

November 19, 2024

To,  
Dept. of Corporate Services (CRD)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Scrip Code: 540192**

Dear Sir / Madam,

**Sub: Disclosure pursuant to Regulation 30 read with Para A, Part A of Schedule – III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to regulation 30 read with Para A, Part A of Schedule – III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, a copy of the notice of Postal Ballot dated November 14, 2024 along with Explanatory Statement pursuant to Section 102 of the Companies Act, 2013 and notes, for seeking approval of the members of the Company for matters mentioned therein is enclosed.

The said approval of members is sought by Postal Ballot only through remote voting by electronic means.

The Company has sent the said notice of Postal Ballot today, only by email, to those members whose names appear in the Register of Members/ List of Beneficial Owners as on Friday, November 15, 2024 (Cut-off Date) and whose e-mail addresses are registered with the Depository Participants/Company/Registrar and Share Transfer Agent.

The said notice is also available on the website of the Company at [www.lkpsec.com](http://www.lkpsec.com). The remote e-voting period of Postal Ballot shall commence on **Wednesday, November 20, 2024, 9.00 a.m. (IST) and will end on Thursday, December 19, 2024, 5.00 p.m. (IST)**.

Kindly take the same in your records.

Thanking you,

Yours faithfully,  
**For LKP Securities Limited**

**Sahil Gurav**  
**Company Secretary**  
**Membership No.: A65385**  
**Encl: a/a**

**LKP Securities Ltd.**

Regd Off: 203 Embassy Centre, Nariman Point, Mumbai – 400021, Phone: 022 – 2282 8234, Fax 022 – 2284 2415  
Head Off: 2<sup>nd</sup> floor, Gala Impecca, Andheri Kurla Road, Nr. Hotel Courtyard Marriott, Chakala, Andheri (E), Mumbai – 400059  
Tel.: +91 22 6635 1234 . Fax: +91 22 6635 1249 . Website: [www.lkpsec.com](http://www.lkpsec.com),  
Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DP: IN-CDSL-705-2022  
CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

## NOTICE OF POSTAL BALLOT

[Pursuant to Section 110 of the Companies Act, 2013 read with Rule 20 and Rule 22 of the Companies (Management and Administration) Rules, 2014]

**Dear Members,**

Notice is hereby given that pursuant to the provisions of Section 110, Section 108 and all other applicable provisions, if any, of the Companies Act, 2013, ("Act") read together with Rules 20 and 22 of the Companies (Management and Administration) Rules, 2014 ("Rules"), Secretarial Standard on General Meetings issued by The Institute of Company Secretaries of India ("SS-2"), Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the "SEBI Listing Regulations"), General Circular Nos. 14/2020 dated 08<sup>th</sup> April 2020, 17/2020 dated 13<sup>th</sup> April 2020 and 20/2020 dated 5<sup>th</sup> May, 2020 along with subsequent circulars issued in this regard and the latest Circular No. 9/2024 dated 19<sup>th</sup> September 2024, issued by the Ministry of Corporate Affairs, Government of India (collectively referred to as "MCA Circulars") and any other applicable law, rules and regulations (including any statutory modification(s) or re-enactment(s) thereof, for the time being in force), the resolution set out below is proposed to be passed by the members of LKP Securities Limited (the "Company") through Postal Ballot, through only remote voting by electronic means ("remote e-voting").

In compliance with Regulation 44 of the SEBI Listing Regulations and pursuant to the provisions of Section 108 and Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, the MCA Circulars and SS-2, the Company is providing only remote e-voting facility to its Members, to enable them to cast their votes electronically on the proposed resolution instead of submitting the Postal Ballot Form physically. The communication of the assent or dissent of the Members would only take place through the remote e-voting system. The instructions for remote e-voting are appended to this Notice. The Company has engaged the services of Central Depository Services (India) Limited (CDSL) for facilitating e-voting to enable the Members to cast their votes electronically. The e-voting will commence on **Wednesday, November 20, 2024, 9.00 a.m. (IST) and will end on Thursday, December 19, 2024, 5.00 p.m.**

In terms of the requirements specified in the MCA Circulars, the Company is sending this Notice in electronic form only via email to the members whose names appear on the Register of Members / List of Beneficial owners as on **Friday, November 15, 2024** (cut-off date) and whose e-mail addresses are registered with the Depository Participants/Company/ Registrar and Share Transfer Agent. Accordingly, physical copy of the Notice along with Postal Ballot Form and pre-paid business reply envelope will not be sent to the Members for this Postal Ballot.

