

July 31, 2024

To

The Manager Listing Department/ Department of Corporate Relations BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 533344	The Manager National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra- Kurla Complex, Bandra (East), Mumbai- 400051 Scrip Symbol: PFS
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Sub: Warning letter under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR')

Sir/ Madam,

We have received a warning letter from NSE on June 29, 2024, a copy of which is enclosed. The letter is in reference to the disclosure submitted by PTC India Financial Services Limited (‘the Company’) on October 27, 2023 w.r.t “Intimation of Analyst/Institutional Investors Meet at a shorter notice.

The Company is disseminating this letter to the Stock Exchanges as required.

This letter is available on the website of the Company at www.ptcfinancial.com

This is for your information and records.

For **PTC India Financial Services Limited**

Shweta Agrawal
Company Secretary

Encl: A/a

National Stock Exchange Of India Limited

Ref: NSE/LIST/COMP/PFS/01/2024-2025

Date: July 29, 2024

To,
The Company Secretary
PTC India Financial Services Limited
7th Floor, Telephone Exchange Building
8 Bhikaji Cama Place, Southwest Delhi,
New Delhi, Delhi, India, 110066.

Sub: Warning letter under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR').

Dear Sir/Madam,

This is in reference to the disclosure submitted by PTC India Financial Services Limited ('the Company') on October 27, 2023 w.r.t "Intimation of Analyst/Institutional Investors Meet".

As per Regulation 30 read with sub-para 15(a) of Para A Part A of Schedule III of SEBI LODR, the listed entity shall inform schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of intimation and date of meet).

Basis the above, it was observed that the disclosure for the intimation of the analysts call held October 30, 2023 was submitted on October 27, 2023, whereby the disclosure seems to be at a shorter notice as required under the aforesaid provisions.

The aforesaid non-compliance on your part is viewed seriously. You are hereby warned and advised to be careful in future, exercise due caution and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of the warning letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors the warning letter and the corrective measures taken by the company to avoid such lapses.

Yours faithfully,
For **National Stock Exchange of India Limited**

Dhaval Shah
Senior Manager