

October 17, 2019

To,

Bombay Stock Exchange Ltd.,

Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai 400 001

The National Stock Exchange of India Ltd.

Exchange Plaza,

Bandra - Kurla Complex,

Mumbai 400 051

Company Code No. 531120

Company Code: PATELENG/EQ

Dear Sir's,

Sub: Regulation 40(9) – Certificate from Practicing Company Secretary Half Yearly ended September 30, 2019.

In terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find below the Certificate issued by Company Secretary in practice for the half year ended September 30, 2019:

Kindly take the same on record and oblige.

Thanking you.

For Patel Engineering Limited

Shobha Shetty

Shobha Shetty

Company Secretary

Membership No. FCS 10047



REGD. OFFICE :

Patel Estate Road, Jogeshwari (W), Mumbai - 400 102. India

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E-mail headoffice@pateleng.com www.pateleng.com



SANJAY DHOLAKIA & ASSOCIATES

BCOM LLB FCS

COMPANY SECRETARIES

GP 15, 2nd Floor, Raghuleela Mall, Behind Poincur Bus Depot, Kandivali (West), Mumbai - 400 067.
☎ : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

Accordingly, I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Patel Engineering Limiteda Company registered under Companies Act, 1913having its registered office situated at Patel Estate,S. V. Road,Jogeshwari (West), Mumbai-400102as maintained by the Company's Registrar and Transfer Agents, Link Intime India Private Ltd. having its office at C 101, 247 Park, L.B.S.Marg, Vikhroli (West),Mumbai - 400083pertaining to transfer deeds which were lodged prior to 1st April, 21019 and re-lodged thereafter, for transfer of equity shares of the Company for the period 1st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- A) Share Certificate(s) relating to the transfer forms received during the period from 1st April 2019 to 30th September, 2019as entered in the Memorandum of Transfers have been issued within 30 days from respective date of lodgment / re-lodgment of each form.
- B) Share Certificates in respect of requests for exchange of duplicate, consolidated, sub-division, deletion, renewal, endorsement of call/ allotment money, transmission and split certificates have been issued within 30 days of lodgement as may be applicable.



For SANJAY DHOLAKIA & ASSOCIATES

Dholakia Sajal

(SANJAY DHOLAKIA)
Practising Company Secretary
Proprietor

Date: 17th October, 2019

Place: Mumbai

UDIN : F002655A000107749