The Notice is available on the website of the Company at [www.lkpsec.com](http://www.lkpsec.com) and can also be accessed from the website of BSE Limited at [www.bseindia.com](http://www.bseindia.com) and is also disseminated on the website of CDSL at [www.evotingindia.com](http://www.evotingindia.com).

The proposed resolution and the Explanatory Statement thereof pursuant to Section 102(1) of the Act and any other applicable provisions of the Act, read with Rules framed thereunder, setting out the material facts and reasons thereof concerning the resolution mentioned in this Postal Ballot Notice ("Notice"), is annexed hereto.

Members are requested to carefully read the instructions provided in this Notice and cast their vote on the proposed resolution via remote e-voting not later than the close of working hours at 5:00 p.m. (IST) on **Thursday, December 19, 2024**. Pursuant to Rule 22(5) of the Rules, the Board of Directors of the Company have appointed M/s Nishant Jawaas & Associates, Company Secretaries, FCS 6557, CP No. 6993, Practicing Company Secretaries, Mumbai, as the Scrutinizer for conducting the postal ballot (e-voting process) in a fair and transparent manner and they have communicated their willingness to be appointed and will be available for the **LKP Securities Ltd.**

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said purpose. The Scrutinizer's decision on the validity of the votes will be final. The Scrutinizer will submit the results of the e-voting to the Chairperson of the Company or any other authorized officer(s) of the Company after completion of the scrutiny of the e-voting. The postal ballot results will be submitted within 2 (Two) working days from conclusion of the e-voting period to the BSE Limited in accordance with the SEBI Listing Regulations.

The results of the Postal Ballot along with the Scrutinizer's Report will also be displayed on the website of the Company at [www.lkpsec.com](http://www.lkpsec.com) and shall also be displayed at the Registered Office of the Company while simultaneously being communicated to BSE Limited ('BSE') where the equity shares of the Company are listed. The proposed resolution, if approved by the requisite majority of members by means of Postal Ballot i.e. remote e-voting, shall be deemed to have been duly passed on the last date specified by the Company for e-voting i.e. **Thursday, December 19, 2024.**

## **SPECIAL BUSINESS:**

### **Item No. 1**

**Revision in the remuneration and perquisite of Mr. Pratik M Doshi, Chairman and Managing Director of the Company and in this regard, to consider and if thought fit, to pass the following resolution as a Special Resolution:**

**“RESOLVED THAT** in terms of provisions contained in Sections 196, 197, 198, Schedule V and other applicable provisions, if any, of the Companies Act, 2013 (the “Act”) and the Rules made thereunder, provisions of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, including any statutory modifications or re-enactment thereof, for the time being in force, and the Memorandum of Association and Articles of Association of the Company and further to the special resolutions passed by the members of the Company at the Annual General Meetings (AGM) held on 20<sup>th</sup> June, 2022 and 7<sup>th</sup> June, 2024 and subject to such other approvals as may be necessary, approval of the members of the Company be and is hereby accorded for revision in payment of remuneration and perquisites to Mr. Pratik M Doshi (DIN: 00131122), Chairman and Managing Director, as set out in the Explanatory Statement of Item No. 1, from the financial year 2024-25 till his tenure of appointment i.e. 25<sup>th</sup> October 2025.

**RESOLVED FURTHER THAT** the terms of remuneration and perquisites as set out in the Explanatory Statement of this Resolution shall be deemed to form part hereof and notwithstanding the fact that such remuneration may exceed 5% (five percent) of the net profit of the Company for each financial year or part thereof being the limit specified under Section 197 and / or the limits specified in Schedule V of the Companies Act, 2013, in case of inadequacy or absence of profits during any financial year, the remuneration comprising salary, perquisites and benefits as approved herein shall nevertheless be paid to Mr. Pratik M Doshi without any further approval from the members of the Company.

**RESOLVED FURTHER THAT** save and except as aforesaid, all the disclosures, information provided and all other existing terms and conditions of appointment of Mr. Pratik M Doshi passed at the AGM held on 20<sup>th</sup> June, 2022 and 7<sup>th</sup> June, 2024 shall continue to remain in full force and effect.

