars/guidelines including specific clause)</u>	<u>Regulation/Circular No.</u>	<u>Deviations</u>	<u>Action Taken by</u>	<u>Type of Action i.e Advisory/ Clarification/ Fine/Show Cause Notice/ Warning, etc.</u>	<u>Details of Violation</u>	<u>Fine Amount</u>	<u>Observations/ Remarks of the Practicing Company Secretary</u>	<u>Management Response</u>	<u>Remarks</u>
1	Compliance Officer	Regulation 6(1A) of SEBI LODR	The Company Secretary resigned on 27.10.2023 and new Company Secretary and Compliance Officer was appointed on 19.01.2024 i.e. within required time limit of three months, therefore, there is no deviation	BSE Limited vide email dated 22.02.2024 imposed fine of Rs.108560/-	Fine	As per the facts made available to us, the company has appointed company secretary & compliance officer as per Regulation 6(1A) of SEBI (LODR), therefore no violation as such.	Rs 108560	The Company has filed application for waiver of fine as the new company secretary was appointed within required period of three months. The said waiver application is pending at BSE Stock Exchange	As advised by BSE, the Company has filed waiver letter dated 18.03.2024. The same is pending at BSE Stock Exchange.	Nil

