



Thirdwave Financial Intermediaries Limited

Date: 11th October, 2024

To,
The Deputy General Manager,
Listing Compliance Monitoring Cell,
24th Floor, P.J. Towers,
Dalal Street,
Mumbai - 400 001.

Scrip Code: 531652

ISIN: INE528C01018

Sub: Certificate under Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, for the quarter ended 30th September, 2024.

Dear Sir/Madam,

Pursuant to Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, please find enclosed herewith a copy of certificate as received from M/s. Niche Technologies Private Limited, the Registrar and Share Transfer Agent of the Company for the quarter ended 30th September, 2024.

This is for your information and record.

Thanking you,

Yours Faithfully,

For **Thirdwave Financial Intermediaries Limited,**

Utkarsh Vartak
Director
DIN: 09306253

Enclosure: as above

Regd. Office : Plot No. 62, Tower - II, 12th Floor, Salt Lake, Millennium City Information Technology Park, Sector- V, Block DN, Bidhannagar, Kolkata, West Bengal, 700064.

Corp. Office : Office No 1205 Plot No 14 REMI Commercio, Near Yash Raj Studio Off Versova Road Andheri West, Andheri, Mumbai, Maharashtra, India, 400053

Tel : +91 9867344706 / www.twfil.com : investor.thirdwave@gmail.com : CIN:L15100WB1989PLC046886



NICHE TECHNOLOGIES PRIVATE LIMITED

(An ISO 9001:2015 Certified Company)

(Registrars & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: (033) 2280 6616 / 6617 / 6618 Fax: (033) 2280 6619

E-Mail: nichetechpl@nichetechpl.com Website: <https://www.nichetechpl.com>

Date: 03.10.2024

To

Thirdwave Financial Intermediaries Ltd.
Plot No. 62, Tower - II, 12th Floor, Salt Lake,
Millennium City Information Technology Park, Sector- V,
Block DN, Bidhannagar,
Kolkata, West Bengal, 700064

Dear Sir / Madam

Sub.: Confirmation Certificate in the matter of Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the Quarter Ended 30th September, 2024

In reference to the above captioned subject, we as RTA of your company hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September, 2024, were confirmed (accepted/rejected) to the depositories and that securities comprised in the said certificate have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification and the name of the depository have been substituted in the register of members of the company as the registered owner.

**For Niche Technologies Pvt. Ltd.
(Registrar & Share Transfer Agent)**

**(Ashok Sen)
Manager – EDP**