**RESOLVED FURTHER THAT** the Board of Directors (which will include its committee thereof) be and is hereby authorized to vary and /or revise the remuneration of Mr. Pratik M Doshi and do all such acts, deeds and things and execute all such documents, instruments and writings as may be required to give effect to the aforesaid resolution and to do all such acts, deeds, matters and things as may be necessary or expedient to give effect to this resolution and matters incidental thereto.”

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CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

Place: Mumbai  
Date: November 14, 2024

**By order of the Board  
For LKP Securities Limited**

**Sd/-  
Sahil Gurav  
Company Secretary  
Membership No. A65385**

**REGISTERED OFFICE:**  
203 Embassy Centre,  
Nariman Point, Mumbai 400021

**NOTES:**

1. The Explanatory Statement pursuant to Section 102 read with Section 110 and other applicable provisions, if any of the Act read with the rules framed thereunder concerning the special business as set out above is annexed hereto and forms part of this Notice.
2. In terms of Section 110 of the Act read with Rule 20 and Rule 22 of Rules, the business set out in the notice above is set to be passed through postal ballot, by way of remote e-voting process.
3. The postal ballot notice is being sent only by email to those members who have registered their email address with their Depository Participant(s) (DPs) or with Adroit Corporate Services Private Limited (RTA) and whose name appear in the register of members/ list of beneficial owners as received from RTA or Depositories i.e. NSDL or CDSL as on **Friday, November 15, 2024 (Cut off date)** in accordance with the provisions of the Act, read with Rules made thereunder and MCA circulars.
4. In terms of Sections 108, 110 and other applicable provisions of the Act as amended, read with Rules 20 and 22 of the Rules and in compliance with Regulation 44 of the SEBI Listing Regulations as amended from time to time and the MCA Circulars, the Company is pleased to offer e-voting facility to all the members of the Company. The Company has appointed Central Depository Services (India) Limited (CDSL) for facilitating e-voting to enable the members to cast their votes electronically.
5. The Notice of Postal Ballot is also placed on the website of the Company i.e. [www.lkpsec.com](http://www.lkpsec.com) and the website of CDSL i.e. [www.evotingindia.com](http://www.evotingindia.com) and at the relevant sections of the websites of the stock exchange on which the shares of the Company are listed i.e. BSE Limited ([www.bseindia.com](http://www.bseindia.com)).
6. The proposed resolution, if approved by the requisite majority of members by means of Postal Ballot i.e. remote e-voting, shall be deemed to have been duly passed on the last date specified by the Company for e-voting i.e. **Thursday, December 19, 2024**.
7. The members whose e-mail addresses are not registered with the Company/ Depository/ RTA, are requested to get the same registered/ updated. The member holdings shares in dematerialised form can get their email address registered by contacting their respective DP and the members holding shares in physical form may register their email address and mobile number with RTA by updating their KYC details.
8. The SEBI has vide its circular SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 3, 2021 read with SEBI Circular SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/687 dated December 14,

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2021 and SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023 mandated furnishing of PAN, KYC and Nomination by Members holding shares in physical form. In view of the same, it may be noted that any service request can be processed only after the folio is PAN, KYC and Nomination compliant. The concerned members are requested to furnish the requisite documents/information at the earliest. Non-availability of the same on or after April 1, 2023 have result in freezing of the folios of such members pursuant to the aforesaid SEBI circular. These frozen folios will be referred by the RTA/ Company to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and/ or Prevention of Money Laundering Act, 2002, after December 31, 2025. Further, the SEBI has vide its circulars dated January 24, 2022 and January 25, 2022 mandated listed companies to issue securities in demat form only while processing service requests viz. Issue of duplicate securities certificate, claim from Unclaimed Suspense Account, Renewal/ Exchange of securities certificate, endorsement, subdivision/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition. Accordingly, Members are requested to make service requests in prescribed.

9. All documents referred to in this Notice of Postal Ballot will also be available electronically for inspection without any fee by the members from the date of circulation of this Notice of Postal Ballot up to the closure of the remote e-voting period. Members seeking to inspect such documents can send an email to [ho\\_compliance@lkpsec.com](mailto:ho_compliance@lkpsec.com) from their registered email address..
10. The e voting period shall commence on **Wednesday, November 20, 2024, 9.00 a.m. (IST) and end on Thursday, December 19, 2024, 5.00 p.m. (IST)** During this period, members holding shares either in physical or dematerialized forum, as on cut-off date, i.e. **Friday, November 15, 2024** may cast their votes electronically. The e voting module will be disabled for voting thereafter. The voting rights of members shall be proportionate to their share of the paid-up equity share capital of the Company as on the cut-off date. Once the vote on a resolution is cast by the member, the member shall not be allowed to change it subsequently.
11. The instructions and other information relating to e-voting is as under:

**THE INSTRUCTIONS OF SHAREHOLDERS FOR REMOTE E-VOTING:**

**Step 1** :Access through Depositories CDSL/NSDL e-Voting system in case of individual shareholders holding shares in demat mode.

