

# Escorts Finance Limited

Office : 15/5, Mathura Road, Faridabad - 121003 (HR)  
Phone : 0129-2250222, 2564222 E-mail : escortsfinance@escorts.co.in  
Website : www. escortsfinance.com  
CIN : L65910CH1987PLC033652

April 28, 2022

**BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400051

Scrip Code: 511716

Dear Sirs,

**Reg: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In Compliance with Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith Compliance Certificate dated April 28, 2022 for the year ended March 31, 2022 duly signed by Mr. Umesh Kumar (CP No. 8361) of M/s Umesh Kumar & Associates, Company Secretaries confirming the compliance of the said Regulation.

Kindly take the same on record.

Thanking you.

Yours faithfully,  
For Escorts Finance Limited



**Vicky Chauhan**  
Company Secretary



Encl: As above



# UMESH KUMAR & ASSOCIATES

## COMPANY SECRETARIES

Office No. 201, Sagar Plaza-II, 2nd Floor, Plot No. 27,  
Behind M2K Cinema, DDA Complex, Near Rani Bagh,  
Road No. 44, Pitampura, New Delhi-110034  
Ph.: +91-9990137410, +91-9999550383  
E-mail : csumesh07@gmail.com  
GST No. 07APOP1480P127

To,

**The Board of Directors,  
Escorts Finance Limited**  
Plot No. 19, Industrial Area,  
Phase 2, Chandigarh-160002

Dear Sirs,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the financial year ending 31<sup>st</sup> March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the revised Listing Agreement which was entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during year ending on **31st March, 2022:**

- A) Physical Share Certificate(s) relating to the dematerialization of securities (Equity Shares) of the Company has received and the said certificate(s) after due verification have been mutilated and cancelled and the name of depository has been substituted in records as registered owner.
- B) Share Certificate(s) relating to the transmission of Shares received during the financial year ending 31<sup>st</sup> March, 2022 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer from respective date of lodgment of each deed.
- C) There was no request for transfer of shares.
- D) There was no request for issue of duplicate shares certificates.
- E) There was no request for consolidation/split of shares.
- F) There are no case for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.

Place: New Delhi  
Date: 28.04.2022

For UMESH KUMAR & ASSOCIATES  
COMPANY SECRETARIES

  
CS UMESH KUMAR  
M. No. 21567 C.P No- 8361  
UDIN: A021567D000225650

**Our Banker :** HDFC Bank Limited, 10/30, East Patel Nagar, New Delhi-110008  
HDFC Current Account No. 13652000000973 Name : Umesh Kumar & Associates IFSC Code : HDFC0001365  
SWIFT CODE : HDFCINBBDEL