



# DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001

Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in

CIN: L67120GJ1992PLC121278

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Date: 01<sup>st</sup> January, 2025

To,

**Listing Department  
National Stock Exchange of India Ltd.,  
Exchange Plaza Plot No. C/1, G Block  
Bandra Kurla Complex Bandra (E)  
Mumbai - 400051**

**Department of Corporate Services  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400001**

**NSE Symbol: DBSTOCKBRO**

**BSE Scrip Code: 530393**

Dear Sir/Ma'am,

**Ref: Imposition of penalty by National Stock Exchange of India Limited ("NSE")**

**Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), read with Para A of Part A to Schedule III of the SEBI Listing Regulations, we hereby bring into your kind notice that National Stock Exchange of India Limited ("NSE") has imposed monetary penalty of ₹ 2,11,597/-

Details of the Order have been provided in "*Annexure I*" enclosed herewith in compliance with Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking You,

**For and on behalf of  
DB (International) Stock Brokers Limited**

**Prachi Sharma  
Company Secretary  
Membership No.: A71389**

**Encl: as above**



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## Annexure-I

Sr. No.	Particulars	Remarks
1.	Name of the Authority	National Stock Exchange of India Limited (“NSE”)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Imposition of Monetary penalty of ₹ 2,11,597/-
3.	Date of receipt of the order	31 <sup>st</sup> December, 2024
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed;	Non Submission of Segregation of Client Funds.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Monetary penalty of ₹ 2,11,597/-. There is no other impact on the financial, operations or other activities of the Company.