**Step 2** : Access through CDSL e-Voting system in case of shareholders holding shares in physical mode and non-individual shareholders in demat mode.

- (i) The voting period begins on **Wednesday, November 20, 2024, 9.00 a.m. (IST) and ends on Thursday, December 19, 2024, 5.00 p.m.** During this period shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date **Friday, November 15, 2024** may cast their vote electronically. The e-voting module shall be disabled by CDSL for voting thereafter.
- (ii) Pursuant to SEBI Circular No. **SEBI/HO/CFD/CMD/CIR/P/2020/242 dated 09.12.2020**, under Regulation 44 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, listed entities are required to provide remote e-voting facility to its shareholders, in respect of all shareholders' resolutions. However, it has been observed that the participation by the public non-institutional shareholders /retail shareholders is at a negligible level.

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Currently, there are multiple e-voting service providers (ESPs) providing e-voting facility to listed entities in India. This necessitates registration on various ESPs and maintenance of multiple user IDs and passwords by the shareholders.

In order to increase the efficiency of the voting process, pursuant to a public consultation, it has been decided to enable e-voting to **all the demat account holders, by way of a single login credential, through their demat accounts/ websites of Depositories/ Depository Participants**. Demat account holders would be able to cast their vote without having to register again with the ESPs, thereby, not only facilitating seamless authentication but also enhancing ease and convenience of participating in e-voting process.

**Step 1** :Access through Depositories CDSL/NSDL e-Voting system in case of individual shareholders holding shares in demat mode.

- (iii) In terms of **SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/242 dated December 9, 2020** on e-Voting facility provided by Listed Companies, Individual shareholders holding securities in demat mode are allowed to vote through their demat account maintained with Depositories and Depository Participants. Shareholders are advised to update their mobile number and email Id in their demat accounts in order to access e-Voting facility.

Pursuant to abovesaid SEBI Circular, Login method for e-Voting **for Individual shareholders holding securities in Demat mode CDSL/NSDL** is given below:

Type of shareholders	Login Method
Individual Shareholders holding securities in Demat mode with <b>CDSL Depository</b>	<ol style="list-style-type: none"> <li>1) Users who have opted for CDSL Easi / Easiest facility, can login through their existing user id and password. Option will be made available to reach e-Voting page without any further authentication. The users to login to Easi / Easiest are requested to visit cdsl website <a href="http://www.cdslindia.com">www.cdslindia.com</a> and click on login icon &amp; New System Myeasi Tab.</li> <li>2) After successful login the Easi / Easiest user will be able to see the e-Voting option for eligible companies where the evoting is in progress as per the information provided by company. On clicking the evoting option, the user will be able to see e-Voting page of the e-Voting service provider for casting your vote during the remote e-Voting period or joining virtual meeting &amp; voting during the meeting. Additionally, there is also links provided to access the system of all e-Voting Service Providers, so that the user can visit the e-Voting service providers' website directly.</li> <li>3) If the user is not registered for Easi/Easiest, option to register is available at cdsl website <a href="http://www.cdslindia.com">www.cdslindia.com</a> and click on login &amp; New System Myeasi Tab and then click on registration option.</li> <li>4) Alternatively, the user can directly access e-Voting page by providing Demat Account Number and PAN No. from a e-Voting link available on <a href="http://www.cdslindia.com">www.cdslindia.com</a> home page. The system will authenticate the user by sending OTP on registered Mobile &amp; Email as recorded in the Demat Account. After successful authentication, user will be able to see the e-Voting option where the evoting is in progress and also able to directly access the system of all e-Voting Service Providers.</li> </ol>

