

NWML/SEC/2025/86

October 8, 2024

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy
Tower, Dalal Street,
Mumbai - 400 001.
BSE Scrip Code: 543988

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai - 400 051.
NSE Symbol: NUVAMA

Dear Sir(s) / Madam(s),

Subject: - Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Please find enclosed certificate received from Link Intime India Private Limited, Registrar and Share Transfer Agent of the Company, for the quarter ended September 30, 2024. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Nuvama Wealth Management Limited

Sneha Patwardhan
Company Secretary and Compliance Officer

Encl: as above

Date : 03-10-2024

To,
The Compliance Officer/ Company Secretary
NUVAMA WEALTH MANAGEMENT LIMITED
801- 804, WING A, BUILDING NO. 3,
INSPIRE BKC, G BLOCK
BANDRA KURLA COMPLEX, BANDRA EAST
MUMBAI
MUMBAI
PINCODE : 400051

Sub : Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September 2024, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For **Link Intime India Pvt.Ltd.**



Ashok Shetty
Vice President-Corporate Registry