



Ref: MBFSL/BSE/2024-25/12

24th June 2024

To,
BSE Limited
Phiroze Jeebhoy Towers,
Dalal Street,
Mumbai – 400 001

Dear Sir/Madam,

Sub: Intimation of Loss of Share Certificate under Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Security Code: 530341

ISIN: INE596B01017

Pursuant to Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the intimation received from the Company's Registrar and Share Transfer Agent ("RTA") LinkIntime India Private Limited on June 23, 2024, we would like to inform that the Company has received a letter from Mr. Pankaj S. Shah (Folio No. 0001875)-shareholder regarding loss of share certificate(s) having distinctive no. 5760601 to 5760800 with a request for issue of duplicate share certificate(s) in lieu thereof.

Pursuant to SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022, the Company/Registrar and Share Transfer Agent shall issue a 'Letter of Confirmation' to the shareholder/rightful owner after completion of required formalities.

This is for the information of the exchange and the members.

Thanking you,

Yours truly,

For MUKESH BABU FINANCIAL SERVICES LIMITED

Nupur Chaturvedi
Company Secretary, Group Head- Legal & Compliance
ACS 30139

Encl.: Email Received from RTA

From: instamisreports@linkintime.co.in
Sent: 23 June 2024 04:00
To: info@mukeshbabu.com; mahesh@mukeshbabu.com; nupur@mukeshbabu.com
Cc: manohar.shirwadkar@linkintime.co.in
Subject: Stop Transfer Intimation under Regulation 39(3) of SEBI LODR (2015)
Attachments: ID1375.pdf

Dear Team,

As per the Regulation 39(3) of SEBI (Listing obligations and disclosure requirement), Regulation 2015, we are sending herewith information pertaining to Stop Transfer which we have already noted in our database. Please find attached letter received from the Investor.

Client Name : Mukesh Babu Financial Services Limited

Stop Transfer Date	Folio No	Name	Certificate No.	Distinctive No.	No. of Shares	Reason
22 Jun 2024	0001875	PANKAJ S.SHAH	57607	5760601 - 5760700	100	Lost By Holder
22 Jun 2024	0001875	PANKAJ S.SHAH	57608	5760701 - 5760800	100	Lost By Holder

Regards
Link Intime India Pvt Ltd.

This is an auto generated report.



TO LINKINTIME
FROM: 411190 Date - 21/6/2024.
PANKAJ SHANTILAL SHAH.

301; Shaco APT., Kripunagar lane.
Jru - Vile - Parle (W).
MUMBAI 400056.

To,

Mukesh Babu Financial Services Ltd.

Dear sirs,

with ref. to our shares of
folio no. 0001875, we request
you to send us the procedure
for a REGISTER our shares in
demat account.

Attached herewith death
certificate of Niroj Y. Shah.

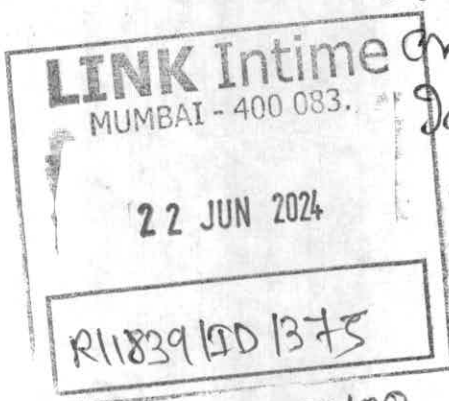
Kindly send the procedure
to my email address
shah.diamond@gmail.com.

Thanking you

Mah

PANKAJ SHAH.

Encl:- 1) Death Certificate
2) KYC status.



081414

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RECEIVED

21 JUN 2024

LINK INTIME INDIA PVT. LTD.