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<p>Individual Shareholders holding securities in demat mode with <b>NSDL Depository</b></p>	<ol style="list-style-type: none"> <li>1) If you are already registered for NSDL IDeAS facility, please visit the e-Services website of NSDL. Open web browser by typing the following URL: <a href="https://eservices.nsdl.com">https://eservices.nsdl.com</a> either on a Personal Computer or on a mobile. Once the home page of e-Services is launched, click on the “Beneficial Owner” icon under “Login” which is available under ‘IDeAS’ section. A new screen will open. You will have to enter your User ID and Password. After successful authentication, you will be able to see e-Voting services. Click on “Access to e-Voting” under e-Voting services and you will be able to see e-Voting page. Click on company name or e-Voting service provider name and you will be re-directed to e-Voting service provider website for casting your vote during the remote e-Voting period.</li> <li>2) If the user is not registered for IDeAS e-Services, option to register is available at <a href="https://eservices.nsdl.com">https://eservices.nsdl.com</a>. Select “Register Online for IDeAS “Portal or click at <a href="https://eservices.nsdl.com/SecureWeb/IdeasDirectReg.jsp">https://eservices.nsdl.com/SecureWeb/IdeasDirectReg.jsp</a></li> <li>3) Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <a href="https://www.evoting.nsdl.com/">https://www.evoting.nsdl.com/</a> either on a Personal Computer or on a mobile. Once the home page of e-Voting system is launched, click on the icon “Login” which is available under ‘Shareholder/Member’ section. A new screen will open. You will have to enter your User ID (i.e. your sixteen digit demat account number hold with NSDL), Password/OTP and a Verification Code as shown on the screen. After successful authentication, you will be redirected to NSDL Depository site wherein you can see e-Voting page. Click on company name or e-Voting service provider name and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Voting period.</li> </ol>
<p>Individual Shareholders (holding securities in demat mode) login through their <b>Depository Participants (DP)</b></p>	<p>You can also login using the login credentials of your demat account through your Depository Participant registered with NSDL/CDSL for e-Voting facility. After Successful login, you will be able to see e-Voting option. Once you click on e-Voting option, you will be redirected to NSDL/CDSL Depository site after successful authentication, wherein you can see e-Voting feature. Click on company name or e-Voting service provider name and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Voting period.</p>

**Important note:** Members who are unable to retrieve User ID/ Password are advised to use Forget User ID and Forget Password option available at abovementioned website.

**Helpdesk for Individual Shareholders holding securities in demat mode for any technical issues related to login through Depository i.e. CDSL and NSDL**

Login type	Helpdesk details
Individual Shareholders holding securities in Demat mode with <b>CDSL</b>	Members facing any technical issue in login can contact CDSL helpdesk by sending a request at <a href="mailto:helpdesk.evoting@cdslindia.com">helpdesk.evoting@cdslindia.com</a> or contact at toll free no. 1800 21 09911
Individual Shareholders holding securities in Demat mode with <b>NSDL</b>	Members facing any technical issue in login can contact NSDL helpdesk by sending a request at <a href="mailto:evoting@nsdl.co.in">evoting@nsdl.co.in</a> or call at toll free no.: 022-4886 7000 and 022-2499 7000

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**Step 2** : Access through CDSL e-Voting system in case of shareholders holding shares in physical mode and non-individual shareholders in demat mode.

(iv) Login method for Remote e-Voting for **Physical shareholders and shareholders other than individual holding in Demat form.**

- 1) The shareholders should log on to the e-voting website [www.evotingindia.com](http://www.evotingindia.com).
- 2) Click on “Shareholders” module.
- 3) Now enter your User ID
  - a. For CDSL: 16 digits beneficiary ID,
  - b. For NSDL: 8 Character DP ID followed by 8 Digits Client ID,
  - c. Shareholders holding shares in Physical Form should enter Folio Number registered with the Company.
- 4) Next enter the Image Verification as displayed and Click on Login.
- 5) If you are holding shares in demat form and had logged on to [www.evotingindia.com](http://www.evotingindia.com) and voted on an earlier e-voting of any company, then your existing password is to be used.
- 6) If you are a first-time user follow the steps given below:

	<b>For Physical shareholders and other than individual shareholders holding shares in Demat.</b>
PAN	Enter your 10 digit alpha-numeric *PAN issued by Income Tax Department (Applicable for both demat shareholders as well as physical shareholders) <ul style="list-style-type: none"> <li>• Shareholders who have not updated their PAN with the Company/Depository Participant are requested to use the sequence number sent by Company/RTA or contact Company/RTA.</li> </ul>
Dividend Bank Details <b>OR</b> Date of Birth (DOB)	Enter the Dividend Bank Details or Date of Birth (in dd/mm/yyyy format) as recorded in your demat account or in the company records in order to login. <ul style="list-style-type: none"> <li>• If both the details are not recorded with the depository or company, please enter the member id / folio number in the Dividend Bank details field.</li> </ul>

