

THE UGAR SUGAR WORKS LIMITED.

Works * Ugar Khurd – 591 316, Dist.Belgaum, Karnataka
E-mail * helpdesk@ugarsugar.com
Regd. Office * Mahaveernagar, Sangli – 416 416, Maharashtra.
E-mail * usw.sangli@ugarsugar.com.

Phone * -91 8339 274000 (5 Lines) Fax * -918339 272232
Website * www.ugarsugar.com
Phone * -91 233 2623717, 2623716 Fax * -91 233 2623617
TIN No. * 29520007001, PAN-AAACT7580R
GSTIN NO * 29AAACT7580R1ZD. ECC No.AAACT7580 RXM001.
(CIN – L15421PN1939PLC006738)

Sec/

Date:- 03/12/2024

To,
The Executive Director,
Bombay Stock Exchange Ltd.,
P J Towers, Dalal Street, Mumbai.
Tel No: (022) 22721234
Fax No: (022) 22721278/22722039
Stock Code: 530363

To,
Corporate Communications
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G
Block, Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051 Tel
No: (022) 26598148 Fax No: (022)
26598120
Stock Code: UGARSUGAR

Ref: 1) NSE/LIST/COMP/UGARSUGAR/01/2024-2025 Date: November 29, 2024
2) BSE: LIST/COMP/JP/1477/2024-25 dated November 29, 2024

Sub: Cautionary letter

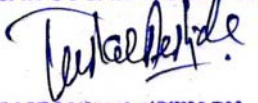
Dear Sir/Madam,

Please find attached herewith this letter the Cautionary Letter received for the Stock Exchanges.

Thanking you,

Yours faithfully,

FOR THE UGAR SUGAR WORKS LTD



COMPANY SECRETARY

National Stock Exchange Of India Limited**Ref: NSE/LIST/COMP/UGARSUGAR/01/2024-2025****Date: November 29, 2024**

To,
The Company Secretary
The Ugar Sugar Works Limited
Mahaveer Nagar,
Sangli – 416 416, Maharashtra.

Sub: Cautionary letter

Dear Sir/Madam,

This is in reference to the disclosure submitted by The Ugar Sugar Works Limited ('the Company') on April 12, 2024, and April 15, 2024, with respect to Appointment of Mr B. G. Kulkarni, a qualified Company Secretary as the Compliance Officer of the Company w.e.f. April 15, 2024.

As per Sub-para 7 of Para A Part A of Schedule III of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, in case of appointment of directors, key managerial personnel (Managing Director, Chief Executive Officer, Chief Financial Officer, Company Secretary etc.), senior management, Auditor and Compliance Officer, the event shall be disclosed to the Stock Exchanges by the listed entities within 30 minutes of conclusion of the Board Meeting.

In the above case, it was observed that the intimation regarding the appointment of Mr B. G. Kulkarni as the Compliance Officer of the Company was approved by the Board in its meeting held on April 11, 2024, however, the disclosure for the same was submitted by the Company on April 12, 2024 and a complete disclosure was submitted on April 15, 2024 after the Exchange intervention which was a delay beyond the timeline prescribed in the aforesaid provisions.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI (LODR) Regulations, 2015 and SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the company to avoid the recurrence of such lapses in future.

Yours faithfully,

For **National Stock Exchange of India Limited**

Dhaval Shah
Senior Manager – Listing Compliance

LIST/COMP/JP/1477/2024-25

Date: November 29, 2024

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The Ugar Sugar Works Limited
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Yours faithfully,
For **BSE Limited**



Jaikishan Pahlani
AGM - Listing Compliance