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KYC STATUS

Date : 22/05/2024

Serial Number : G0841-AH620

Reference Number : 170105

Name of Company : **Mukesh Babu Financial Services Limited**
 Name of the Holder/s : **PANKAJ S.SHAH**
 Folio No. : **00XXX75**

#	KYC Details	Form No.	Status	Requirements/Comments
1	Email-ID	ISR-1	Accepted	The email id of the sole/first holder has been recorded.
2	Mobile Number		Accepted	The contact number of the sole/first holder has been recorded.
3	PAN - Holder		Accepted	The PAN of the holder has been recorded.
4	PAN - Joint Holder (1)		Rejected	The PAN of the holder could not be recorded. The following are required to facilitate recording of PAN: 1) Signature of the holder(s) on Form ISR-1 must tally with the recorded one OR Form ISR-2 is to be submitted complete in all respects. (Please take action as per Point 8 in case Signature has been indicated as Rejected). 2) Copies of the PAN Cards of all the holders, duly self attested. 3) Names on PAN Card copies to be the same as names of holders recorded for the Folio. 4) Date of birth on PAN and other supporting documents of the respective holder to be the same.
5	PAN - Joint Holder (2)		NA	NA
6	PAN - Joint Holder (3)		NA	NA
7	Bank Details		Accepted	The bank details of the sole/first holder have been recorded.
8	Signature	ISR-2	Accepted	The fresh Signature(s) of the holder(s) has been recorded as per Form ISR-2 submitted OR The Signature(s) of the holder(s) tallies with the specimen signature(s) recorded with the Company.
9	Nomination	SH-13	NA	NA
10	Nomination - Opting-out	ISR-3	Accepted	The request for opting out of Nomination has been noted as per ISR-3 Form submitted.
11	Nomination - Cancellation or Change	SH-14	NA	NA

GENERAL INSTRUCTIONS regarding:

A. Original Cancelled cheque leaf being submitted: Name of the sole/first holder should be printed thereon and the name should be the same as recorded for the Folio. In case the name is not printed, a copy of the first page of bank passbook / latest copy of the statement attested by the bank should be

submitted.

B. Bank Attestation on Form ISR-2: Attestation to mention the Name of the Bank Manager, his Employee Code, Email id, Mobile/Telephone no. with date of attestation as well as Bank Manager's Signature and Bank Seal.

C. Name Mismatch: In case of mismatch in name(s) of the holder(s) mentioned in Form ISR-2 / supporting documents, a self attested copy of any one of the following documents bearing the name(s) as recorded for the Folio should be submitted, as per SEBI Circular dated 03/11/2021, explaining the difference in names:

- Valid Passport • Aadhaar Card • Driving License in Smart card/Book form/copy of Digital form
- PAN Card with photograph • Identity card or document with holder's photo, issued by Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector undertakings, Scheduled Commercial Banks, Public Financial Institution • Marriage Certificate • Divorce decree.

D. Change of Address proof: In case address of the sole/first holder on the Form ISR-2 differs, a self attested copy of any one of the following documents should be submitted:

- Client Master List (CML) of Demat Account, provided by DP.
- Valid Passport / Registered Lease of Sale Agreement of Residence / Driving License.
- Utility Bills like Telephone Bill (only land line), Electricity Bill or Gas Bill – not more than 3 months old.
- Identity card or document with applicant's photo, issued by Central / State Governments and its Departments, Statutory / Regulatory Authorities, Public Sector undertakings, Scheduled Commercial Banks, Public Financial Institution.
- Flat Maintenance Bill along with additional self attested copy of Identity Proof of the holder/claimant.

IMPORTANT NOTES:

The current status of each KYC detail is indicated in the table above as Accepted or Rejected.

The Folio will be treated as **KYC compliant**, subject to verification of PAN with Income Tax Authorities, where all KYC Details have been indicated as **Accepted**. Requirement of linking PAN with Aadhaar number has been explained in our email.

Where some or all KYC details have been indicated as **Rejected**, the Folio remains **non KYC compliant**. Kindly submit fresh documents, as applicable, after complying with all indicated requirements/deficiencies.