- (v) After entering these details appropriately, click on “SUBMIT” tab.
- (vi) Shareholders holding shares in physical form will then directly reach the Company selection screen. However, shareholders holding shares in demat form will now reach ‘Password Creation’ menu wherein they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by the demat holders for voting for resolutions of any other company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.
- (vii) For shareholders holding shares in physical form, the details can be used only for e-voting on the resolution contained in this Notice.

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 CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ



- (viii) Click on the EVSN for the relevant Company on which you choose to vote.
- (ix) On the voting page, you will see “RESOLUTION DESCRIPTION” and against the same the option “YES/NO” for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.
- (x) Click on the “RESOLUTIONS FILE LINK” if you wish to view the entire Resolution details.
- (xi) After selecting the resolution, you have decided to vote on, click on “SUBMIT”. A confirmation box will be displayed. If you wish to confirm your vote, click on “OK”, else to change your vote, click on “CANCEL” and accordingly modify your vote.
- (xii) Once you “CONFIRM” your vote on the resolution, you will not be allowed to modify your vote.
- (xiii) You can also take a print of the votes cast by clicking on “Click here to print” option on the Voting page.
- (xiv) If a demat account holder has forgotten the login password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.
- (xv) There is also an optional provision to upload BR/POA if any uploaded, which will be made available to scrutinizer for verification.
- (xvi) **Additional Facility for Non – Individual Shareholders and Custodians –For Remote Voting only.**
- Non-Individual shareholders (i.e. other than Individuals, HUF, NRI etc.) and Custodians are required to log on to [www.evotingindia.com](http://www.evotingindia.com) and register themselves in the “Corporates” module.
  - A scanned copy of the Registration Form bearing the stamp and sign of the entity should be emailed to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com).
  - After receiving the login details a Compliance User should be created using the admin login and password. The Compliance User would be able to link the account(s) for which they wish to vote on.
  - The list of accounts linked in the login will be mapped automatically & can be delink in case of any wrong mapping.
  - It is Mandatory that, a scanned copy of the Board Resolution and Power of Attorney (POA) which they have issued in favour of the Custodian, if any, should be uploaded in PDF format in the system for the scrutinizer to verify the same.
  - Alternatively Non Individual shareholders are required mandatory to send the relevant Board Resolution/ Authority letter etc. together with attested specimen signature of the duly authorized signatory who are authorized to vote, to the Scrutinizer and to the Company at the email address viz; [njawsa@yahoo.co.in](mailto:njawsa@yahoo.co.in) (designated email address by company), if they have voted from individual tab & not uploaded same in the CDSL e-voting system for the scrutinizer to verify the same.

**PROCESS FOR THOSE SHAREHOLDERS WHOSE EMAIL/MOBILE NO. ARE NOT REGISTERED WITH THE COMPANY/DEPOSITORIES.**

1. For Physical shareholders - please provide necessary details like Folio No., Name of shareholder, scanned copy of the share certificate (front and back), PAN (self attested scanned copy of PAN card), AADHAR (self attested scanned copy of Aadhar Card) by email to **Company/RTA email id**.

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2. For Demat shareholders -, Please update your email id & mobile no. with your respective Depository Participant (DP)

3. For Individual Demat shareholders – Please update your email id & mobile no. with your respective Depository Participant (DP) which is mandatory while e-Voting & joining virtual meetings through Depository.

If you have any queries or issues regarding e-Voting from the CDSL e-Voting System, you can write an email to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com) or contact at toll free no. 1800 21 09911

All grievances connected with the facility for voting by electronic means may be addressed to Mr. Rakesh Dalvi, Sr. Manager, (CDSL) Central Depository Services (India) Limited, A Wing, 25th Floor, Marathon Futurex, Mafatlal Mill Compounds, N M Joshi Marg, Lower Parel (East), Mumbai - 400013 or send an email to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com) or call at toll free no. 1800 21 09911.

