

27/12/2018

Listing Department
Bombay Stock Exchange Limited
P.J.Tower, Dalal Street,
Mumbai 400 001

Dear Sir,

Sub: Disclosure regarding the Postal ballot/ Evoting results and Proceedings /Outcome of the Postal Ballot process and pursuant to provision of Section 110 of the Companies Act, 2013 read with Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provision of Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, the Company had conducted the process of Postal Ballot for seeking approval of the shareholders for passing Special Resolutions for continuation of Mr. S. K. Saboo (DOB: 05.11.1942), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years with effect from 1st April, 2019 till the time he retires by rotation.

Further, pursuant to Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the result of the voting by Postal Ballot (including E-voting). We are also enclosing herewith Scrutinizer's Report dated 27/12/2018 for your kind reference.

The said Postal Ballot /E-voting Result will be displayed at the Registered Office of the Company and on the website of the Company - www.emkayglobal.com.

The details regarding the proceedings/Outcome and E-voting results of the business transacted through postal ballot process are as under.



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199

Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

| Sr.No. | Description | Particulars | | |
|--------|---|---|-----------------------|-------|
| A | Date of the Notice of Postal Ballot | Monday, 5 th November, 2018 | | |
| B | Cut -off Date | Friday, 16 th November, 2018 | | |
| C | Total number of Shareholders on cut-off Date | 9,926 | | |
| D | Number of Shareholders present in the Annual General Meeting either in person or through proxy ---- NOT APPLICABLE | | | |
| | Shareholders | Present in person | Present through Proxy | Total |
| | Promoters and Promoters Group | - | - | - |
| | Public | - | - | - |
| E | Number of Shareholders attended the meeting through Video Conferencing No Video Conferencing facility was made available Not Applicable | | | |

The voting period began on Tuesday, 27.11.2018 at 9.30 am and ended on Wednesday, 26.12.2018 at 5.00 pm. In this regard, Mr. P. N. Parikh (Membership No. FCS 327) or failing him Mr. Mitesh Dhabliwala (Membership no. FCS 8331) of M/s. Parikh & Associates, Company Secretaries in whole-time practice, was appointed by the Board as Scrutinizer and has submitted his report.

The following is the result of the postal ballot/e-voting:

The following business was transacted through the Postal Ballot process vide Notice dated 05.11.2018.

| Item No. | Details of the Agenda Item | Nature of Resolution | Mode of Voting | Remarks |
|----------|---|----------------------|-------------------------------------|--------------------------------|
| 1 | Approval by Special Resolution in terms of Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended) for continuation of Mr. S. K. Saboo (DOB: 05.11.1942), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years. | Special | E-Voting and Physical Postal Ballot | Passed with requisite majority |



RESOLUTION WISE DETAILS OF VOTING RESULTS ARE AS UNDER:

| Resolution Required : (Special) | | | 1 - Approval by Special Resolution in terms of Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended) for continuation of Mr. S. K. Saboo (DOB: 05.11.1942), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years. | | | | | |
|---|----------------|--------------------|---|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? | | | NO | | | | | |
| Category | Mode of Voting | No. of shares held | No. of votes polled | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
| | | [1] | [2] | $[3]=\{[2]/[1]\} * 100$ | [4] | [5] | $[6]=\{[4]/[2]\} * 100$ | $[7]=\{[5]/[2]\} * 100$ |
| Promoter and Promoter Group | E-Voting | 17652500 | 17652500 | 100.0000 | 17652500 | 0 | 100.0000 | 0.0000 |
| | Poll | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Postal Ballot | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Total | | 17652500 | 100.0000 | 17652500 | 0 | 100.0000 | 0.0000 |
| Public Institutions | E-Voting | 19596 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Poll | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Postal Ballot | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Total | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| Public Non Institutions | E-Voting | 6946934 | 139104 | 2.0024 | 138801 | 303 | 99.7822 | 0.2178 |
| | Poll | | 225 | 0.0032 | 115 | 110 | 51.1111 | 48.8889 |
| | Postal Ballot | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Total | | 139329 | 2.0056 | 138916 | 413 | 99.7036 | 0.2964 |
| Total | | 24619030 | 17791829 | 72.2686 | 17791416 | 413 | 99.9977 | 0.0023 |



The above special resolution set out in the Notice of Postal Ballot dated 05.11.2018 is accordingly passed by the shareholders with the requisite majority.

