

# GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

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August 22, 2024

The Secretary  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor  
Plot No. C/1, G Block  
Bandra- Kurla Complex,  
Bandra (E)  
Mumbai 400 051

The Dy. General Manager  
Corporate Relationship Department  
BSE Ltd.  
1st Floor, New Trading Ring,  
Rotunda Building  
P.J. Towers, Dalal Street, Fort,  
Mumbai-400 001

**Symbol : GANGESSECU**

**Stock Code : 540647**

Dear Sir,

**Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')**

Pursuant to Regulation 30 of the Listing Regulations, we would like to inform that BSE Limited ("BSE") has vide its intimation dated August 21, 2024 (received by us at 7.32 pm), imposed a fine of Rs. 45,000/- (Rupees Forty Five Thousand) plus GST each, on the Company under Regulation 6(1) of the Listing Regulations for non-appointment of Compliance Officer (for the quarter ended June 2024). The Company wishes to clarify that this will not impact the financial, operational or other activities of the listed entity except to the extent of fine levied. Further, the Company is in the process of making an application for waiver of the said fine and remains committed to ensure optimum compliances of all applicable laws.

Yours faithfully,  
For **Ganges Securities Limited**

Vijaya Agarwala  
**Company Secretary**  
ACS 38658