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**STATEMENT SETTING OUT THE MATERIAL FACTS AS REQUIRED UNDER SECTION 102 OF THE COMPANIES ACT, 2013**

**Item No. 1**

The members of the Company had, at the 28<sup>th</sup> Annual General Meeting (“AGM”) held on 20<sup>th</sup> June, 2022 passed a special resolution for approval of re-appointment of Mr. Pratik M Doshi, as the Chairman and Managing Director of the Company for a period of 3 years from 26<sup>th</sup> October, 2022 to 25<sup>th</sup> October, 2025 including remuneration to be paid to him. Thereafter, members of the Company, at the 30<sup>th</sup> Annual General Meeting held on 7<sup>th</sup> June 2024, approved revision in the remuneration and perquisites to be paid to Mr. Pratik M Doshi from the financial year 2024-25 till the end of his tenure i.e. 25<sup>th</sup> October, 2025.

It is now proposed to provide a rent-free residential accommodation (furnished or otherwise) wherein the Company shall bear the cost for the said accommodation along with its ancillary cost including but not limited to repairs, maintenance, society charges and utilities (e.g. gas, electricity and water charges) as a perquisite to Mr. Pratik M Doshi.

All other existing terms and conditions of appointment of Mr. Pratik M Doshi passed at the AGM on 20<sup>th</sup> June, 2022 and 7<sup>th</sup> June, 2024 shall continue to remain in full force.

The revision in the remuneration/perquisites is recommended by the Nomination and Remuneration Committee, Audit Committee and approved by the Board of Directors as per Section 197 and 198 and Schedule V of the Companies Act, 2013, rules made thereunder at their respective meetings held on 14<sup>th</sup> November, 2024.

Further, the remuneration comprising salary, perquisites and benefits as approved herein shall nevertheless be paid to Mr. Pratik M Doshi without any further approval from members of the Company, notwithstanding the fact that such remuneration and perquisites may exceed 5% (five percent) of the net profit of the Company for each financial year or part thereof being the limit specified under Section 197 and / or the limits specified in Schedule V of the Companies Act, 2013, in case of inadequacy or absence of profits during any financial year.

The revised remuneration and perquisites will be as under:

***Remuneration:***

*Minimum salary of Rs. 11 lakhs per month and annual increments, as may be decided by the Board of Directors of the Company on the recommendation of the Nomination and Remuneration Committee or any other Committee constituted by it from time to time. He would be allowed to avail / encash the benefits in accordance with the schemes and rules of the Company for its staff as applicable from time to time.*

***Perquisites:***

- a. ***Rent-free accommodation:*** *Rent-free residential accommodation (furnished or otherwise) wherein the Company shall bear the cost for the said accommodation along with its ancillary cost including but not limited to repairs, maintenance, society charges and utilities (e.g. gas, electricity and water charges).*
- b. ***Other allowance:*** *Subject to overall ceiling of Rs. 1.50 lakhs per month, the Managing Director shall have liberty to opt for such allowances, perquisites and incentive as he deems fit including but not limited to leave travel concession for self and family, club fees, use of Company cars and such other allowances,*

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benefits, amenities and facilities, etc., as per the Company's Rules or as may be agreed to between the Board and the Managing Director.

- c. **Medical Expenses** incurred by him for self and family to be reimbursed at actuals and as per policy of the Company.

**Exempted perquisite:** in addition to the perquisites referred above, he will also be eligible to the following perquisites, which shall not be included in the computation of the ceiling on remuneration.

- Contribution to provident fund, superannuation fund or annuity fund to the extent these either singly or put together are not taxable under the Income-tax Act, 1961;
- Gratuity payable at a rate not exceeding half a month's salary for each completed year of service;
- Reimbursement of expenses incurred for the business of the Company.

**Commission:**

In addition to the aforesaid limits for the salary and perquisites, Mr. Pratik M. Doshi shall be entitled to receive commission on net profit. The commission payable to him will be determined by the Board for each financial year nevertheless of the fact that the overall remuneration including commission to him may exceed 5% of the net profit of the Company for each financial year or part thereof to be computed in the manner referred to under Section 197 of the Companies Act, 2013 and other applicable provisions thereof, or any statutory modification(s) or re-enactment thereof.

Subject to the applicable provisions, perquisites and allowances shall be evaluated as per Income Tax Rules, 1962 wherever applicable and at cost, in the absence of any such Rule.

