

संदर्भ Ref.:नि.से.वि.ISD/230/2024-25

दिनांक Date : 03.10.2024

बीएसई लिमिटेड BSE Ltd. बीएसई लिस्टिंग सेंटर BSE Listing Centre स्क्रिप कोड Scrip Code - 532 477	नेशनल स्टॉक एक्स्चेंज ऑफ इंडिया लिमिटेड National Stock Exchange of India Ltd. निप्स NEAPS स्क्रिप कोड Scrip Symbol-UNIONBANK-EQ सिक्योरिटी Security - UBI-AT/BB
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महोदया Madam/महोदय Sir,

**Subject: Disclosure under Regulation 30 of SEBI (LODR) Regulations, 2015, as amended.**

In compliance with Regulation 30(4) read with Schedule III, Part- A para 20(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform that the Financial Intelligence Unit of Government of India has imposed a penalty of ₹54,00,000 (Rupees Fifty four Lakh Only) for certain non-compliance of the Bank's Obligations during the period 2015-17 under Prevention of Money Laundering Act, 2002 (PMLA) and the relevant PML Rules, in pursuance to FIU-IND notice dated 13.07.2021. Further, it is advised that Bank will undertake a comprehensive review of its due diligence procedures and reassess its internal mechanism and transaction monitoring approach.

Bank has taken necessary preventive measures to avoid recurrences of such non compliances. Also, the monetary penalty imposed by FIU-IND has no material impact on financial operation or other activities of the Bank.

भवदीय Yours faithfully,

(सीएस एस. के. दाश CS S. K. Dash)

कंपनी सचिव Company Secretary

एफसीएस FCS - 4085