

**Dixon®**  
**Dixon Technologies (India) Ltd.**

13<sup>th</sup> October, 2020

To Secretary Listing Department BSE Limited Department of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001	To Secretary Listing Department National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Mumbai – 400 051
Scrip Code - 540699 ISIN: INE935N01012	Scrip Code- DIXON ISIN: INE935N01012

**Subject: Compliance Certificate issued in terms of Reg 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by Practicing Company Secretary**

**Ref.: Regulation 40 (10) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir /Ma'am,

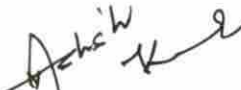
Pursuant to Regulation 40 (10) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Compliance Certificate in terms of Reg 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 dated 13<sup>th</sup> October, 2020, received by the Company from M/s Shirin Bhatt & Associates, Practicing Company Secretary firm.

Also, please find enclosed herewith statement of transfers, Sub-division(split), Renewal, Duplication and consolidation of share certificates during the half year ended 30<sup>th</sup> September, 2020 as received from M/s KFin Technologies Pvt. Ltd., RTA dated 7<sup>th</sup> October, 2020.

We request you to kindly take this on your record.

Thanking You,

For **DIXON TECHNOLOGIES (INDIA) LIMITED**

  
Ashish Kumar  
Gr. Company Secretary and Compliance Officer



**SHIRIN BHATT & ASSOCIATES**  
COMPANY SECRETARIES

303, 3rd Floor Balaji Chamber D-  
246/10, Laxmi Nagar, Delhi 110092,  
Ph.-8010501209, 8447888828,  
shirinbhatt@gmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON SEPTEMBER 30<sup>TH</sup>, 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Dixon Technologies (India) Limited** (the "**Company**") maintained by KFin Technologies Private Limited pertaining to transfer of equity shares of the company for the period from *April 01<sup>st</sup>, 2020 to September 30<sup>th</sup>, 2020* (the "**Half Year**") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015 and based on the information provided by the Company, hereby certify that:

1. (a) No requests for transfer of shares were received during the Half Year.  
(b) No requests relating to endorsement of calls/allotment monies, sub-division/splitting, renewal, exchange and consolidation of Share Certificates were received during the Half Year.
2. Any other information, if applicable: NIL

For **SHIRIN BHATT & ASSOCIATES**



**SHIRIN BHATT**  
Proprietor

FCS No. 8273  
C.P. No. 9150

Date: October 13<sup>th</sup>, 2020  
Place: Ghaziabad  
UDIN: F008273B000925695

R/KFIN/RIS/DTIL/ Quarter ended- September -2020  
Date: 07/10/2020

DIXON TECHNOLOGIES (INDIA) LIMITED  
B-14 & 15  
PHASE II  
NOIDA (GAUTAM BUDHA NAGAR)  
UTTAR PRADESH  
201305

Dear Sir/Madam

We hereby confirm that there was no request received during the period from 01-04-2020 to 30-09-2020 with regard to Sub-division (split), renewal, duplicate and consolidation of Share Certificates during the half year ended 30/09/2020

Thanking you,

Yours faithfully,  
For KFin Technologies Pvt. Ltd



Rajitha Cholleti  
Asst General Manager

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500

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