**STATEMENT PURSUANT TO POINT (iv) OF PROVISO OF SECTION II OF PART II OF SCHEDULE V OF THE COMPANIES ACT, 2013**

Information / Disclosure as required under Schedule V to the Companies Act, 2013 is given hereunder:

**I. General Information**

1. **Nature of Industry:** The Company is engaged in Securities Broking business and operates on PAN India basis through its various franchises and branches.
2. **Date or Expected Date of Commercial Production:** August 03, 1994
3. **In case of new companies, expected date of commencement of activities as per project approved by financial institutions appearing in the prospectus:** Not Applicable
4. **Standalone financial performance of the Company based on given indicators:**

(Rs. in lakhs)

Particulars	March 31, 2024	March 31, 2023
Paid-up Share Capital	1633.43	1567.72
Total Income	9727.84	7789.64
Profit / (Loss) before tax	1323.71	444.41

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Particulars	March 31, 2024	March 31, 2023
Net Profit / (Loss) after tax	949.92	316.44
EPS - Basic and Diluted (in Rs.)	1.19	0.42

5. **Foreign Investments or collaborations, if any:** Not Applicable

**II. Information about the Appointee:**

1. **Background details:**

Mr. Pratik M. Doshi has made significant contribution in various areas of distribution of financial products, wealth management service, investment advisory, strategic financial partners for third party products. Under his leadership the Company has also launched On-line trading application for scalability of the broking business. His strategic leadership skills and ability to deliver results are among the key drivers.

2. **Recognition and Awards:** None

3. **Job Profile and his suitability:**

Mr. Pratik M. Doshi has been entrusted with substantial powers of management subject to the supervision of the Board of Directors. He shall be carrying out his duties as may be entrusted by the Board of Directors and exercise all such powers as are required for managing the affairs of the Company from time to time.

4. **Past Remuneration:** During the year 2023-24, Mr. Pratik M. Doshi was paid a remuneration of Rs. 72.76 Lakhs from the Company

5. **Remuneration Proposed:** As mentioned above.

6. **Comparative remuneration profile with respect to industry, size of company, profile of the position and person (in case of expatriates the relevant details would be with respect to the country of his origin):** Taking into consideration the size of the Company, the profile, knowledge, skills and responsibilities shouldered by Mr. Pratik M. Doshi, the remuneration proposed to be paid is commensurate with the remuneration packages paid to his similar counterparts in other companies.

7. **Pecuniary relationship directly or indirectly with the Company, or relationship with the managerial personnel(s), if any:** Mr. Pratik M. Doshi is son of Mr. Mahendra V. Doshi (Non-Executive Director) and a part of Promoters / Promoter Group, which holds in aggregate 71.60% (as on March 31, 2024) of the total equity share capital of the Company. Besides the present and proposed remuneration he does not have any other pecuniary relationship with the Company or the managerial personnel(s).

**III. Other Information:**

1. **Reasons of Loss or inadequate profits:** The Company has been profitable in the last couple of years and the disclosure is made in case of an eventuality of loss or inadequate profit in a financial year. The remuneration is in line with the Industrial Standards for managerial personnel falling under the same cadre..

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2. **Steps taken or proposed to be taken for improvement:** The management is exploring avenues to increase turnover and profitability of the Company.
3. **Expected increase in productivity and profits in measurable terms:** The Company is committed to build the business operations within budget and considering that the business operates on going concern basis, it is believed that financial position of the Company will further improve in near future.

**IV. Disclosures:**

The required disclosures are mentioned in the Annual Report for the year 2023-24.

The employment contract of Mr. Pratik M. Doshi, as Managing Director setting out terms and conditions is available for inspection by members and the members seeking to inspect such documents can send an email to [ho\\_compliance@lkpsec.com](mailto:ho_compliance@lkpsec.com).

Mr. Pratik M. Doshi and Mr. Mahendra V. Doshi shall be deemed to be concerned or interested in the resolution to the extent of terms of appointment / remuneration and to the extent of their shareholding in the Company. None of the other Directors, Key Managerial Personnel(s) of the Company and their respective relatives are concerned or interested, financially or otherwise, in the passing of the proposed Resolution.

The Board of Directors recommend the passing of the resolution set out in Item No. 1 of the accompanying Notice as a Special Resolution.

**By order of the Board  
For LKP Securities Limited**

**Sd/-  
Sahil Gurav  
Company Secretary  
Membership No. A65385**

**Place: Mumbai  
Date: November 14, 2024**

**REGISTERED OFFICE:**  
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Nariman Point, Mumbai 400021

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