Kindly take the above information on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,
For Emkay Global Financial Services Limited



B. M. Raul
Company Secretary & Compliance Officer



Encl: As above

RESULT OF THE POSTAL BALLOT

Pursuant to Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, approval of the Shareholders for passing Special Resolutions were sought through postal ballot process vide Notice dated 5th November, 2018 for approval of continuation of Mr. S. K. Saboo (DOB: 05.11.1942) , as Non Executive Director, on the Board of the Company who has attained the age of 75 years with effect from 1st April, 2019 till the time he retire by rotation, in terms of newly inserted Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended).

Mr. P. N. Parikh (Membership No. FCS 327) and failing him Mr. Mitesh Dhabliwala (Membership No. FCS 8331) of M/s Parikh & Associates, Company Secretaries in whole time practice was appointed as Scrutinizer for conducting the postal ballot process in a fair and transparent manner.

The last date for e-voting and receipt of the postal ballot form duly completed from the Shareholders was upto 5.00 p.m. on Wednesday, 26th December, 2018.

Based on the report submitted by the Scrutinizer, following results are announced:

| Postal Ballot Voting Results Disclosure as per Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 | |
|--|--|
| Company Name | Emkay Global Financial Services Limited |
| Date of the Postal Ballot Notice | 5 th November, 2018 |
| Total number of shareholders as on cut -off Date | 9926 |
| Voting: | |
| Start Date | Tuesday, 27 th November, 2018 at 9.30 a.m. to |
| End Date | Wednesday, 26 th December 2018 at 5.00 p.m. |
| No of shareholders present in the meeting either in person or through proxy | Not Applicable |
| Promoters and promoters Group | |
| Public | |



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013 Tel: +91 22 6629 9299 Fax: +91 22 6629 9199
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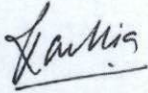
| | |
|--|----------------|
| No of shareholders present in the meeting through Video Conferencing | Not Applicable |
| Promoters and promoters Group | |
| Public | |

| Resolution Required : (Special) | | 1 - Approval by Special Resolution in terms of Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended) for continuation of Mr. S. K. Saboo (DOB: 05.11.1942), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years. | | | | | | |
|---|----------------|---|---------------------|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? | | NO | | | | | | |
| Category | Mode of Voting | No. of shares held | No. of votes polled | % of Votes Polled on outstanding shares | No. of Votes - in favour | No. of Votes - Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
| | | [1] | [2] | $[3]=\frac{[2]}{[1]}*100$ | [4] | [5] | $[6]=\frac{[4]}{[2]}*100$ | $[7]=\frac{[5]}{[2]}*100$ |
| Promoter and Promoter Group | E-Voting | 17652500 | 17652500 | 100.0000 | 17652500 | 0 | 100.0000 | 0.0000 |
| | Poll | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Postal Ballot | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Total | | 17652500 | 100.0000 | 17652500 | 0 | 100.0000 | 0.0000 |
| Public Institutions | E-Voting | 19596 | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Poll | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Postal Ballot | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Total | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| Public Non Institutions | E-Voting | 6946934 | 139104 | 2.0024 | 138801 | 303 | 99.7822 | 0.2178 |
| | Poll | | 225 | 0.0032 | 115 | 110 | 51.1111 | 48.8889 |
| | Postal Ballot | | 0 | 0.0000 | 0 | 0 | 0.0000 | 0.0000 |
| | Total | | 139329 | 2.0056 | 138916 | 413 | 99.7036 | 0.2964 |
| Total | | 24619030 | 17791829 | 72.2686 | 17791416 | 413 | 99.9977 | 0.0023 |



The above special resolution set out in the Notice of Postal Ballot dated 05.11.2018 is accordingly passed by the shareholders with the requisite majority.

For Emkay Global Financial Services Limited



Prakash Kacholia
Managing Director

Mumbai; 27.12.2018



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EMKAY GLOBAL FINANCIAL SERVICES LIMITED

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office :
111, 11th Floor, Sai-Dwar CHS Ltd,
Sab TV Lane, Opp Laxmi Industrial Estate
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400 053
Tel. : 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

December 27, 2018

To,
EMKAY GLOBAL FINANCIAL SERVICES LIMITED
The Ruby, 7th Floor,
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Kind Attn: Mr. B. M. Raul

Sub. : Postal Ballot

Dear Sir,

I refer to my appointment as Scrutinizer to conduct the postal ballot process in respect of the Special Resolution in terms of Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended) for continuation of Mr. S. K. Saboo (DIN: 00373201), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years.