- a. The set of requirements that have to be complied with in order to facilitate recording of each KYC detail has been mentioned in the last column of the table in this 'KYC Status' Letter.
- b. The fields which have not been filled in as also the deficiencies/requirements in the Forms have been circled in the soft copies of your documents attached. Please co-relate these, as well as the remarks wherever mentioned in the soft copies, with the requirements mentioned in the KYC Status Letter.
- c. The KYC serial number is to be mentioned on all fresh Forms being submitted.

क्रमांक
No. _____



गोंय सरकार
GOVERNMENT OF GOA
Mhapsa Municipal Council

नमुना-६
Form-6



मृत्यू प्रमाणपत्र
DEATH CERTIFICATE

(जल्म आनी मृत्यू नोंदणी अधिनेम, १९६९ च्या कलम १७ /कलम १२ आनी गोंय जल्म आनी मृत्यू नोंदणी (दुरुस्ती) नेम, २००७ च्या नेम ८/१३ खा ला जारी केला)

(Issued under Section 17/Section 12 of the Registration of Births and Deaths Act, 1969 and Rule 8/13 of the Goa Registration of the Birth and Deaths (Amendment) Rules, 2007.)

अशे प्रमाणित करता की सकयल दिल्ली माहिती ही मृत्यूच्या मुळ लेखातल्यान घेतल्या जी गोंय राज्याच्या North Goa जिल्हाच्या Tiswadi तहसील/ ता लुक्याच्या Goa Medical College, Bambolim रजिस्ट्रारत नोंद आसा.

This is to certify that the following information has been taken from the original record of death which is in the Register for Goa Medical College, Bambolim of tahsil/block Tiswadi of District North Goa of State Goa.

नांव/Name: NIRAJ YOGENDRA SHAH

लिंग/Sex: MALE UID No. of deceased: XXXX-XXXX-XXXX

मृत्यू तारीख/Date of Death: 03/02/2024

मृत्यू सुवात/Place of Death: GOA MEDICAL COLLEGE, BAMBOLIM, GOA

आवयचे नांव/Name of Mother: NIRAJ YOGENDRA SHAH

UID No. of Mother: XXXX-XXXX-XXXX

बापायचे नांव/Name of Father: YOGENDRA SHAH

UID No. of Father: XXXX-XXXX-XXXX

घोवाचे/बायलेचे नांव/Name of Husband/Wife: -

UID No. of Husband/Wife: XXXX-XXXX-XXXX

मर्ण आयिल्ल्या मनशाचो मृत्यू वेळार आशिल्लो पत्ता
Address of the deceased at the time of death

मर्ण आयिल्ल्या मनशाचो कायमचो पत्तो
Permanent address of the deceased

FLAT NO B2-F3 ELIZO APTS ACOI WODDO MAPUSA
BARDEZ NORTH GOA

नोंदणी क्रमांक/Registration No.: D/561/2024

नोंदणी तारीख/ Date of Registration: 07/02/2024

शेरो (कसलोय आसल्यार) / Remarks (if any) :

जारी करपाची तारीख/Date of issue: 23/02/2024

Sub-Registrar

प्राधिका-याची सय/ Sign Births & Deaths Authority:

प्राधिका-याचो पत्ता / Address of the issuing authority:



"Ensure registration of every birth and death/प्रत्येक जल्म वा मृत्यूची नोंदणी केल्या हाची खात्री करात"

टिप : मृत्यूच्या बाबतीत, रजिस्ट्रारत नोंद केल्ल्याप्रमाण मर्णाच्या कारणासंबंधी कसलीच माहिती उक्ती करपाक जायना उप-कलम १७(१) चो परतक पळ्यात

Note: In case of death, no disclosure shall be made of particulars regarding the cause of death as entered in the Register. See proviso to sub-sec17(1)

(All the entries should be in ENGLISH Only) / सगळ्यो नोंदी फक्त इंग्लिशितल्यानच करच्यो)