

ONELIFE CAPITAL ADVISORS LIMITED

CIN: L74140MH2007PLC173660

Regd. Off: Plot No. A 356, Road No. 26, Wagle Industrial Estate, MIDC, Thane (W) - 400604 Thane 400604

Tel no.: 022-25833206; Email id: cs@onelifecapital.in; Web: www.onelifecapital.in

28th June, 2021

To

BSE Limited

Department of Corporate Services

Phiroze Jeejeebhoy Towers

Dalal Street, Fort,

Mumbai- 400 001

Scrip Code: 533632

National Stock Exchange of India Ltd

Exchange Plaza

Bandra- Kurla Complex

Bandra, Mumbai- 400 051

Symbol: ONELIFECAP

Respected Sir/Madam,

Sub: Submission of Annual Secretarial Compliance Report for the year ended 31.03.2021

Ref: SEBI circular No. CIR/CFD/CMDI/ 27/2019 dated 8th February, 2019

With reference to the above subject and in terms of the SEBI circular No. CIR/CFD/CMDI/ 27/2019 dated 8th February, 2019, we hereby submit the Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2021, issued by M/s Ajay Kumar & Co., Practicing Company Secretaries.

Kindly take the same on record.

Thanking You,

Yours Faithfully,

For Onelife Capital Advisors Limited

Sd/-

Aditi Mahamunkar

Company Secretary & Compliance Officer

Encl: a/a

AJAY KUMAR & Co.

PRACTISING COMPANY SECRETARIES

Off.: 103, A.S. Dias Building, 1st Floor, 268/272, Dr. Cawasji Hormasji Street, Marine Lines, Mumbai - 400002.
Tel: (O) 22078438, 24171151 Fax: 22076151, Mobile: 9821227358, Email: ajay_199@yahoo.com,
Website: www.csajaykumar.com

Secretarial compliance report of ONELIFE CAPITAL ADVISORS LIMITED for the year ended 31st March, 2021

I have examined:

- (a) all the documents and records made available to us and explanation provided by **Onelife Capital Advisors Limited [CIN:L74140MH2007PLC173660]** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021("Review Period")in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder;and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India("SEBI");
- (c) The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-
 - (i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - (ii) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations,2018; [Not applicable during the review period]
 - (iii) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011;
 - (iv)Securities and Exchange Board of India (Buyback of Securities) Regulations,2018; [Not applicable during the review period]
 - (v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; [Not applicable during the review period]
 - (vi)Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; ; [Not applicable during the review period]
 - (vii)Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; [Not applicable during the review period]
 - (viii)Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/~~its promoters/directors/material subsidiaries either by SEBI or by~~ Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	National Stock Exchange of India Limited and BSE Limited	Non-compliance with Regulation 17(1)(c) of SEBI (LODR) Regulations, 2015 for the quarter ended 30.06.2020 and 30.09.2020.	(i) Fine of Rs. 5,36,900/- imposed for the quarter ended 30.06.2020. (ii) Fine of Rs. 4,48,400/- imposed for the quarter ended 30.09.2020.	(1) The company has applied for waiver of the said fines and it is pending before the exchanges. (2) The company has complied with the provisions of Regulation 17(1)(c) of SEBI (LODR) Regulations, 2015 by appointing Mr. Dhananjay Parikh and Mr. Gurunath Mudlapur w.e.f. 15.09.2020 on its board as directors of the company.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: [NOT APPLICABLE]

<i>Sr. No.</i>	<i>Observations of the Practicing Company Secretary in the previous reports</i>	<i>Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)</i>	<i>Actions taken by the listed entity, if any</i>	<i>Comments of the Practicing Company Secretary on the actions taken by the listed entity</i>

Signature: AJAY KUMAR Digitally signed by AJAY KUMAR
Date: 2021.06.25 14:53:34 +05'30'

(Ajay Kumar)
Ajay Kumar & Co.
Practising Company Secretary
FCS No. 3399
C.P. No. 2944
UDIN: F003399C000514679

Date: 25.06.2021
Place: Mumbai