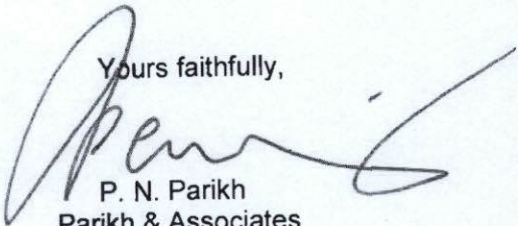
I now enclose the following:

- a) My report to the Chairman of the Company on the result of the postal ballots received from Members in physical mode and e-voting done through electronic mode.
- b) The register showing the particulars of the physical postal ballots received and processed by the Registrar and Share Transfer Agent of the Company and the e-votes registered on the Central Depository Services (India) Ltd (CDSL) e-voting system in respect of the said Resolution.

Thanking you.



Yours faithfully,


P. N. Parikh
Parikh & Associates

Encl.: As above.

To,
The Chairman,
EMKAY GLOBAL FINANCIAL SERVICES LIMITED
The Ruby, 7th Floor,
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Report of Scrutinizer

I, P. N. Parikh, of M/s. Parikh & Associates, Practising Company Secretaries, having my office at 111, 11th Floor, Sai Dwar CHS Ltd., Sab TV Lane, Opp. Laxmi Indl. Estate, Off Link Road, Andheri (West), Mumbai 400 053 had been appointed as the Scrutinizer by the Board of Directors of the Company to conduct the postal ballot process in respect of the Special Resolution in terms of Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended) for continuation of Mr. S. K. Saboo (DIN: 00373201), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years.

The Members of the Company holding shares as on the "cut-off" date of Friday, November 16, 2018 were entitled to vote on the Resolution as contained in the Postal Ballot Notice.

The voting period for remote e-voting commenced on Tuesday, November 27, 2018 at 09:30 a.m. (IST) and ended on Wednesday, December 26, 2018 at 05:00 p.m. (IST) and thereafter e-voting module was disabled by the Central Depository Services (India) Ltd ("CDSL").

The votes cast under remote e-voting facility were thereafter unblocked in the presence of two witnesses who were not in the employment of the Company. Votes cast through Physical ballot forms received up to Wednesday, December 26, 2018, at 05:00 p.m. (IST) were also considered.

I have scrutinized and reviewed the votes cast through remote e-voting based on the data downloaded from the CDSL e-voting system and the votes cast through physical ballot forms received respectively. I have also reviewed register in which necessary entries have been made in accordance with the Companies (Management and Administration) Rules, 2014 as amended.

The Management of the Company is responsible to ensure compliance with the requirements of the Companies Act, 2013 and rules relating to physical postal ballots and remote e-voting on the Resolution contained in the notice of Postal Ballot.

My responsibility as scrutinizer for the physical postal ballots and remote e-voting is restricted to making a Scrutinizers Report of the votes cast in favour or against the Resolution.

I now submit my Report on the result of the voting by postal ballot in physical mode and electronic mode in respect of the said Resolution.



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Resolution 1. Special Resolution

Special Resolution in terms of Regulation 17 (1A) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 (as amended) for continuation of Mr. S. K. Saboo (DIN: 00373201), as a Non Executive Director, on the Board of the Company who has attained the age of 75 years.

(i) Voted in **favour** of the Resolution:

| Number of members voted through electronic voting system and through physical ballot form | Number of valid Votes cast (Shares) | % of total number of valid votes cast |
|---|-------------------------------------|---------------------------------------|
| 28 | 1,77,91,416 | 100.00(Rounded off) |

(ii) Voted **against** the Resolution:

| Number of members voted through electronic voting system and through physical ballot form | Number of valid Votes cast (Shares) | % of total number of valid votes cast |
|---|-------------------------------------|---------------------------------------|
| 8 | 413 | 0.00 |

(iii) Invalid votes:

| Total number of members whose votes were declared invalid | Total number of invalid votes cast (Shares) |
|---|---|
| 1 | 50 |

Place: Mumbai

Dated: December 27, 2018

Signature:
Name:

P. N. Parikh
Scrutinizer
FCS: 327 CP: 1228